



**Department
of Commerce**

Division of Financial Institutions

UNLICENSED FAMILY TRUST COMPANY AFFIDAVIT OF SENIOR OFFICER

Ohio Family Trust Company Act
Ohio Revised Code Section 1112.03

**Ohio Department of Commerce
Division of Financial Institutions**

77 South High Street, 21st Floor

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WARNING: It is a crime to provide a false statement to a government official or public agency. R.C. 2921.13.

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AFFIDAVIT OF SENIOR OFFICER FOR AN UNLICENSED FAMILY TRUST COMPANY

R.C. 1112.03(A)

**To be submitted before commencing operations as a family trust company and
annually thereafter by July 1 each year**

INSTRUCTIONS. Complete this form and the affidavit, and submit them to the Division of Financial Institutions at the address provided below:

1. Prior to commencing business as an unlicensed family trust company in the State of Ohio;
and
2. Annually each year thereafter, on or before July 1.

Provide the following contact information for the unlicensed family trust company:

Company Name

Company Street Address

Company City and Zip Code

Company Contact Person – Printed Name

Company Contact Person – Phone Number

Company Contact Person – Email Address

**AFFIDAVIT OF SENIOR OFFICER FOR AN UNLICENSED
FAMILY TRUST COMPANY****R.C. 1112.03(A)****To be submitted before commencing operations as a family trust company and
annually thereafter by July 1 each year**

State of _____

SS.

County of _____

Under penalties of perjury, I, the undersigned, do hereby swear or affirm that
_____ (the "Company"), located at _____
(Street Address), _____ (City), Ohio _____ (Zip Code), meets
all the requirements of Ch. 1112 of the Ohio Revised Code to transact business as an unlicensed
family trust company in Ohio, including, but not limited to, the following:

- The Company is a corporation or limited liability company (circle one) organized under Ohio law;
- The Company is organized to serve only family clients;
- The Company is wholly owned by family clients and is exclusively controlled, either directly or indirectly, by one or more family members or family entities;
- The Company acts as a fiduciary;
- The Company does not transact trust business with, propose to act as a fiduciary for, or accept trust business from, a person that is not a family client as defined in R.C. 1112.01;
- Except as otherwise provided in R.C. 1112.05(A)(10), the Company does not receive money or its equivalent from any individual for deposit, make loans of any nature to any individual or entity, or otherwise conduct a general banking business; and
- The Company does not engage in trust business with, or advertise its services to, the public.

Senior Officer PRINTED Name & Title_____
Senior Officer SIGNATURE

Subscribed and sworn to or affirmed before me this ____ day of _____, 20____.

Seal or stamp must be affixed to original

Notary Public PRINTED name

Notary Public SIGNATURE

My Commission Expires:

Please mail original affidavit to:

Ohio Department of Commerce
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