



State of Oregon Department of Environmental Quality

**Point Source Project Loan Application:
(Design and Construction Projects)**

Clean Water State Revolving Fund

Contact: [Regional Project Officer](#)

Answer all requests for information in this application. List "N/A" for items that do not apply. Do not leave any section of this application blank.

DEQ will accept completed, signed applications that are submitted either electronically or in hard copy by close of business on the application due date.

Application Information

1. Public agency/legal applicant:

Name:			
Address:			
City, State:	Zip:	County:	Congressional Dist. (Federal)
Agency Website		DUNS or SAMs Unique Identifying Number SAMs Home page	

2. Cite your agency's authority to take on debt, noting the exact Oregon Revised Statute reference located on the [state website](#):

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3. Only public agencies are eligible for Clean Water State Revolving Fund. Does your agency meet the definition of a "public agency" as defined by [ORS 468.423](#)? If you are unsure, contact DEQ at 503-229-5622.

	Yes		No
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4. Identify your type of public agency:

	Tribal government		City		School district
	County		Sanitary district or Sanitary Authority		County service district
	State agency		Irrigation district		Metropolitan Service District
	Other special district (please specify):				
	Intergovernmental Agency (please specify):				

* Note: Eligibility includes certification of no disbarment and no suspension through the [System of Award Management](#). Certification is required at time of loan signing.

5. Project Contact:

Name	Dept./Organization	Title
Telephone	Email	

6. Interim Financing:

	Yes		No	
If yes, name of the agency providing long-term financing:				

7. Water quality permit information (if applicable)

Type	Number	Administratively Extended	Renewed	Current	New	No Permit.
National Pollutant Discharge Elimination System permit number (EPA reference number beginning with "OR")						
Water Pollution Control Facility permit number						
401 certification						

8. Will this project require?

	Permit renewal
	New permit
	N/A

9. Permit includes:

	A compliance schedule associated with loan request
	A Mutual Agreement and Order (MAO) associated with loan request
	Loan request is addressing potential compliance concerns

10. CWSRF loan request amount:

11. Total estimated project cost:

Project Description

Use this section to describe the objectives, components and expected outcomes of the plan. The loan agreement will refer to this section in defining what expenses can be reimbursed.

12. Project type (check one or both, as appropriate)

	Design		Construction
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** Note: A facility plan or similar planning document that has been reviewed and approved or accepted by DEQ within the last five years is required prior to loan approval. The plan itself may have been issued more than 5 years before the application.*

13. Project description

Name of project:	
Describe proposed project, specifying the water quality and public health objectives to be addressed:	
Describe the major project components (for example, type of structures to be built):	

Describe how the proposed project will achieve the objectives:

Give any other pertinent information that explains why this project is proposed:

14. Project will improve water quality by addressing one or more of the following (check all that apply)*:

<input type="checkbox"/>	Temperature	<input type="checkbox"/>	Bacteria	<input type="checkbox"/>	Dissolved oxygen	<input type="checkbox"/>	Nutrients
<input type="checkbox"/>	Contaminated sediments	<input type="checkbox"/>	NPDES/WPCF permit	<input type="checkbox"/>	OHA requirement	<input type="checkbox"/>	Toxic substances
Other:							

*Provide documentation, as described in [Application Instructions](#), to support water quality improvements

15. Is the facility currently in compliance with its permit(s)?

	Yes		No
If yes, answer question #16 and if no, skip to question #17			

16. Is the facility at risk of noncompliance with its permit(s)

	Yes		No
If yes, describe how the project will ensure continued compliance of facility and how long the system is expected to maintain compliance.			

17. What noncompliance issue(s), if any, will this project address?

	Water Quality Standards		Public Health
	Limits for wastewater or stormwater discharge to surface water or groundwater		
	Waste discharge limits for reuse of biosolids or wastewater		

18. Does the project address a water quality improvement or restoration need for a small community, defined as 10,000 or fewer people?

	Yes		No
If yes, enter the population estimate*: *Use current estimate from Portland State University Population Research Center			

19. Are you applying for a Sponsorship Option loan for a nonpoint source project in addition to this loan?

	Yes		No
*If yes, complete and submit a Nonpoint Source Application with this application.			

20. Project categories

Project Category	Description (Please enter all numbers as decimals (ex: 22.34% = .2234))	% CWSRF Funding
CWT	Secondary Treatment Plant (includes, but is not limited to: new, expansion, improvements; effluent disposal; biosolids treatment, biosolids disposal, water reuse)	
CWT	Advanced Treatment	
CWT	Infiltration/Inflow	
CWT	Sewer System Rehabilitation	
CWT	New Collector Sewer	
CWT	New Interceptor	
CWT	Combined Sewer Overflow (CSO) Correction	
Stormwater	Gray Infrastructure	
Stormwater	Green Infrastructure	
Energy Conservation	Energy Efficiency	
Energy Conservation	Renewable Energy	
Water Conservation	Water Efficiency	
Water Conservation	Water Reuse	
Other	Estuary (§320) Assistance	
Other	Desalination	
Total		

21. Project Location (if different from public agency location):

Address			
City	Zip	County	Congressional Dist. (Federal)
Latitude WGS84		Longitude WGS84	
Additional sites (if applicable)			

Green Project Components

Oregon DEQ is required to finance a certain percentage of projects that use green infrastructure, address water and energy efficiency, and/or implement other environmentally innovative activities. Refer to [Appendices A-D, Green Project Reserve Project Eligibility Guidance](#), to complete the following questions.

22. Does the project incorporate or expand green infrastructure, as described in [Appendix A](#)?

	Yes		No	
If yes, give dollar value \$				
If yes, cite the objective:				
Describe how the project will achieve the objective:				

23. Does the project incorporate or expand water efficiency as described in [Appendix B](#)?

	Yes		No	
If yes, give dollar value \$				
If yes, cite the objective:				
Describe how the project will achieve the objective, including the estimated percent improvement in water efficiency:				

24. Does the project incorporate or expand energy efficiency as described in [Appendix C](#)?

	Yes		No	
If yes, give dollar value \$				
If yes, cite the objective:				
Describe how the project will achieve the objective, including the estimated percent improvement in energy efficiency:				

25. Does the project incorporate or expand environmentally innovative projects or practices as described in [Appendix D](#)?

	Yes		No	
If yes, give dollar value \$				
If yes, cite the objective:				
Describe the innovative projects/practices, how they will be incorporated into the project and how the project will achieve the objective:				

26. Does the project integrate or expand long-term environmental or financial reliability and viability or use an approach, not included in green project categories [above](#)?

	Yes		No	
If yes, give dollar value \$				
If yes, describe the approach(s) and how they will be incorporated into the project:				

Waterbody

27. Provide the name, eight digit Hydrologic Unit Code of waterbody receiving discharge, and the location of the waterbody receiving discharge:

Primary affected waterbody		HUC#	
Other affected waterbody		HUC#	
Latitude WGS84:		Longitude WGS84:	

28. Discharge affected by proposed project (check all that apply):

<input type="checkbox"/>	Ocean outfall	<input type="checkbox"/>	Wetland	<input type="checkbox"/>	Estuary/Coastal
<input type="checkbox"/>	Groundwater	<input type="checkbox"/>	Eliminates discharge	<input type="checkbox"/>	Surface water (stream river, lake)
<input type="checkbox"/>	Other/reuse	<input type="checkbox"/>	Seasonal discharge	<input type="checkbox"/>	Land Application
<input type="checkbox"/>		<input type="checkbox"/>	No Discharge	<input type="checkbox"/>	No Change

29. Wastewater volume (average dry weather design flow):

For current system:	mgd
For proposed project:	mgd
Eliminated or conserved	mgd

30. Indicate if the project will protect or restore beneficial use of the waterbody. If the project provides both protection and restoration, indicate which beneficial uses are primary and which are secondary. (Not all may apply):

	Protection		Restoration		N/A
	Primary	Secondary	Primary	Secondary	
Domestic water supply					
Fishing					
Industrial water supply					
Boating					
Irrigation					

Water contact recreation					
Livestock watering					
Aesthetic quality					
Fish and aquatic life					
Wildlife and hunting					
Commercial navigation and transportation					
Hydropower					

Information on [beneficial uses](#) of Oregon's waters is available online.

31. Identify other beneficial uses the project proposes to protect or restore. If the project results in both protection and restoration, indicate which beneficial uses are primary and which are secondary. The project description must support expected outcomes. (Not all may apply)

	Protection		Restoration		N/A
	Primary	Secondary	Primary	Secondary	
Infrastructure improvements					
Regionalization/Consolidation					
Water Reuse/Recycling/Conservation					
Groundwater protection					
Drinking Water Supply (e.g., groundwater)					
Other public health/pathogen reduction					
Wetland Restoration					
Security					
Industrial					
Riparian Restoration					
Other (please describe below)					

Water Quality/Public Health Benefits

32. If the proposed project is not implemented at this time, are water quality standards likely to be exceeded, or are existing exceedances of the standards likely to worsen?

	Yes		No
<p>If yes, explain which standard(s) will worsen and provide evidence:</p>			

33. Will the project improve or sustain the following?

Aquatic habitat that supports native species:			
	Yes		No
Which species?			
<p>Describe how project will improve or sustain aquatic habitat that supports native species. Provide evidence, if available:</p>			

State threatened or endangered species:			
	Yes		No
Which species?			

Describe how project will improve or sustain aquatic habitat that supports state threatened or endangered species. Provide evidence, if available:

Federally threatened or endangered species:

Yes

No

Which species?

Describe how project will improve or sustain aquatic habitat that supports federal threatened or endangered species and provide evidence, if available:

*Attach a map to the application with project locations and habitat clearly indicated

34. Project will address water quality or public health issues (check all that apply):

<input type="checkbox"/>	Federally designated Wild and Scenic River	<input type="checkbox"/>	Tillamook Bay Estuary
<input type="checkbox"/>	Federally designated sole source aquifer	<input type="checkbox"/>	Lower Columbia River Estuary
<input type="checkbox"/>	State designated scenic waterway	<input type="checkbox"/>	Wetland or riparian area listed by state or local government
<input type="checkbox"/>	River designated under OAR 340-041-0350 (Three Basin Rule): The Clackamas River Subbasin, the McKenzie River Subbasin above the Hayden Bridge (river mile 15), or the North Santiam Subbasin.	<input type="checkbox"/>	None of the above

*Attach a map to the application with project location and proximity to waterbodies clearly indicated.

35. Project supports the implementation of which of the following:

<input type="checkbox"/>	Existing Total Maximum Daily Load (TMDL)	<input type="checkbox"/>	DEQ water quality status and action plan
<input type="checkbox"/>	Projected TMDL	<input type="checkbox"/>	Designated ground water management area declared under ORS 468B.180
<input type="checkbox"/>	Other qualifying plan, specify:		
<input type="checkbox"/>	None of the above	*Specify below which TMDL, Plan or GWMA the project will support:	

36. Does project provide performance-based water quality improvement supported by monitoring and reasonable assurance that the project will continue to function over time:

<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
<p>If yes, describe activities, including required and voluntary monitoring, that support these water quality improvements and how these activities will provide reasonable assurance that the project will continue to function over time. Attach documentation, if available.</p>			

Education and Involvement

37. Explain the long-term planning effort the applicant is using to ensure the life and maintenance of the project:

38. Describe on-going educational or outreach components of the project:

39. Does the project incorporate partnerships or support from one or more of the following?

	In-kind support		Other funding sources		N/A
	Partnerships with organizations (governmental, tribal, non-governmental)				
	Other:				
If yes, please describe:					

40. Some public agency borrowers who are not considered economically distressed still have portions of their population that might experience financial hardship due to the cost of their sewer rates. These borrowers have established programs to assist these ratepayers.

Does your community have a ratepayer hardship program in place?

	<u>Yes</u>		<u>No</u>
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Schedule and Budgeting**41. Project schedule (Month, Year):**

Estimated design start date:	
Estimated construction start date:	
Estimated project completion date:	
Estimated initiation of operations date:	

Please explain if the estimated dates are *before* the loan application date or the date a loan will be signed:

42. Project cost and funding:

Table A. Project Budget		
	Total Project Budget	Amount funded by CWSRF
Administration and Legal		
Contingency		
Preliminary Expense		
Land and Right of Way		
Basic Engineering		
Other Engineering		
Project Inspection		
Construction		
Other:		
Total Costs		

Table B. Funding Sources		
	Amount	Interim or Permanent Loan
DEQ Clean Water State Revolving Fund Loan		
Business Oregon Special Public Works Grant/Loan		
Business Oregon Water/Wastewater Grant/Loan		
Business Oregon Community Development Block Grant		

USDA Rural Development Grant/Loan		
General Obligation Bonds		
Revenue Bonds		
Local Funds (note source of funds)		
In-Kind Assistance		
Other:		
Total Funding (must equal total cost in Table A)		

43. Existing sewer related debt service (before CWSRF project funding):

	Current Balance	Interest Rate	Year Issued	Annual Payment	Bond Rating
General obligation bonds					
Sewer Revenue Bonds					
Other Debt					

44. Service area data:

Population served by current system*:	
Population served by proposed project:	
*Use current estimate from Portland State University Population Research Center	

Required Documentation

This application provides the necessary information for DEQ to determine eligibility, scoring, ranking and to complete reporting requirements for the proposed project. Once deemed eligible and scored, the project will be included in the Clean Water State Revolving Fund Intended Use Plan and the applicant can then complete the remaining required documents.

Consult the Checklist for a complete list of required documents. The documents require time to prepare and complete. DEQ recommends that applicants become familiar with these required documents early in the application process. The checklist is [online](#).

☐ Check here to receive DEQ program updates through [GovDelivery](#). You may unsubscribe at any time.

Certification

The public agency or applicant certifies that:

- Clean Water State Revolving Fund loan proceeds will be used only for the project described in this application and that project work will be consistent with project objectives.
- The public agency or applicant will comply with all applicable rules and laws.
- The public agency or applicant will obtain all applicable local, state, and federal permits, approvals, and licenses, and comply with their terms and conditions.
- The undersigned is duly authorized to request this loan on behalf of the public agency.
- The public agency or applicant declares under penalty of law that all facts given and information attached are true and correct.
- The public agency or applicant authorizes DEQ to verify all information.

Authorized Signature	Date
Typed Name	Title
LGIP Account Number (for processing loan disbursements)	

Return the completed application to your DEQ Project Officer. A complete list of Clean Water State Revolving Fund staff is [online](#).

<u>DEQ USE ONLY</u>	
Application Name:	
Application #:	
Date Received:	
GPR Amount:	
GPR Category:	
Application Deemed Eligible and Complete:	
PO Initials:	
Date:	
SERP Applicant Guide version:	

Alternative formats

Documents can be provided upon request in an alternate format for individuals with disabilities or in a language other than English for people with limited English skills. To request a document in another format or language, call DEQ in Portland at 503-229-5696, or toll-free in Oregon at 1-800-452-4011, ext. 5696; or email deqinfo@deq.oregon.gov.

Revision number	Revision Date	Changes that were made
November 2022	12/12/2022	Added SERP applicant guide version Fixed links, added links, and fixed grammar Removed state from contact information. Addition of notes under #4. Moved wording and added clarity to #22-26. Added wastewater volume to #29.