

# Charitable Investment Advisor Program Application

This Program allows Account Holders with more than \$250,000 in a Giving Account<sup>®</sup> to nominate their investment advisor to manage Fidelity Charitable's assets. **All required fields are marked with an asterisk (\*).**

Type or print clearly in CAPITAL letters and black ink. If you need more room for information or signatures, make a copy of the relevant page.

## Helpful to Know

- Corporations and other business entities should complete the Fidelity Charitable Organizational Giving Account Application found on [Fidelitycharitable.org](http://Fidelitycharitable.org) or through our Charitable Planning Specialists at 800-682-4438.
- This form requires Account Holders to work with their advisor to complete certain information. Both the Account Holder and the advisor must sign this application.
- The term "Giving Account" refers to a donor-advised fund at Fidelity Charitable. Giving Account balances include both the advisor-managed charitable balances and any balances in the Fidelity Charitable investment pools.
- Please refer to the *Charitable Investment Advisor Program: Description and Investment Policy Guidelines* for administrative fees associated with Giving Accounts enrolled in the Charitable Investment Advisor Program.
- To contribute securities or mutual funds held at a firm other than Fidelity, the attached Letter of Instruction is required.
- To contribute cash, securities, or mutual funds held in a nonretirement Fidelity brokerage account, check the box in Section 3, Subsection B for authorization, and disregard the attached Letter of Instruction.

## 1. Account Holder Information

### A. ☐ Existing Giving Account Holder

Please provide your information below and proceed to **Section 2**.

Account Holder Name*	Giving Account Name	Giving Account Number*

### B. ☐ New Giving Account Holder

Please complete the section below.

#### Account Holder Details

All Account Holders named on the Giving Account<sup>®</sup> have full and equal privileges. There can be up to four Account Holders, with one person serving as the Primary Account Holder to whom all Giving Account<sup>®</sup> correspondence will be sent, with the exception of confirmations related to contributions made by an Additional Account Holder.

#### Primary Account Holder

First Name*	M.I.	Last Name*	Social Security or Taxpayer ID Number*
Salutation e.g., Dr. and Mrs. John Smith; Joan and John Smith			
Date of Birth* MM DD YYYY	Email*		
Evening Phone	Daytime Phone*	Extension	

This will appear on Giving Account<sup>®</sup> correspondence.

#### Citizenship

Check one.

☐ U.S. citizen ☐ U.S. resident alien

#### Name Your Giving Account

Grants made to charities are accompanied by a letter that includes the Giving Account name, unless anonymity is specifically requested.

Giving Account Name* e.g., Smith Family Fund

Account Holder Information continues on next page. ►►

Provide the address used for tax reporting. Cannot be a P.O. Box, mail drop, or c/o.

**Legal/Residential Address**

Address*			
City*	State/Province*	ZIP/Postal Code*	Country

**Mailing Address**
☐ Same as legal/residential address

Address*			
City*	State/Province*	ZIP/Postal Code*	Country

**Additional Account Holder** *Optional*

First Name*	M.I.	Last Name*	Social Security or Taxpayer ID Number*
Salutation <i>e.g., Dr. and Mrs. John Smith; Joan and John Smith</i>			
Date of Birth* <i>MM DD YYYY</i>	Email*		
Evening Phone	Daytime Phone*	Extension	

**Citizenship**

Check one.

☐ U.S. citizen   ☐ U.S. resident alien
**Legal/Residential Address**

Provide the address used for tax reporting. Cannot be a P.O. Box, mail drop, or c/o.

Address*			
City*	State/Province*	ZIP/Postal Code*	Country

**Mailing Address**
☐ Same as legal/residential address

Address*			
City*	State/Province*	ZIP/Postal Code*	Country

Please go to **Section 5** to add Additional Account Holders.

**Successor Election**

For more details, refer to the Program Circular, available online at [FidelityCharitable.org](http://FidelityCharitable.org).

Account Holders can name individuals (Individual Account Holders) to succeed them on the Giving Account and/or may recommend IRS-qualified public charities (Charitable Organizations) to receive part or all of the balance and/or may recommend the Endowed Giving Program. If no successor is elected upon notification of the death of the last remaining Account Holder, the Trustees will liquidate the remaining assets in the Giving Account and distribute the proceeds to the Trustees' Philanthropy Fund. You may specify more than one successor for each option (attach additional sheets), and you may choose any combination of the two successor options. (Total successor allocation among the two options must total 100%.) Refer to the Program Circular, available online at [FidelityCharitable.org](http://FidelityCharitable.org), for more details. Account Holders participating in the Charitable Investment Advisor Program who enroll in the Endowed Giving Program may recommend having the Giving Account assets managed by their CIAP-qualified investment firm. All investment firms participating in CIAP are subject to ongoing review and approval by Fidelity Charitable.

Account Holder Information continues on next page. ►►

**Individual Successor** Attach additional sheets if more than one.

% of Giving Account*
%

First Name*	M.I.	Last Name*	Social Security or Taxpayer ID Number*
Date of Birth* MM DD YYYY	Email*	Relationship to Account Holder	

**Legal/Residential Address**

Provide the address used for tax reporting. Cannot be a P.O. Box, mail drop, or c/o.

Address*			
City*	State/Province*	ZIP/Postal Code*	Country

**Citizenship**

Check one.

☐ U.S. citizen    ☐ U.S. resident alien
**Charitable Organization Successor** Attach additional sheets if more than one.

% of Giving Account*
%

Organization Name*	Federal Taxpayer ID Number*
Phone*	

**Mailing Address**

Address*			
City*	State/Province*	ZIP/Postal Code*	Country

COMBINED TOTAL OF INDIVIDUAL AND CORPORATE SUCCESSORS MUST EQUAL

Total
1 0 0 %

Successor Charitable Organizations are reviewed at initial recommendation, upon the death of the last remaining Account Holder, and before the grant distribution.

**Endowed Giving Program \$100,000 minimum**

Account Holders who wish to support charitable organizations beyond their lifetime by providing ongoing grants to up to six (6) charitable beneficiaries may enroll in the Endowed Giving Program. A \$100,000 minimum Giving Account balance is required at activation, which occurs at the death of the last remaining Account Holder. Please refer to the Program Circular and the Endowed Giving Program Enrollment Form found online at [FidelityCharitable.org](http://FidelityCharitable.org) for eligibility and further details. The Endowed Giving Program requires a minimum total annual distribution amount of 5% of the Giving Account balance or applicable IRS minimum percentage, whichever is greater. If amounts distributed in a given year do not meet this minimum, Fidelity Charitable will calculate and distribute the difference to the charitable grant recipients in the same proportion as recommended. Distribution calculations are based on the Giving Account balance as of December 31 of the prior calendar year.

Form continues on next page. ►►

## 2. Nominated Advisor Firm Information

Complete the section below to nominate an investment advisor to actively manage the charitable assets in a Giving Account. Eligible advisors must adhere to the *Charitable Investment Advisor Program: Investment Policies and Guidelines*, as amended from time to time.

### A. Nominated Advisor Firm and Advisor Representative Information

Firm Name*			
Firm Mailing Address*			
City*	State/Province*	ZIP/Postal Code*	Country
Primary Advisor Representative Name*			
Advisor Representative Phone*		Advisor Representative Email*	Birthday* MMDD

### G Numbers

*If the advisor representative works with Fidelity Clearing & Custody Solutions®, the G number(s) associated with the advisor firm are required.*

Primary G Number	
G	G
G	G

Additional Advisor Representative Name*		
Role <small>Select all that apply.</small>		
<input type="checkbox"/> Investment Advisor	<input type="checkbox"/> Operational	<input type="checkbox"/> Investment Advisor Associate
Advisor Representative Phone*	Advisor Representative Email*	Birthday* MMDD
Additional Representative Name*		
Role <small>Select all that apply.</small>		
<input type="checkbox"/> Advisory	<input type="checkbox"/> Operational	<input type="checkbox"/> Client Service
Advisor Representative Phone*	Advisor Representative Email*	Birthday* MMDD

### B. Nominated Advisor Access

By participating in the Charitable Investment Advisor Program, your advisor will have access to your Giving Account and limited transaction capability,\* as described below:

- Obtain Giving Account information, e.g., balance, contribution, and grant history
- Make contributions to Fidelity Charitable for allocation to the Giving Account
- Recommend investment pool allocation and balance transfers
- Add or delete a successor and change successor elections
- Change Account Holder(s) address, email, phone, fax

It is Fidelity Charitable's practice to allow both a primary advisor and designated members of an advisory firm to have access to a Giving Account.

- ☐ Account Holder elects to give advisor representative access **only** to the advisor-managed charitable assets in the Giving Account. NOTE: By limiting the advisor representative's access, they will not be able to view the remainder of the Giving Account or have any administrative rights.

If you would like an additional individual to have Giving Account access on your behalf, please complete a Giving Account Access Form, available online at [FidelityCharitable.org](http://FidelityCharitable.org) or by contacting Fidelity Charitable at 800-682-4438.

\*Advisor representatives enrolled in the Charitable Investment Advisor Program cannot have grant recommendation privileges on a participating Account Holder's Giving Account.

Nominated Advisor Firm Information continues on next page. ►►

**C. Investment Advisory Fees**

*The investment advisory fee is separate from Fidelity Charitable's administrative fee. Please refer to the Charitable Investment Advisor Program: Description and Investment Policy Guidelines for Fidelity Charitable fees.*

Please indicate the investment advisory fee that your firm will assess to this Giving Account.

**If your firm uses a tiered fee schedule (Blended Fee or Flat Fee), the corresponding table is required.**

Rate:

☐ No Fee

☐ Blended Fee Schedule *(Results in weighted average fee based on the below schedule.)*

First Amount	Basis Points
\$	
Next Amount	Basis Points
\$	
Next Amount	Basis Points
\$	
Next Amount	Basis Points
\$	
Next Amount	Basis Points
\$	
Next Amount	Basis Points
\$	
Next Amount	Basis Points
\$	

☐ Flat Fee \_\_\_\_\_ basis points *(Flat rate on CIAP assets regardless of account value.)*

☐ Flat Fee Schedule *(Flat rate on CIAP assets based on the account values in the below schedule.)*

Amount	Up To Amount	Basis Points
\$	\$	
Amount	Up To Amount	Basis Points
\$	\$	
Amount	Up To Amount	Basis Points
\$	\$	
Amount	Up To Amount	Basis Points
\$	\$	
Amount	Up To Amount	Basis Points
\$	\$	
Amount	Up To Amount	Basis Points
\$	\$	
Amount	Up To Amount	Basis Points
\$	\$	

Frequency:

☐ Monthly ☐ Quarterly ☐ Semi-Annual ☐ Annual ☐ Other

Specify

Billing Style:

☐ In Advance ☐ In Arrears

Balance: *Indicate the balance utilized for fee charges.*

☐ Period End Balance ☐ Average Daily Balance ☐ Average Month-End Balance

☐ Average Quarter-End Balance ☐ Other

Specify

Nominated Advisor Firm Information continues on next page. ►►

## C. Investment Advisory Fees (continued)

Please indicate any other fees that will be assessed to this Giving Account e.g., program fees, separate account manager fees.

Rate:

☐ No Fee

☐ Flat Fee \_\_\_\_\_ basis points (Flat rate on CIAP assets regardless of account value.)

☐ Blended Fee Schedule (Results in weighted average fee based on the below schedule.)

☐ Flat Fee Schedule (Flat rate on CIAP assets based on the account values in the below schedule.)

First Amount	Basis Points
\$ _____	_____
Next Amount	Basis Points
\$ _____	_____
Next Amount	Basis Points
\$ _____	_____
Next Amount	Basis Points
\$ _____	_____
Next Amount	Basis Points
\$ _____	_____
Next Amount	Basis Points
\$ _____	_____
Next Amount	Basis Points
\$ _____	_____

Amount	Up To Amount	Basis Points
\$ _____	\$ _____	_____
Amount	Up To Amount	Basis Points
\$ _____	\$ _____	_____
Amount	Up To Amount	Basis Points
\$ _____	\$ _____	_____
Amount	Up To Amount	Basis Points
\$ _____	\$ _____	_____
Amount	Up To Amount	Basis Points
\$ _____	\$ _____	_____
Amount	Up To Amount	Basis Points
\$ _____	\$ _____	_____
Amount	Up To Amount	Basis Points
\$ _____	\$ _____	_____

Frequency:

☐ Monthly

☐ Quarterly

☐ Semi-Annual

☐ Annual

☐ Other

Specify

Billing Style:

☐ In Advance

☐ In Arrears

Balance: Indicate the balance utilized for fee charges.

☐ Period End Balance

☐ Average Daily Balance

☐ Average Month-End Balance

☐ Average Quarter-End Balance

☐ Other

Specify

**D. Dividend and Capital Gain Payments** Only applicable when charitable assets are custodied at Fidelity Investments

The selections below concern the handling of any dividends, capital gains, and similar payments made by securities in the advisor-managed portion of the Giving Account. Any payments that you choose to have reinvested will be used to purchase additional shares of the security that is making the payment. Any payments that you choose to have paid to the account will be placed into the advisor-managed portion of the Giving Account.

Check one only.

☐ **Reinvest:** Payments from all mutual funds (Default)

**Pay to Core Account:** Payments from all eligible U.S. equities and closed-end funds (Default)

☐ **Reinvest:** Payments from all eligible U.S. equities and closed-end funds

**Pay to Core Account:** Payments from all mutual funds

☐ **Reinvest:** Payments from all eligible securities; any payments from ineligible securities will be paid to the Core Account

☐ **Pay All to Core Account**

Form continues on next page. ►►

### 3. Irrevocable Contribution

Participation in the Charitable Investment Advisor Program requires a \$250,000 minimum balance. **Please note: For existing Account Holders, if your current Giving Account balance exceeds \$250,000 and you will not be making an additional contribution, please proceed to Section 4.**

For contributions of assets that are not publicly traded, such as restricted stock, private company stock, real estate, and limited partnership interests, please call Fidelity Charitable at 800-682-4438.

#### A. Where Will the Advisor Firm Custody the Charitable Assets?

☐ Fidelity Investments. Please proceed to **Section B**.

☐ Other

Firm Name\*

Please follow your advisor firm's instructions for transferring assets and proceed to **Section 4**.

**NOTE:** Your entire contribution will automatically be allocated to the advisor-managed portion of your Giving Account. Assets being contributed in-kind to the Advisor-Managed Account *will not* be liquidated. If you would prefer to have Fidelity Charitable allocate your entire contribution to the portion of your Giving Account invested in the investment pools, please contact Fidelity Charitable at 800-682-4438.

#### B. What Assets Are You Contributing?

Complete the applicable section below and check the appropriate box. If your employer matches charitable contributions to charities with donor-advised fund programs, please include the appropriate company paperwork.

**NOTE:** Securities held for one year or less are generally deductible at the lesser of cost basis or fair market value.<sup>1</sup>

#### C. Who is the tax receipt recipient?

Tax Receipt Recipient\*

#### Cash, Securities, or Mutual Funds Held at Fidelity

Fidelity Charitable is not able to process irrevocable contribution requests from Fidelity IRA accounts. Alternatively, please log in to Fidelity.com and request a one-time distribution from your IRA account to either a nonretirement Fidelity account or via EFT to your personal bank account. Once the distribution has settled, then log in to FidelityCharitable.org and process an irrevocable contribution to your Giving Account.

##### I. Cash or Cash Equivalents

☐ Contribute cash held at Fidelity

Cash Transfer Amount*	Fidelity Account Number*
\$	

##### II. Securities or Mutual Funds

☐ Contribute the following securities or mutual funds held at Fidelity. *Note: All trades are transacted in shares. If you prefer to indicate a dollar amount, we will make a current estimate (based on the previous business day's closing share price) of the number of shares needed to reach the dollar amount indicated. However, due to market conditions at the close of the market on the day the transaction is processed, the amount may be above or below the dollar amount you indicated. Fidelity Brokerage customers see Section 4.*

Security/Mutual Fund Name*	Symbol*	Fidelity Account Number*
Number of Shares*	Approximate Dollar Amount <i>Optional</i>	
	\$	
Security/Mutual Fund Name*	Symbol*	Fidelity Account Number*
Number of Shares*	Approximate Dollar Amount <i>Optional</i>	
	\$	

#### Required for Fidelity Investments customers

☐ By checking this box, You (an account owner of a Fidelity brokerage account) are 1) authorizing National Charitable Services Corp. ("NCS") to accept instructions from You and/or your Authorized Interested Party/Agent/Advisor ("Your Agent") to transfer cash and securities from a Fidelity nonretirement brokerage account to Fidelity Charitable, as a charitable contribution, without requiring additional written instructions from You; and 2) authorizing Fidelity Brokerage Services LLC and National Financial Services LLC to act on such instructions directly from NCS without additional authorizations from You. This authorization shall apply only to nonretirement Fidelity brokerage accounts

Irrevocable Contribution continues on next page. ►►

on which You are registered as an owner ("Fidelity Account"), and in the case of Your Agent, for which Your Agent has been authorized with full trading authority or asset movement authorization level 1 or 2. This authorization will be relied upon only when You or Your Agent provides contribution instructions to Fidelity Charitable. This authorization is subject to the terms and conditions described in Section 6 of this form. Please read these terms and conditions carefully and retain them for your records.

### Cash, Securities, or Mutual Funds Not Held at Fidelity

#### III. Check or Wire

*Fidelity Charitable® will not accept contributions of currency or certain cash-like monetary instruments, including cashier's checks, treasurer's checks, bank checks, official checks, bank drafts, traveler's checks, postal money orders, or money orders.*

☐ Check

Check Amount*
\$

Make checks payable to Fidelity® Charitable Gift Fund and reference the Giving Account number or name in the memo section.

☐ Wire

Wire Amount*	Bank Name*
\$	

Wire to: JPMorgan Chase Bank, New York, NY, ABA Number: 021000021 For credit to: National Financial Services LLC, Account: 066196-221 For benefit of: Fidelity® Charitable Gift Fund, Z97-000442. For further credit to: Name and Giving Account Number (if known). **Fidelity Charitable will not initiate this wire transfer.**

#### IV. Securities or Mutual Funds

**You must complete the attached Letter of Instruction. Please refer to the Letter of Instruction for mailing instructions.**

<sup>1</sup>Information provided is general and educational in nature. It is not intended to be, and should not be construed as, legal or tax advice. Fidelity Charitable does not provide legal or tax advice. Content provided relates to taxation at the federal level only. Availability of certain federal income tax deductions may depend on whether you itemize deductions. Rules and regulations regarding tax deductions for charitable giving vary at the state level, and laws of a specific state or laws relevant to a particular situation may affect the applicability, accuracy, or completeness of the information provided. Charitable contributions of capital gain property held for more than one year are usually deductible at fair market value. Deductions for capital gain property held for one year or less are usually limited to cost basis. Consult an attorney or tax advisor regarding your specific legal or tax situation.

#### V. Stock Certificates Held in Personal Possession

☐ Contribute the following stock certificates. *Note: Endorse certificate(s) by writing "National Financial Services LLC" between the words "appoint" and "attorney" in the space provided on the back of each certificate. **Date and Sign** the certificate exactly as your name(s) appears on the front. Mail certificates along with this application via registered mail.*

Name of Stock*	Number of Shares*
Name of Stock*	Number of Shares*

### 4. Signatures and Dates

By signing below, Account Holder(s):

- Acknowledges that you have read the current *Fidelity Charitable Policy Guidelines: Program Circular* and the *Charitable Investment Advisor Program: Investment Policies and Guidelines* and agree to the terms and conditions described therein.
- Will promptly notify Fidelity Charitable in writing of any changes.
- Understands that any contribution, once accepted by the Trustees, represents an irrevocable contribution to Fidelity Charitable and is not refundable.
- Certifies that to the best of your knowledge all information presented in connection with this form is accurate.
- Acknowledge that you did not receive goods or services in exchange for, or in consideration of, this contribution.

Primary Account Holder Name*	
Primary Account Holder Signature*	Date* MM-DD-YYYY
<b>SIGN</b> ▶	▶

Signatures and Dates continues on next page. ▶▶



Additional Account Holder Name*	
Additional Account Holder Signature*	Date* MM-DD-YYYY
<b>SIGN</b> ▶	▶

### Investment Advisor Signature

By signing below, the nominated Investment Advisor:

- Acknowledges that you have read the current Fidelity Charitable Policy Guidelines: Program Circular and the Charitable Investment Advisor Program: Investment Policies and Guidelines and agree to manage the assets in accordance with all the Guidelines.
- Agrees to disclose to the Account Holder the advisory fee that will be charged to Fidelity Charitable and agrees to provide invoices to Fidelity Charitable to substantiate such fees.
- Acknowledges that the benchmark you provide will be used to measure performance for the advisor-managed portion of the Giving Account.
- Will promptly notify Fidelity Charitable in writing of any changes to the information set forth in this form.

Investment Advisor Name*	
Investment Advisor Signature*	Date* MM-DD-YYYY
<b>SIGN</b> ▶	▶

Under policies of Fidelity Charitable, and in accordance with the anti-money laundering regulations applicable to the various financial institutions that provide financial services to Fidelity Charitable, we obtain, record, and may verify information that identifies each person who establishes a Giving Account at Fidelity Charitable, and other people who contribute or have access to the Giving Account.

What this means: Fidelity Charitable will ask for the name, address, Social Security number, date of birth, and other information that will allow us to identify people with access to the Giving Account. We may also ask to see individual driver's licenses or other identifying documents, and we may verify the information we obtain.

## 5. Additional Account Holders *Optional*

### Additional Account Holder

First Name*	M.I.	Last Name*	Social Security or Taxpayer ID Number*
Salutation e.g., Dr. and Mrs. John Smith; Joan and John Smith			
Date of Birth* MM DD YYYY	Email*		
Evening Phone	Daytime Phone*	Extension	

### Citizenship

Check one.

☐ U.S. citizen ☐ U.S. resident alien

### Legal/Residential Address

Provide the address used for tax reporting. Cannot be a P.O. Box, mail drop, or c/o.

Address*			
City*	State/Province*	ZIP/Postal Code*	Country

Additional Account Holders continues on next page. ▶▶

**Mailing Address**☐ Same as legal/residential address

Address*			
City*	State/Province*	ZIP/Postal Code*	Country

By signing below, Additional Account Holder:

- Acknowledges that you have read the current *Fidelity Charitable Policy Guidelines: Program Circular and the Charitable Investment Advisor Program: Investment Policies and Guidelines* and agree to the terms and conditions described therein.
- Will promptly notify Fidelity Charitable in writing of any changes.
- Understands that any contribution, once accepted by the Trustees, represents an irrevocable contribution to Fidelity Charitable and is not refundable.
- Certifies that to the best of your knowledge all information presented in connection with this form is accurate.

Additional Account Holder Name*	
Additional Account Holder Signature*	Date* MM-DD-YYYY
<b>SIGN</b> ▶	▶

Section 5 may be copied should space be required to list additional Account Holders.

**Did you sign the form and attach any necessary documents?**

Send form and any attachments to Fidelity Charitable.

**Questions?** Call 800-682-4438.**Regular mail**Fidelity Charitable  
P.O. Box 770001  
Cincinnati, OH 45277-0001

OR

Fax form to: 877-665-4274

**Overnight mail**Fidelity Charitable  
100 Crosby Parkway KC1D-FCS  
Covington, KY 41015-4325

Form continues on next page. ▶▶

## 6. Terms and Conditions

### Terms and Conditions for Fidelity Brokerage Customers

By granting this authorization, You understand and agree that Fidelity Brokerage Services LLC, National Financial Services LLC, and National Charitable Services Corp. (collectively hereinafter "Fidelity") and Fidelity Charitable will not undertake to confirm Your Agent's representations or instructions or to monitor Your Agent's compliance with your instructions to him or her and will rely solely upon the instructions of Your Agent for these transfers. You understand that You should carefully review your account documentation and monitor all activity in your Fidelity Account and your Giving Account® at Fidelity Charitable. Fidelity or NCS may require direct instructions from You on transactions over a certain dollar amount. Upon requests for any account-related activity in your Fidelity Account or your Giving Account® at Fidelity Charitable from Your Agent, Fidelity and/or Fidelity Charitable reserves the right, but is not obligated, to confirm with You any of your or Your Agent's instructions prior to acting on them and to restrict or not accept requests for these transfers, at its/their own discretion.

Your Agent is authorized to act for You and on your behalf in the same manner and with the same force and effect as you might or could do to the extent necessary or incidental to the furtherance or conduct of the Fidelity Account in accordance with this authorization or your separate standing instructions. Your Agent will not conduct activity in your Fidelity Account that exceeds its authority under this authorization or any other agreement governing your Fidelity Account(s). This authorization shall be applicable to all assets you hold in your Fidelity Account(s). No Fidelity entities are affiliated with Your Agent (excluding however, Strategic Advisers, Inc.) and have no relationship except as described in this authorization. Neither Fidelity nor Fidelity Charitable will have any duty to inquire into the authority of Your Agent to engage in particular transactions or to monitor the terms of any oral or written agreement between You and Your Agent. Your Agent is obligated to comply with, and make all disclosures as required by, all applicable state, federal, and industry securities laws and regulations, and interpretations promulgated thereunder, including but not limited to the Investment Advisers Act of 1940, the Securities Exchange Act of 1934, the Investment Company Act of 1940, and the NASD Rules and/or FINRA rules. Neither Fidelity nor Fidelity Charitable will undertake to confirm or ensure that Your Agent remains in compliance with its obligations. You agree to indemnify and hold harmless Fidelity Brokerage Services LLC, National Financial Services LLC, National Charitable Services Corp., and Fidelity Charitable, and any of its affiliates and their respective officers, directors, employees, and agents from and against any and all losses, claims, or financial obligations (including reasonable attorneys' fees) that may arise from any act or omission of Your Agent with respect to your Fidelity Account or Giving Account® at Fidelity Charitable. This authorization is a continuing one and shall remain in full force and effect until either Fidelity or Fidelity Charitable is notified in writing of your death, disability, or incapacity or unless revoked through written notice actually received by either Fidelity or Fidelity Charitable. Such revocation, however, shall not affect any prior liability in any way resulting from any transaction initiated before receipt of the revocation. Furthermore, it is understood that this authorization and indemnity is in addition to, and in no way restricts, any rights that may exist at law or under any other agreement(s) between You and Fidelity Brokerage Services LLC, National Financial Services LLC, National Charitable Services Corp., or Fidelity Charitable. This authorization and indemnity shall be construed, administered, and enforced according to the laws of the Commonwealth of Massachusetts. It shall inure to the benefit of Fidelity and Fidelity Charitable, and of any successor organization(s) (whether by merger, consolidation, or otherwise) irrespective of any change(s) at any time in the personnel thereto for any cause whatsoever and to the benefit of the affiliates and the assigns of Fidelity or Fidelity Charitable or any successor organization. It is further understood that Fidelity and/or Fidelity Charitable reserves the right to cease accepting instructions from You or Your Agent at its sole discretion and for its sole protection.

Fidelity Charitable is the brand name for the Fidelity Investments® Charitable Gift Fund, an independent public charity with a donor-advised fund program. Various Fidelity companies provide services to Fidelity Charitable. Fidelity Charitable and Fidelity are registered service marks, and the Fidelity Charitable logo is a service mark, of FMR LLC, used by Fidelity Charitable under license. Giving Account is a registered service mark of the Trustees of Fidelity Charitable. 660427.8.0 (03/2022)



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# Letter of Instruction Information

Use this Letter of Instruction **ONLY** if you are transferring securities or mutual funds held at a firm other than Fidelity and have completed Section 3(B)(IV) of the Charitable Investment Advisor Program Application. If you are transferring cash, refer to the instructions in Sections 3(B)(I) or 3(B)(III) of the Charitable Investment Advisor Program Application.

## Helpful to Know

- Complete the Letter of Instruction on the following page. All parties who are registered on the delivering firm's account(s) must sign the letter.
- Submit documentation according to the following instructions.

### FOR MUTUAL FUNDS HELD AT A FIRM OTHER THAN FIDELITY

#### Mail to Fidelity Charitable®:

- Original Letter of Instruction.
- Copy of a recent statement from the transferring mutual fund company.
- Charitable Investment Advisor Program Application, if not previously or separately submitted.

Do not send anything to the transferring mutual fund company.

### FOR STOCKS HELD AT A FIRM OTHER THAN FIDELITY

#### Mail to Fidelity Charitable®:

- Original Letter of Instruction.

#### AND

#### Mail or fax to Fidelity Charitable:

- Copy of the Letter of Instruction.
- Charitable Investment Advisor Program Application, if not previously or separately submitted.

### FOR DIVIDEND REINVESTMENT PLANS *DRIPs*

Call Fidelity Charitable at 800-682-4438 for instructions.

Fidelity Charitable is the brand name for the Fidelity Investments® Charitable Gift Fund, an independent public charity with a donor-advised fund program. Various Fidelity companies provide services to Fidelity Charitable. Fidelity Charitable and Fidelity are registered service marks, and the Fidelity Charitable logo is a service mark, of FMR LLC, used by Fidelity Charitable under license. Giving Account is a registered service mark of the Trustees of Fidelity Charitable. 660427.8.0 (03/2022)



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# Letter of Instruction

Type or print clearly in CAPITAL letters and black ink. If you need more room for information or signatures, make a copy of the relevant page. **All required fields are marked with an asterisk (\*).**

## 1. Account Holder Instructions

**Complete and deliver this Letter of Instruction as follows:**

Mutual Funds (two to four weeks to complete transfer from receipt of instructions): Mail letter along with mutual fund statement and completed Charitable Investment Advisor Program Application to Fidelity Charitable®.

Securities: Mail original letter and a completed Charitable Investment Advisor Program Application to Fidelity Charitable®. If shares are part of a DRIP, please call Fidelity Charitable at 800-682-4438 for instructions.

Delivering Broker's Firm*		Broker Name	
Date* MM DD YYYY	Daytime Phone*	Extension	
Address*			
City*		State*	ZIP*

### Transfer instructions

**FROM:**

Outside Firm Account Number*
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**TO:**

Fidelity Charitable® Giving Account® Number <i>if known</i>
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**Note to Broker-Dealer** — this Giving Account Number is not the valid delivery brokerage account. See the Broker-Dealer Instructions in the following section.

Number of Shares*	Approx. Dollar Amt. <i>if known</i>	OF	Security/Mutual Fund Name*
	\$		
Number of Shares*	Approx. Dollar Amt. <i>if known</i>		Security/Mutual Fund Name*
	\$	OF	
Number of Shares*	Approx. Dollar Amt. <i>if known</i>	OF	Security/Mutual Fund Name*
	\$		

Form continues on next page. ►►

## 2. Signatures and Dates

Please accept this Letter of Instruction as my authorization to irrevocably transfer the above positions from my account with your firm to Fidelity Charitable.

	Owner Name*
DATE	Date* MM-DD-YYYY
SIGN	Owner Signature*

AUTHORIZED FOR FIDELITY USE ONLY

▼ MEDALLION SIGNATURE GUARANTEE ▼

	Owner/Authorized Individual Name*
DATE	Date* MM-DD-YYYY
SIGN	Owner/Authorized Individual Signature*

AUTHORIZED FOR FIDELITY USE ONLY

▼ MEDALLION SIGNATURE GUARANTEE ▼

## 3. Broker-Dealer Instructions

Deliver all eligible securities per these instructions. **Shares should be transferred in kind and are not to be liquidated.**

Deliver to: DTC 0226 Acct Z97000442

FBO: ACCT# \_\_\_\_\_

Any physical deliveries (i.e., certificates) should be sent via registered mail to:  
Fidelity Charitable, 100 Crosby Parkway, Mail Zone KC1D-FCS, Covington, KY 41015-9325

### **Mutual Fund Transfers Only**

If NFS cannot accommodate the transfer of the positions above, this document will be accompanied by a letter of instruction to transfer the above shares to an account at your firm in the name of Fidelity Charitable. In such circumstances, please accept this letter as instruction and authorization to transfer the shares to the Fidelity Charitable account at your firm.

### **Transfer Agents**

For DRS-eligible securities do not open a DRS account. The transfer will be initiated by the receiving firm.

#### **Did you sign the form and attach any necessary documents?**

Send form and any attachments to Fidelity Charitable.

**Questions?** Go to [FidelityCharitable.org](https://FidelityCharitable.org) or call 800-682-4438.

#### **Regular mail**

Fidelity Charitable  
P.O. Box 770001  
Cincinnati, OH 45277-0001

#### **Overnight mail**

Fidelity Charitable  
100 Crosby Parkway  
Mail Zone KC1D-FCS  
Covington, KY 41015-4325

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