

B. **Indirect rollover from another 529 plan account, an Education Savings Account (ESA), or qualified U.S. savings bond.**

You can transfer money from one of these options to your bank account and from there, to the Advisor-Guided Plan.

Important: Indirect rollovers require the documentation described below. If you do not provide this documentation, the entire amount will be considered earnings, which could result in adverse tax consequences, particularly if you later make a non-qualified withdrawal from your Advisor-Guided Plan Account.

- **Indirect rollover from another qualified 529 plan or an ESA**—Enclose documentation from the distributing financial institution detailing a breakdown of contributions and earnings.
- **Indirect rollover from qualified U.S. savings bonds**— Please include a statement or IRS Form 1099-INT issued by the distributing financial institution that shows the interest paid upon redemption.

\$
Contributions

\$
Earnings

2. **Dollar-cost averaging (Optional)**

- The minimum contribution to participate in dollar-cost averaging is **\$5,000**. By selecting this feature, you authorize the Advisor-Guided Plan to exchange money automatically from one Portfolio to another on a monthly basis. The minimum exchange amount is \$100 per Portfolio per frequency selected below.

Note: If dollar-cost averaging is instituted for new contributions to an existing Account, it will not count as an Investment Exchange. However, if you make any changes to your dollar-cost averaging selections, that will count as an Investment Exchange. The allocations will be made on the day of the month you specify below, or if no day is specified, on the 15th of the month. If such day is not a business day, the allocation will occur on the next succeeding business day and will continue until the dollar-cost averaging has completed per the instructions below. Stopping or changing the automatic allocation instructions with respect to prior contributions still remaining in the initial Portfolio will constitute a reallocation for purposes of any Investment Exchange limitations.

Start Date: — —
Date (mm/dd/yyyy)

Frequency:
(Check one): Monthly Quarterly Semi-annually Annually

Day of Allocation Exchange:
(dd)

Stop Type: Complete Portfolio Balance
(Check one):

Specify Total Exchange Amount \$
(if less than the complete Portfolio balance) (\$5,000 minimum)

Stop Date — —
Date (mm/dd/yyyy)

Note: Dollar-cost averaging instructions are continued on **Page 3**.

