

Self-Assessment Compliance Audit Checklist

For the operators of gaming machines in Hotels and Clubs

March 2022

In December 2020, a significant package of gambling reforms came into effect in South Australia. This included changes to each of the gambling acts, new advertising and responsible gambling codes of practice, amended gaming machine licence conditions and new gambling administration guidelines and community impact guidelines.

Additional changes and new requirements have been introduced over the past 12 months which the operators of gaming machines in Hotels and Clubs should be aware of to ensure that gaming operations remain compliant.

This self-assessment compliance audit checklist has been developed to assist licensees in assessing their level of compliance with legislation, regulations, licence conditions and codes of practice for operating gaming machines.

Completion of the form is encouraged by Consumer and Business Services (CBS).

While not mandatory, it is strongly recommended that the self-assessment checklist be completed by a licensee at least every six months.

It is also recommended that the self-assessment checklist be viewed and signed off by a Gaming Manager and the licensee (or an operational director or committee if the licensee is a body corporate).

Licensees with TAB facilities should also contact TAB for advice and assistance on TAB compliance matters.

Latest update

This latest version of the self-assessment compliance checklist has been updated to include changes made to the Gaming Machines Act 1992 and Gaming Machines Regulations 2020, which came into operation on 9 December 2021.

In addition, changes made to the gaming machine licence conditions (known as the Attachment B Licence Conditions) on 7 February 2022 have also been included.

These changes apply to—

- the treatment of unredeemed TITO tickets issued by gaming machines which have expired;
- dealing with unclaimed winnings from gaming machines;
- the treatment of residual jackpots in the event that gaming machines are decommissioned; and
- the commencement of the *Lotteries Act 2019* and Lotteries Regulations 2021

Additional minor changes have been made to this checklist to clarify certain licensee and gaming staff requirements.

You are encouraged to review your gaming room procedures and to update gaming managers and employees on these changes so that your gaming operations remain compliant.

Also, if you are concerned that alert devices and cameras connected to your facial recognition system have not been located in appropriate positions in the gaming venue, you are encouraged to contact your facial recognition system provider.



Resources

Licensees should be familiar with and have copies or know how to access the following documents:

- [Gambling Administration Act 2019](#)
- [Gambling Administration Regulations 2020](#)
- [Gaming Machines Act 1992](#)
- [Gaming Machine Regulations 2020](#)
- [Attachment A Licence Conditions](#) (for gaming machine licence holders)
- [Attachment B Licence Conditions](#) (for gaming machine licence holders)
- [Gaming Tax Booklet](#)
- [Gaming Machines Gambling Code of Practice \(December 2020\)](#)
- [Authorised Betting Operations Act 2000](#)
- [SA Betting Operations Rules](#)
- [Authorised Betting Operations Gambling Code of Practice \(December 2021\)](#)
- [Guidelines – Inducements and Complimentary Gambling Products \(Betting\)](#)
- [Lotteries Act 2019](#)
- [Lotteries Regulations 2021](#)
- [State Lotteries Gambling Code of Practice \(December 2021\)](#)

Further information

Further information about running a gaming machine venue or making changes to a gaming machine licence is available at sa.gov.au/gambling.

For industry advice, representation or advocacy, please contact your relevant industry body.

Gaming Care – 8100 2499

Club Safe – 8290 2200

For any queries relating to gambling licensing, regulation or enforcement, please contact CBS on 131 882 or liquorandgambling@sa.gov.au.

For any queries relating to barring orders, please contact a CBS barring officer on 131 882 (select option 6) or gamblingadministration@sa.gov.au.

Self-Assessment Compliance Audit**Venue name****Audit date** ***Audit conducted by:***

Name

Position

Signature

Acknowledged by:**Gaming Manager**

Name

Signature

Director / Committee Member / Licensee

Name

Signature

Next audit date

Self-Assessment Compliance Audit Checklist

Section A: Gaming Checklist

Refer to Appendix 3 for glossary of acronyms

If your response to any of the following requirements is 'No', please complete Appendix 4 indicating the item code.

A1 Gaming—Signage & Player Information Requirements

Item	Legislation	Requirements	Compliant
G1	Att A cond (ka) Att B cond (j) Reg 28(2)(a)	Facial Recognition System Notice For licensees operating an approved facial recognition system, is the approved mandatory facial recognition notice displayed at the entrance to each gaming area? <i>Note: Refer to A in Appendix 1 for the approved notice</i> <i>Note: Refer to Appendix Three for display specifications</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G1a	Att A cond (ka) Att B cond (j) Reg 28(2)(a)	Optional Facial Recognition System Notice For licensees operating an approved facial recognition system who choose to display an optional language specific notice, is the notice an approved optional facial recognition notice ? <i>Note: Refer to Appendix Three for display specifications and optional language specific notices</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G2	Att B cond (i)	Gaming Machine Licence Is there an up to date copy of the gaming machine licence displayed in a prominent position at the entrance to each gaming area, or if there is more than one entrance, at the principal entrance?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G3	GMGCP cl 15(1)	Gaming Area Entrance Sign Is the required A3 equivalent sign displayed at each entrance to a gaming area in the form approved by the Commissioner that includes: <ul style="list-style-type: none"> a statement that the gaming area is restricted to people aged 18 and over (18+ only); a statement that the gaming area is regulated by state law and Codes of Practice; and a statement that the gaming area is subject to inspection by a State Government Agency, including a telephone number to call to register a complaint? <i>Note: Refer to B in Appendix 1 for the approved sign</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No

A1 Gaming—Signage & Player Information Requirements (contd.)

Item	Legislation	Requirements	Compliant
G4	GMGCP cl 15(3)	<p>Multilingual Sign</p> <p>Is there at least one A3 equivalent sign approved by the Office for Problem Gambling displayed in a prominent position in each gaming area:</p> <ul style="list-style-type: none"> containing information about the availability of free, confidential and professional help with gambling problems and related issues; and written in English, Arabic, Chinese, Greek, Italian, Vietnamese and any other locally relevant language. <p><i>Note: Refer to C in Appendix 1 for the approved sign</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G5	GMGCP cl 17(5)	<p>Time of Day</p> <p>Is the time of day prominently displayed and visible throughout the gaming areas?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G6	GMGCP cl 17(6)	<p>Code of Practice Available</p> <p>Is a copy of the Gaming Machines Gambling Code of Practice available within each gaming area?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G7	GMGCP cl 16(1)(a)	<p>Approved Responsible Gambling Material</p> <p>Are signs, which have been approved by the Office for Problem Gambling as:</p> <ul style="list-style-type: none"> primary responsible gambling signs, which must be displayed in gaming areas; and additional responsible gambling signs, which may be displayed in gaming areas or other public areas of the premises <p>prominently displayed?</p> <p><i>Note: Venue operators should be familiar with responsible gambling material approved by the Office for Problem Gambling and are strongly encouraged to replace some existing messaging with any new messaging when released.</i></p> <p><i>Note: Refer to D in Appendix 1 for the approved responsible gambling material</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G8	GMGCP cl 16(1)(b)(1)	<p>Approved Responsible Gambling Material</p> <p>For all licensees operating gaming machines—</p> <p>Is there at least one A1 size (or equivalent) approved primary responsible gambling sign in each gaming area?</p> <p><i>Note: A licensee may satisfy a requirement to display one A1 size equivalent sign by displaying two A2, four A3 or eight A4 signs or any logical combination thereof.</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G9	GMGCP cl 16(1)(b)(2)	<p>Approved Responsible Gambling Material</p> <p>For licensees operating more than 10 machines—</p> <p>Is there at least one A1 size (or equivalent) approved responsible gambling sign (either in gaming areas or other public areas of the premises) displayed for every 10 (or part thereof) gaming machines in excess of 10?</p> <p><i>Note: A licensee may satisfy a requirement to display one A1 size equivalent sign by displaying two A2, four A3 or eight A4 signs or any logical combination thereof.</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a

A1 Gaming—Signage & Player Information Requirements (contd.)

Item	Legislation	Requirements	Compliant
G10	GMGCP cl 16(3)	<p>Approved Responsible Gambling Material</p> <p>For licensees who choose to display approved responsible gambling material on an electronic display in lieu of one A1 size equivalent sign—</p> <p>Is the responsible gambling material:</p> <ul style="list-style-type: none"> displayed on a full screen in 16:9 format, that has a diagonal measurement of 1270mm (50") or more for at least three minutes per hour; or displayed on multiple screens in 16:9 format which have a diagonal measurement of less than 1270mm (50") if the total dimensions of all screens are at least 1270mm (50")? <p><i>Note: Refer to E in Appendix 1 for approved responsible gambling (on-screen) messages</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No

A2 Gaming—Facial Recognition Systems

Item	Legislation	Requirements	Compliant
G11	Att A cond (ka)	<p>Requirement to Operate Facial Recognition Technology (FRT)</p> <p>If applicable, if the gaming machine licence authorises the operation of 30 or more gaming machines (<i>being a reference to the number of gaming machine entitlements affixed to a licence</i>), where any one of which may be operated by the insertion of a banknote, is facial recognition technology in operation?</p> <p>Name of FRT Provider: <input type="text"/></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G11a	Att B cond (k)	<p>Annual System Check</p> <p>If applicable, has the licensee verified, at least every 12 months, that the facial recognition system and any devices attached to the system are operating correctly and made a record of the actions taken to verify the correct operation of the system?</p> <p><i>Note: Refer to guidance note (4) in Attachment B for suggested compliance measures</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G11b	Att B cond (l)	<p>Devices used to Receive Alerts</p> <p>If applicable, are notifications displayed on equipment connected to a facial recognition system (including monitors, screens, tablets, smartphones or similar) and used on the licensed premises to receive alerts of persons identified by the system:</p> <ul style="list-style-type: none"> only able to be acknowledged and accessed by a Gaming Manager or Gaming Employee; only to persons acting on behalf of an approved industry body; and must neither be accessible by, nor visible to, members of the general public? <p><i>Note: Refer to guidance note (5) in Attachment B for suggested compliance measures</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a

A3 Gaming—Gaming Machine Operations

Item	Legislation	Requirements	Compliant
G12	GMA s73 Att B cond (c)	Record Keeping Is the licensee maintaining copies of the monthly statement issued by the Independent Gaming Corporation (IGC) showing the monthly gross gaming turnover and net gaming revenue? <i>Note: Refer to guidance note (1) in Attachment B for suggested compliance measures</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G12a	GMA s76 Att B cond (d)	Record of Withheld Winnings If applicable, has the licensee or gaming manager made a record of the relevant details in relation to withholding winnings from a person if a gaming machine or game, is not operating correctly? <i>Note: Refer to guidance note (2) in Attachment B for suggested compliance measures</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G13	GMA s46A	Prescribed Particulars Notified Have any changes to prescribed particulars been notified to the Commissioner? <i>Note: Prescribed particulars means any address for service or other email address, telephone number or street or postal address provided to CBS for purposes connected with the licence.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G14	GMA s49(1)	Prescribed Duties Are prescribed duties in connection with gaming operations conducted on the licensed premises only being performed by a gaming manager or gaming employee in respect of the premises? <i>Note: See regulation 5 of the Gaming Machine Regulations 2020 for duties which are prescribed.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G15	Att A cond (ca)	Possession of Gaming Machines Does the licensee possess more gaming machines than the number of gaming machine entitlements held in respect of the licensed premises?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G15a	Att A cond (d)	Number of Gaming Machines Do the number of gaming machines in any gaming area on the licensed premises exceed the number fixed by the Commissioner as the maximum for that area?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G15b	Att A cond (f)	Gaming Area Have any structural or other alterations been made within a gaming area without the approval of the Commissioner?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G16	GMA s53A(4a)	Gaming Machines with Banknote Acceptors If applicable, has the licensee ensured that gaming machines on the licensed premises do not allow the insertion of a banknote if the cash value of the credit balance on the gaming machine is \$100 or more?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a

A3 Gaming—Gaming Machine Operations (contd.)

Item	Legislation	Requirements	Compliant
G16a	GMA s53A(5)	Denomination of Banknotes If applicable, has the licensee ensured that gaming machines on the licensed premises may only be able to be operated by the insertion of a banknote not greater than \$50?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G17	GMA s64(3)	Gaming Machines to be Sealed Has the licensee ensured that a gaming machine must not be operated unless it has been sealed (other than by an inspector or approved gaming machine technician)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G18	GMA s53A(3)(c) Reg 27(4)	Ticket-in Ticket-out (TITO) If applicable, has the licensee ensured that gaming machines on the licensed premises do not allow the insertion of a cashable ticket if the cash value of the ticket when redeemed would cause the credit meter on the machine to exceed \$149.99?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G19	GMA s53A(3)(c) Reg 27(5)	Ticket-in Ticket-out If applicable, has the licensee ensured that gaming machines on the licensed premises must not issue a ticket with a credit value that is more than \$5 000?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G20	GMGCP cl 17(4)	Messages on Tickets If applicable, has the licensee ensured that tickets issued from gaming machines being operated in connection with a TITO system include the expanded warning message , as set out in Schedule 1 of the Code? <i>Note: Refer to Section B for the expanded warning messages which have been prescribed in Schedule 1 of the Code</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G21	GMGCP cl 18	Multiple Gaming Machine Play Prohibited Has the licensee taken all reasonable and practicable steps to ensure a person plays no more than one gaming machine at a time , and that: <ul style="list-style-type: none"> • if a patron is found to be playing more than one gaming machine at a time (for the first time) they are to be given a warning; and • if the patron does not heed the warning, staff must require the person to leave the gaming area for 24 hours? 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G22	Att A cond (nd)	Coin Dispensing Machines Disabled If gaming operations on the licensed premises are conducted between 2am and 8am , are measures in place to prevent the operation of machines which are designed to change a monetary note into coins?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a

A4 Gaming—Cash Facilities, Cheques, Credit and Winnings

Item	Legislation	Requirements	Compliant
G23	Att B cond (h)	Cashable Ticket Redemption Terminals Disabled If gaming operations on the licensed premises are conducted between 2am and 8am , are measures in place to prevent the operation of Cashable Ticket Redemption Terminals (CRT) which are used for the automated redemption of cashable tickets?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G23a	Reg 27(7) Att B cond (q)	Unredeemed TITO Tickets If applicable, has the licensee confirmed via the IGC web-portal whether the total value of any expired tickets (i.e. tickets not redeemed within 12 months) is \$750 or more for the relevant month? If YES , has the total value of unredeemed tickets for the relevant month been forfeited to the Commissioner using the Liquor and Gaming Online (LGO) Portal ? <i>Note: Excludes unredeemed tickets with a value of less than \$1</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G23b	GMA s76AA(1) Att B cond (da)	Unclaimed Gaming Machine Winnings Any gaming machine winnings of \$50.01 or more that remain unclaimed on a gaming machine after 24 hours are to be forfeited to the Commissioner for payment to the Gamblers Rehabilitation Fund. If applicable , have the unclaimed winnings been forfeited to the Commissioner using the Liquor and Gaming Online (LGO) Portal ?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G23c	GMA s76AA(1) Att B cond (da)	Residual Gaming Machine Jackpots Residual jackpots of \$10,000.01 or more (excluding any start-up value) present on a gaming machine or game when that gaming machine or game is decommissioned are to be forfeited to the Commissioner for payment to the Gamblers Rehabilitation Fund. If applicable , have any residual jackpots been forfeited to the Commissioner using the Liquor and Gaming Online (LGO) Portal ?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G24	GMA s51B	Daily Cash Withdrawal Limit Are all ATMs and EFTPOS devices located on the licensed premises set to restrict or are procedures in place so that cash withdrawals do not exceed on any one debit card or credit card within a 24 hour period, an amount of \$250?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G25	Reg 25(2)	Exemption from Cash Withdrawal Limit If the licensee has been granted an exemption from the daily cash withdrawal limit, has the licensee ensured that staff are aware of the limit and is a copy of the exemption kept on premises?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G26	GMA s52(1)(a) s52(2)(a)	Lend Money Are staff aware that they must not lend money under any circumstances to a person who is in or about to enter the licensed premises?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G27	GMA s52(1)(b) s52(2)(b)	Credit or Charge Cards Are staff aware that they must not allow a person to use a credit or charge card for the purpose of playing gaming machines?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A4 Gaming—Cash Facilities, Cheques, Credit and Winnings (contd.)

Item	Legislation	Requirements	Compliant
G28	GMA s52(1)(c) s52(2)(c)	Provision of Credit Are staff aware that they must not provide credit to a person for the purpose of playing gaming machines?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G29	GMGCP cl 17(1)(a)	Responsible Gambling Messaging on ATMs and CRTs Does each ATM or CRT on the licensed premises when idle display a full screen responsible gambling message approved by the Office for Problem Gambling, or if there is no current approval the condensed warning message and the national gambling help line number 1800 858 858? <i>Note: The required messaging must be displayed on these screens at least 20% of the time the screen is idle.</i> <i>Note: Refer to F in Appendix 1 for the approved responsible gambling messages (ATM & EFTPOS)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G30	GMGCP cl 17(1)(b)	ATM and CRT Transaction Slips Do transaction slips (however described) produced by an ATM or CRT include the condensed warning message and the national gambling helpline number 1800 858 858?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G31	GMGCP cl 17(2)(a)	Gambling Helpline Number Displayed Is the condensed warning message and national gambling helpline number prominently displayed on or near: <ul style="list-style-type: none">• each automated coin dispensing machine;• each customer service point at which money is exchanged for coin, banknotes, tickets or credit on an approved account based cashless gaming system; over which the licensee could reasonably be expected to exercise control? <i>Note: Refer to G in Appendix 1 for an example developed by the AHA and Clubs SA</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G32	GMGCP cl 17(2)(b)	Gambling Helpline Cards Is there a quantity of national helpline cards available at or near: <ul style="list-style-type: none">• each ATM, EFTPOS Facility and CRT;• each automated coin dispensing machine;• each customer service point at which money is exchanged for coins, for tickets or for credit on an approved account based cashless gaming system; and• each gaming machine; over which the licensee could reasonably be expected to exercise control? <i>Note: Refer to H in Appendix 1</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G33	GMGCP cl 20(1)	Cashing of Cheques Does the licensee ensure that cheques are not able to be cashed in a gaming area?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G34	GMGCP cl 20(2)	Payment of Winnings by EFT Is the licensee aware that they must offer a patron payment of undisputed winnings or redemption of credits in excess of \$500 or more by electronic funds transfer, which is to be paid as soon as practicable after the formalities required by law are completed and in any event within one business day?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A4 Gaming—Cash Facilities, Cheques, Credit and Winnings (contd.)

Item	Legislation	Requirements	Compliant
G35	GMGCP cl 21(1)	Access to Cash Does the licensee ensure that within a gaming area, cash can only be obtained from: <ul style="list-style-type: none"> • a cashier; • an EFTPOS facility; • an automated coin dispensing machine; or • cashable ticket redemption terminal (CRT) 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G36	GMGCP cl 21(2)	EFTPOS Cash Withdrawals In respect to cash obtained from an EFTPOS facility are staff aware: <ul style="list-style-type: none"> • that cash may only be obtained directly from an EFTPOS facility on the licensed premises by a person operating the EFTPOS facility, or from a dispenser in the immediate vicinity of the EFTPOS facility immediately before the amount is withdrawn (not being a dispenser that forms part of an ATM); and • that a person operating an EFTPOS facility (being the licensee, an employee of the licensee or another person acting on behalf of the licensee) must confirm the withdrawal amount with the person obtaining cash from the EFTPOS facility immediately before the amount is withdrawn. <p><i>Note: Licensees are reminded that access to cash using EFTPOS is subject to a daily withdrawal limit</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No

A5 Gaming—Minors (persons under the age of 18 years)

Item	Legislation	Requirements	Compliant
G37	GMA s56(1)	Minors Must Not Enter Are staff aware and enforce that a minor must not enter or remain in a gaming area or operate a gaming machine on the licensed premises?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G38	GMA s56(2)	Licensee and Gaming Manager Are staff aware that if a minor enters or remains in a gaming area or operates a gaming machine on the licensed premises, the licensee and gaming manager on duty are each guilty of an offence?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G39	GMA s56(4a)	Assist a Minor Are staff aware that a person must not knowingly assist a minor or enable a minor to enter or remain in a gaming area on the licensed premises?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G40	GMA s56(5)	Withheld Winnings Are staff aware that the winnings of a minor must be withheld and forfeited to the Commissioner for payment to the Gamblers Rehabilitation Fund?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A5 Gaming—Minors (persons under the age of 18 years) (contd.)

Item	Legislation	Requirements	Compliant
G40a	Att B cond (e)	Withheld Winnings If applicable, has the licensee or gaming manager made a record of the relevant details in relation to withholding winnings from a minor and forfeited those winnings to the Commissioner using the Liquor and Gaming Online (LGO) Portal ? <i>Note: Refer to guidance note (3) in Attachment B for suggested compliance measures</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G41	GMGCP cl 19(1)	Attraction to Minors Does the licensee conduct, promote, advertise or permit the conduct, promotion or advertisement of their gambling operations in a way that would not encourage minors to gamble ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G42	GMGCP cl 19(2)	Unattended Minors Does the venue have in place, and implemented, a current written procedure addressing the issue of young children (aged 10 years or less) who might be left unattended on the premises or in a motor vehicle parked in a car park over which the licensee has direct power and control?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A6 Gaming—Responsible Gambling Practices

Item	Legislation	Requirements	Compliant
G43	Att A cond (h)	Responsible Gambling Agreement Has the licensee entered into a responsible gambling agreement with an approved industry body? Name of Industry body: <input type="text"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G43a	Att B cond (g)	Access to Beverages (whether alcoholic or not) A licensee must not require a person, who is not a minor, to purchase and/or consume a beverage (whether alcoholic or not) in a designated gaming area without being required to play gaming machines (provided the person is not the subject of a barring order).	<input type="checkbox"/> Yes <input type="checkbox"/> No
G44	GMGCP cl 14(1) cl 14(2)	Role of Staff Is there a document or documents (whether hard copy or otherwise) that is known to and readily available to staff detailing: <ul style="list-style-type: none"> the manner in which staff training and measures for interventions with problem gamblers are implemented?; and the roles of staff (by job title) in the implementation of the Code of Practice? 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G45	GMGCP cl 14(3)	Responsible Gambling Policies Has the licensee developed and implemented effective policies that enable staff to identify and respond to people displaying signs of gambling harm?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A6 Gaming—Responsible Gambling Practices (contd.)

Item	Legislation	Requirements	Compliant
G46	GMGCP cl 14(4)	Duties of Manager Has a manager (however described) on at least a weekly basis : <ul style="list-style-type: none"> reviewed the records of suspected problem gamblers that have been identified by staff; documented the fact of the review; and documented the details of any steps taken to intervene in a suspected problem gambler's behaviour? 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G47	GMGCP cl 14(5)	Reporting Suspected Problem Gamblers Is the record of suspected problem gamblers readily available to staff and does it include sufficient information to enable staff to identify the patron?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G48	GMGCP cl 14(15)	Adequate Lighting Is there adequate natural or artificial lighting in gaming areas to enable clocks and signs to be easily read and the faces of people within the room to be easily identified?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G49	GMGCP cl 14(16)	Second-hand dealers and Pawnbrokers Are staff aware that a second-hand dealer or pawnbroker must not be permitted to conduct business on premises that is subject to a gaming machine licence?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G50	GMGCP cl 22(1)	Access to Gambling Help Service Are all reasonable steps taken to ensure that persons who display signs of gambling harm are made aware of the name and telephone number of a widely available gambling help service?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G51	GMGCP cl 22(2)(a)	Identification of Gambling Help Service Has the licensee identified a gambling help service that their patrons and families can readily access (including the location of the help service and a key contact who can be asked for by name)? Name of Help Service: <input type="text"/> Location: <input type="text"/> Name of Key Contact: <input type="text"/> Telephone number: <input type="text"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G52	GMGCP cl 22(2)(b)	Staff Are staff sufficiently informed about the identity and location of the gambling help service so as to be able to direct patrons to the service?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G53	GMGCP cl 22(2)(c)	Management Contact Does the licensee ensure that management level contact is established and maintained with the gambling help service about problem gambling matters?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A6 Gaming—Responsible Gambling Practices (contd.)

Item	Legislation	Requirements	Compliant
G54	GMGCP cl 23(1)(a)	Identification of Impaired Persons Are staff aware of the steps to take to ensure persons are prevented from gambling if the person's speech, balance, coordination or behaviour is noticeably affected or it is reasonable to believe that the affected speech, balance, coordination or behaviour is the result of the consumption of liquor or some other substance?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G55	GMCP cl 23(1)(b)	Identification of Impaired Persons Are staff aware of the steps to take to ensure persons are prevented from entering a gambling area or remaining in a gambling area if the person's speech, balance, coordination or behaviour is noticeably affected or it is reasonable to believe that the affected speech, balance, coordination or behaviour is the result of the consumption of liquor or some other substance?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G56	GMGCP cl 23(1)(c)	Service of Liquor Are staff aware of the steps to take to ensure liquor is not supplied to reward, promote or encourage continued gambling?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G57	GMGCP cl 23(2)	Service of Liquor at a Gaming Machine Are staff aware that they must not serve liquor to a patron seated or standing at a gaming machine?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G58	GMGCP cl 23(3)	Gaming Operations After 2.00am If the licensed premises, where a gaming area is situated, is not authorised to sell liquor under the Liquor Licensing Act 1997 after 2.00am , the licensee must ensure that if the gaming area remains open for trade, that the gaming area is monitored by closed circuit television (CCTV)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G59	GMGCP cl 24(1)	Prohibition of Inducements Are staff aware that the venue must not offer or provide any inducement directed at encouraging patrons to gamble unless the offer is for: <ul style="list-style-type: none"> • participation is in an acceptable loyalty program; • participation in an acceptable trade promotion lottery; or • complimentary non-alcoholic beverages and refreshments of nominal value 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G60	GMGCP cl 25	Acceptable Loyalty Programs If applicable, does the licensee offer access to a loyalty program which is an acceptable loyalty program which: <ul style="list-style-type: none"> • is conducted in accordance with published terms and conditions; • is advertised in a manner consistent with the advertising requirements for the licensee's gambling products; • offers rewards proportionate to gambling activity (including non-monetary privileges attached to tiers in a stepped rewards system); • offers regular activity statements; and • has been approved by the Commissioner in terms of its rules and conditions, risk monitoring processes and the manner in which it is to be advertised and promoted? 	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a

A6 Gaming—Responsible Gambling Practices (contd.)

Item	Legislation	Requirements	Compliant
G61	GMGCP cl 26(1)	<p>Acceptable Trade Promotion Lotteries</p> <p>If applicable, does the licensee offer entry into a lottery which is an acceptable trade promotion lottery which:</p> <ul style="list-style-type: none"> being a trade promotion lottery within the meaning of the Lotteries Regulations 2021, it is an exempted licensed lottery or permitted lottery under the <i>Lotteries Act 2019</i>; its dominant purpose is to reward or retain existing patrons, rather than attracting new patronage or encouraging patrons to gamble more than they would otherwise; the advertising is limited to promotion to members of a loyalty program, on a private webpage on the licensee's own website, by direct communication to customers that have expressly agreed to receiving advertising and within a designated gaming area; the advertising of the promotion draws attention to the prize(s) of the promotion, rather than the gambling product itself; the promotion does not encourage people to gamble for a minimum period or for a minimum amount to qualify for a reward or benefit, unless part of an acceptable loyalty program; and in respect of an authorised lottery referred to in (a) above, it has been approved by the Commissioner in terms of its rules, conditions and the manner in which it will be advertised? 	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a

A7 Gaming—Barring

Item	Legislation	Requirements	Compliant
G62	GAA s 44(7)	<p>Barring Orders</p> <p>Have all barring orders made by the venue been entered correctly onto the Barring and Online Employee Notification (BOEN) system in the form determined by the Liquor and Gambling Commissioner?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G62a	Att B cond (m)	<p>Confidentiality of Barring Orders</p> <p>Are barring orders in relation to the licensed premises:</p> <ul style="list-style-type: none"> only accessible by or visible to a Gaming Manager or Gaming Employee; only to persons acting on behalf of an approved industry body; and not accessible by, nor visible to, members of the general public? 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G62b	Att B cond (n)	<p>Communication of Barred Person's Identity</p> <p>Has the licensee taken reasonable steps to ensure that the identity of a barred person is communicated only:</p> <ul style="list-style-type: none"> to a Gaming Manager or Gaming Employee; to a person acting on behalf of an approved industry body; or otherwise as necessary for the enforcement of a barring order or compliance with the regulatory regime? 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G62c	Att B cond (o)	<p>Contravention of Barring Order</p> <p>Have all incidents of persons contravening or failing to comply with a barring order been notified to the Commissioner using the Barring and Online Employee Notification (BOEN) system?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No

A7 Gaming—Barring (contd.)

Item	Legislation	Requirements	Compliant
G63	GAA s 53(1)	Winnings of Barred Persons Are staff aware that the winnings of person subject to a barring order may be withheld and the barred person informed of their right to have the decision reviewed by the Commissioner within 14 days?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G63a	GAA s 53(1) Att B cond (p)	Winnings of Barred Persons If applicable, has the licensee or gaming manager made a record of the relevant details in relation to withholding winnings from a barred person and once 14 days have elapsed, forfeited those winnings to the Commissioner using the Liquor and Gaming Online (LGO) Portal ? <i>Note: Refer to guidance note (7) in Attachment B for suggested compliance measures</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> n/a
G64	GAA s 53(4)	Winnings of Barred Persons Where a decision to withhold winnings is upheld by the Commissioner or if a person does not apply to the Commissioner for a review of the decision to withhold winnings, have the winnings been paid to CBS so that the Commissioner can pay the withheld monies into the Gamblers Rehabilitation Fund (GRF)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G65	GMGCP cl 14(5)	Record of Suspected Problem Gamblers Is the record of suspected problem gamblers readily available to staff and does it include sufficient information to enable staff to identify the patron?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G66	GMGCP cl 14(6)	Request for Barring Are staff aware that if a person requests voluntary barring , that the licensee must bar the person forthwith by making a record of the details and notifying the Commissioner of the barring via BOEN?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G67	GMGCP cl 14(7)	Request for Barring by Third Party Are staff aware that if a person requests a third party involuntary barring of a gambler that the licensee must promptly make a considered decision?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G67a	GAA s 47(7)	Decisions Regarding Third Party Barring Requests Having made a decision to either bar a patron or refuse to bar a patron following a third party barring request, are staff aware they must make a record of the decision and notify the Commissioner of that decision via BOEN?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G68	GMGCP cl 14(8)	Barring Procedures Does the licensee have documented procedures that have been implemented to ensure that enquiries about barring (regardless of who initiates them) and approaches for the making of barring orders, are responded to in a manner that is informative, timely and culturally appropriate, with the aim of: <ul style="list-style-type: none"> • dealing with an in-venue approach while the person is in the venue; and • dealing with telephone enquiries in one call where possible, using an interpretation service if required? 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G69	GMGCP cl 14(10)	Flexible Informal Arrangements Have the details of any flexible informal arrangements with patrons been documented in writing and provided to the relevant industry body within 7 business days of being made? <i>Note: Licensees may make flexible informal arrangements with patrons, only if the arrangements limit, manage or control a gamblers access to gambling and the licensee reasonably expects that informal arrangements would be beneficial for the gambler.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No

A7 Gaming—Barring (contd.)

Item	Legislation	Requirements	Compliant
G70	GMGCP cl 14(11)	Review Barring Information Are gaming staff aware that they must log into BOEN each time when on duty , or be provided with a current printed consolidated barring list from BOEN, to review any new or updated barring information?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G71	GMGCP cl 14(12)	BOEN Access Are there at least two gaming staff with “Administrator” access to BOEN for the purpose of updating employee information and registering information into the barring register within the prescribed timeframe?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G72	GMGCP cl 14(13)	Loyalty Program Databases Updated Has the licensee ensured that any loyalty program database or list does not include a person who is excluded (whether by formal barring order or otherwise)?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A8 Gaming—Staff

Item	Legislation	Requirements	Compliant
G73	Att A cond (ma)	BOEN Notifications Has the Commissioner been notified using the BOEN system of all staff who have been appointed to carry out prescribed duties in connection with gaming operations as either a gaming manager or gaming employee or both?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G74	Att A cond (ma)	BOEN Staff Members Did the staff member complete an Employee Declaration and Consent Form with correct details (e.g. residential address) prior to being notified as a gaming manager or gaming employee on the BOEN system?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G75	Att A cond (ma)	BOEN Staff Members Has the Employee Declaration and Consent Form and all identification requirements been uploaded onto the BOEN system?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G76	Att A cond (ma)	BOEN Cessation of Staff Members Has the Commissioner been notified using the BOEN system of all persons appointed as a gaming manager or gaming employee within 14 days of ceasing to be in his or her employment ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G77	GMA s48(1)	Gaming Manager on Duty Is there at least one gaming manager on the premises who is properly managing and supervising gaming machine operations while the premises is open to the public?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G78	GMA s50A	Identification Badges Do all notified gaming staff have and wear (must be clearly visible) whilst carrying out his or her duties, an identification badge that includes: <ul style="list-style-type: none"> the employee's preferred first name; the employee's unique ID number; a colour photograph (similar to passport size) of the employee; the name of the licensed premises; and the employee's notified status (i.e. gaming employee/manager and approved responsible person if applicable)? 	<input type="checkbox"/> Yes <input type="checkbox"/> No

A8 Gaming—Staff (contd.)

Item	Legislation	Requirements	Compliant
G79	GMA s51(1)	Operation of Gaming Machines Are all gaming staff aware (including, in relation to the licence holder, the licensee and persons in a position of authority) that they must not, except as is necessary for the purpose of carrying out their duties, operate a gaming machine on the licensed premises where they work , and are they prevented from playing gaming machines at the venue?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G80	Reg 17(1)	Licensee Identification Card Is the holder of the gaming machine licence aware that they must, while within a gaming area on the licensed premises that is open for business, wear an identification card?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G81	Reg 17(2)	Gaming Machine Technician Identification Card Is the licensee aware that an approved gaming machine technician must , while carrying out their duties on licensed premises, wear an identification card?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G82	GMGCP cl 14(14)	Staff Welfare Have reasonable steps been taken to ensure that staff with a potential or actual gambling problem of any sort are identified and referred for counselling, support or therapy?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G83	GMGCP cl 27(1)(a)	Gaming Employee Training Have all gaming employees : <ul style="list-style-type: none"> • within the 3 months before or after starting employment, completed basic training; and • at intervals of no more than 2 years after first completing basic training, commenced and completed basic training or advanced training? 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G84	GMGCP cl 27(1)(b)	Gaming Manager Training Have all gaming managers : <ul style="list-style-type: none"> • within the 3 months before or after starting employment, completed basic training (if the gaming manager has not already completed basic training) and advanced training; and • at intervals of no more than 2 years after first completing advanced training, commenced and completed further advanced training? 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G85	GMGCP cl 27(2)	Training Certificates Have all training certificates for gaming employees and gaming managers been recorded in BOEN within 28 days of receipt of the certificate ?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A9 Gaming—Required Advertising Practices

Item	Legislation	Requirements	Compliant
G86 ^{1,2}	GMGCP cl 8(1)(a)	Gambling Advertising - Law Does the venue's gambling advertising comply with the specific provision that gambling advertising does not encourage a breach of law ?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A9 Gaming—Required Advertising Practices (contd.)

Item	Legislation	Requirements	Compliant
G87 ^{1,2}	GMGCP cl 8(1)(b)	Gambling Advertising - Children Does the venue's gambling advertising comply with the specific provision that gambling advertising does not depict children gambling ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G88 ^{1,2}	GMGCP cl 8(1)(c)	Gambling Advertising - Accuracy Does the venue's gambling advertising comply with the specific provision that gambling advertising is not false, misleading or deceptive ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G89 ^{1,2}	GMGCP cl 8(1)(d)	Gambling Advertising - Outcomes Does the venue's gambling advertising comply with the specific provision that gambling advertising does not suggest that winning will be a definite outcome of participating in gambling activities ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G90 ^{1,2}	GMGCP cl 8(1)(e)	Gambling Advertising – Financial Prospects Does the venue's gambling advertising comply with the specific provision that gambling advertising does not suggest that participation in gambling activities is likely to improve a person's financial prospects ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G91 ^{1,2}	GMGCP cl 8(1)(f)	Gambling Advertising - Alcohol Does the venue's gambling advertising comply with the specific provision that gambling advertising does not promote the consumption of alcohol while engaging in gambling activities ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G92 ^{1,2}	GMGCP cl 8(1)(g)	Gambling Advertising - Inducements Does the venue's gambling advertising comply with the specific provision that gambling advertising does not offer any credit, voucher or reward as an inducement to participate, or to participate frequently, in any gambling activity ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G93 ^{1,2}	GMGCP cl 8(1)(h)	Gambling Advertising - Prizes Does the venue's gambling advertising comply with the specific provision that gambling advertising does not make claims related to winning or the prizes that can be won that are not based on fact, are unable to be proven or that are exaggerated ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G94 ^{1,2}	GMGCP cl 8(1)(i)	Gambling Advertising – Player's Skill Does the venue's gambling advertising comply with the specific provision that gambling advertising does not suggest that a player's skill can influence the outcome of gambling activity ?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G95 ^{1,2}	GMGCP cl 8(1)(j)	Gambling Advertising – Sounds or Images Does the venue's gambling advertising comply with the specific provision that gambling advertising does not include sounds or images of: <ul style="list-style-type: none"> • coins landing in the tray of a gaming machine; • banknotes being inserted into or dispensed from a gaming machine; or • tickets being printed or dispensed from a gaming machine? 	<input type="checkbox"/> Yes <input type="checkbox"/> No
G96 ^{1,2}	GMGCP cl 8(1)(k)	Gambling Advertising – Prohibited Expressions Does the venue's gambling advertising comply with the specific provision that gambling advertising does not include the expressions "Win" or "\$", unless these expressions specifically relate to a prize that has been determined or is payable, or to an estimate of a prize which can be won?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A9 Gaming—Required Advertising Practices (contd.)

Item	Legislation	Requirements	Compliant
G97 ²	GMGCP cl 8(3)	Gambling Advertising – To Be Retained Is a copy (in print or electronic form) of any gambling advertising, including advertising of acceptable trade promotion lotteries, kept for a period of 12 months following the conclusion of the advertising campaign?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G98 ³	GMGCP cl 9(1)(a)	Gambling Advertising – Odds of Winnings Does all gambling advertising that refers to, or relies on prizes which are available to be won, or the frequency the prize may be won (whether or not the prize is a prize of money) include sufficient information for a reasonable person to understand the overall return to player or odds of winning? <i>Note: This clause does not apply to advertising of a trade promotion lottery offered in conjunction with the purchase of a gambling product if the odds or chance of winning the lottery are affected by the number of entrants, or dependent on similar factors beyond the control of the licensee.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G99 ³	GMGCP cl 9(1)(b)	Gambling Advertising – Time Periods Does all gambling advertising that refers to, or relies on prizes which are available to be won, or the frequency the prize may be won (whether or not the prize is a prize of money) if intended to encourage a person to gamble during a particular period, include sufficient information for a reasonable person to appreciate how likely it is that the prize will be won by someone during that period?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G100	GMGCP cl 11(1)	Gambling Advertising – Use of Expanded Warning Message Does all gambling advertising (including print and media), include the expanded warning message as set out in Section B for the stated periods, unless the inclusion of the expanded warning message in that particular advertising would be unreasonable or impracticable?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G101	GMGCP cl 11(2)	Gambling Advertising – Condensed Warning Message If gambling advertising does not include an expanded warning message, does the gambling advertising include the condensed warning message?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G102	GMGCP cl 11(3)	Gambling Advertising – Message Consistency When a mandatory warning message is included in gambling advertising, is its inclusion consistent with the message being a warning message?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G103	GMGCP cl 11(4)	Gambling Advertising – Under 160 Characters Does all gambling advertising which is a text message, tweet, email or social media posting of less than 160 characters conclude with the condensed warning message?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G104	GMGCP cl 11(4)	Gambling Advertising – More Than 160 Characters If the text message is more than 160 characters does it conclude with the condensed warning message and the national gambling helpline number 1800 858 858?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G105	GMGCP cl 12(1)	Gambling Advertising – Radio and Television Blackout Period Does the venue's gambling advertising comply with the specific provision that gambling advertising on radio or television (including subscription television and cable services) is not permitted between 6.00am to 8.30am and 4.00pm to 7.00pm on any day?	<input type="checkbox"/> Yes <input type="checkbox"/> No

A9 Gaming—Required Advertising Practices (contd.)

Item	Legislation	Requirements	Compliant
G106	GMGCP cl 12(2)	Gambling Advertising – Use of Condensed Warning Message Does the venue's gambling advertising comply with the specific provision that gambling advertising on radio may be accompanied by the condensed warning message and in the case of a plug or commentary, must end with the condensed warning message and the national gambling helpline number 1800 858 858?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G107	GMGCP cl 12(3)	Gambling Advertising – Use of Expanded Warning Message Does the venue's gambling advertising comply with the specific provision that gambling advertising on television that is longer than 15 seconds, must be accompanied by the expanded warning message and in the case of a plug or commentary, must end with the condensed warning message and the national gambling helpline number 1800 858 858?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G108	GMGCP cl 12(4)	Gambling Advertising – Presentation of Warning Message Does the venue's gambling advertising comply with the specific provision that a mandatory warning message announced on radio or television must be spoken in a neutral tone and otherwise presented in a way which reflects the importance of a warning message?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G109	GMGCP cl 12(5)	Gambling Advertising – Respect for Warning Message Has the licensee ensured, through instructions about their obligations under the Code of Practice, that a mandatory warning message is appropriately respected for gambling advertising in live announcements and when mentioned by announcers before or after the broadcast of its gambling advertising?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G110	GMGCP cl 12(6)	Gambling Advertising – Logo On-Screen Has the licensee ensured, through instructions about their obligations under the Code of Practice, that a gambling related logo on a screen , other than as part of a commercial which includes a mandatory warning message, must include the condensed warning message adjacent to the logo occupying no less space than that occupied by the logo? <i>Note: This does not include logos on participant's uniforms and does not apply where gambling advertising appears on television only because the broadcast image is of a public event at which the advertising has been placed.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G111	GMGCP cl 12(7)	Gambling Advertising – Instructions Has the licensee ensured, through instructions about their obligations under the Code of Practice, that a mandatory warning message appearing in gambling advertising on television must occupy at least 25% of the screen area for at least 1/6 th of the length of the advertisement, or occupy the whole screen area for at least 1/10 th of the length of the advertisement? <i>Note: This does not apply where gambling advertising appears on television only because the broadcast image is of a public event at which the advertising has been placed.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G112	GMGCP cl 12(8)	Gambling Advertising – Instructions Has the licensee ensured, through instructions about their obligations under the Code of Practice, that the mandatory warning message must be spoken at the same time as it appears on a television screen? <i>Note: This does not apply where gambling advertising appears on television only because the broadcast image is of a public event at which the advertising has been placed.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No

A9 Gaming—Required Advertising Practices (contd.)

Item	Legislation	Requirements	Compliant
G113	GMGCP cl 13(1)	Gambling Advertising – Use of National Gambling Helpline Number (Print) Does all print media, outdoor and other forms of advertising comply with the requirement that if a condensed warning message is used in advertising, it must be accompanied by the national gambling helpline number 1800 858 858?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G114	GMGCP cl 13(2)	Gambling Advertising – Presentation of Warning Message (Print) Does all printed gambling advertising comply with the requirement that the mandatory warning message must be presented in a font and colour with sufficient contrast as to make it distinct, and must occupy at least 10% of the space occupied by the advertising?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G115	GMGCP cl 13(3)	Gambling Advertising – Presentation of Warning Message (Outdoor) Does all outdoor gambling advertising comply with the requirement that (other than a permitted external sign) the mandatory warning message must be presented in a font and colour with sufficient contrast as to make it distinct, and must occupy at least 10% of the space occupied by the advertising?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G116	GMGCP cl 13(5)	Gambling Advertising – Use of Mandatory Warning Message (Sign) Has the licensee ensured that any gambling advertising using an outdoor or indoor display or sign at the venue for: <ul style="list-style-type: none"> any sort of event which is broadcast on television; or an event on which betting takes place, includes the mandatory warning message, presented in a font and colour with sufficient contrast as to make it distinct, and must occupy at least 10% of the space occupied by the advertising.	<input type="checkbox"/> Yes <input type="checkbox"/> No
G117	GMGCP cl 13(6)	Gambling Advertising – Use of Mandatory Warning Message (Display) Has the licensee ensured that any gambling advertising using an outdoor or indoor display or sign at the venue for: <ul style="list-style-type: none"> any sort of event which is broadcast on television; or an event on which betting takes place, which is constantly moving, scrolling or changing, or is capable of immediate or scheduled systematic changes , includes the mandatory warning message presented in a font and colour with sufficient contrast as to make it distinct and must occupy at least 25% of the space occupied by the advertising?	<input type="checkbox"/> Yes <input type="checkbox"/> No
G118	GMGCP cl 13(7)	Gambling Advertising – Logo (On Apparel) Has the licensee ensured that any gambling advertising which includes the placement of a logo on the apparel of a participant (including an official) in an event which is broadcast on television in South Australia or at an event at which gambling takes place, is accompanied by the placement of the condensed warning message adjacent to the logo, occupying no less than half the space occupied by the logo? <i>Note: Gambling advertising in the form of a small logo (no larger than 5400mm² with no linear dimension longer than 180mm) need not be accompanied by a mandatory warning message.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
G119	GMGCP cl 13(9)	Gambling Advertising – Children Has the licensee ensured that participants do not wear gambling advertising in the form of a logo on occasions when they are engaging solely or mainly with children.	<input type="checkbox"/> Yes <input type="checkbox"/> No

A10 Gaming—Procedural Requirements

Item	Legislation	Requirements	Compliant
G120	Att B cond (r)	Procedures to be Documented Has the licensee documented and implemented procedures for the purposes of complying with the Attachment B Licence Conditions.	<input type="checkbox"/> Yes <input type="checkbox"/> No

Section B: Prescribed Expanded Warning Messages

As set out in Schedule 1 of the Gaming Machines Gambling Code of Practice

Operative Date	Expanded Warning Message
1 January 2022 to 30 June 2022	<i>“Stay in control. Leave before you lose it. Gamble responsibly.”</i>
1 July 2022 to 31 December 2022	<i>“You know the score. Stay in control. Gamble responsibly.”</i>
1 January 2023 to 30 June 2023	<i>“Know when to stop. Don’t go over the top. Gamble responsibly.”</i>
1 July 2023 to 31 December 2023	<i>“Think of the people who need your support. Gamble Responsibly.”</i>
1 January 2024 to 30 June 2024	<i>“Don’t chase your losses. Walk away. Gamble Responsibly”</i>
1 July to 31 December 2024	<i>“Don’t let the game play you. Stay in control. Gamble responsibly”</i>

Section C: Notes

1 GMGCP cl 8(2) - Licensee Information Only (Items G86 to G96)

For the purposes of clause 8(1)(a) to (k) of the Code, a licensee will not be regarded as advertising when:

- the licensee sends communication direct to a customer, and the customer has provided their express consent to receiving advertising material;
- the licensee draws attention, on a private webpage, to its gambling products or gambling activities; or
- the licensee draws attention, in printed point of sale material, to its gambling products or gambling activities.

2 GMGCP cl 8(4) - Licensee Information Only (Items G86 to G97)

Clauses 8(1) to 8(3) (inclusive) of the Gaming Machines Gambling Code of Practice do not apply to the advertising of other products and services offered by the licensee that are not gambling related, as long as the advertising does not include any credit, voucher or reward as an inducement to participate in any gambling activity.

3 GMGCP cl 9(2) - Licensee Information Only (Items G98 and G99)

If, in seeking to comply with clauses 9(1), a licensee:

- calculates the theoretical number, value and frequency of prizes to be won;
- in the advertising suggests an outcome no less favourable to the licensee than that theoretical outcome; and
- obtains an actual outcome more favourable than that which was advertised,

the licensee will still be regarded as complying with clause 9(1).

Appendix One: Signage Requirements

(A)

Facial Recognition Notice

For display at each entrance to a gaming area— G1
Size = A4

Visit the CBS website to download a copy.

<https://www.cbs.sa.gov.au/resources/facial-recognition-technology-sign>



(B)

Perimeter Sign

For display at each entrance to a gaming area— G3
Size = A3 equivalent

Available from Industry Bodies



(C)

Multilingual Sign

For display in a prominent position in each gaming area— G4
Size = A3 equivalent

Available from the [Problem Gambling SA webpage](#)



(D)

Approved Responsible Gambling Material

The Office for Problem Gambling has approved any of the following signs shown or a combination of, for display in gaming areas as signage— G7

Venues are strongly encouraged to replace existing messaging with new messaging when released.

Visit the [Problem Gambling SA webpage](#) for copies of the approved in-venue signage.

Latest messaging



Previous messaging



(D)
Approved Responsible Gambling Material (contd.)



(E)
Previous messaging (contd.)



(E)
Approved Responsible Gambling Messages (On LCD Screens) sign

For licensees who choose to display approved responsible gambling material on an electronic display in lieu of one A1 size equivalent— G10

Latest messaging



(E)
Approved Responsible Gambling Messages
(On LCD Screens) (contd.)



(F)
Approved Responsible Gambling Messages
(ATM and EFTPOS)

The welfare agency has approved any of the following signs shown or a combination of, for use on:

- Automatic Teller Machines; and
- EFTPOS (fitted with touch-screen enabled devices)

Displayed on full screen— G29

Latest messaging



Previous messaging



(F)
Approved Responsible Gambling Messages
(ATM and EFTPOS) (contd.)



(G)
Condensed Warning Message and National
Gambling Helpline Number

To be prominently displayed on or near:

- each automated coin dispensing machine;
- each customer service point at which money is exchanged for coin, banknotes, tickets or credit on an approved account based cashless gaming system;

over which the licensee could reasonably be expected to exercise control— G31



(H)
National Gambling Helpline Card

To be available at or near:

- each ATM, EFTPOS Facility and CRT;
- each automated coin dispensing machine;
- each customer service point at which money is exchanged for coins, for tickets or for credit on an approved account based cashless gaming system; and
- each gaming machine;

over which the licensee could reasonably be expected to exercise control— G32



Appendix Two: Facial recognition notice display requirements

The Gaming Machines Regulations 2020 require a licensee operating an approved facial recognition system, to notify each person about to enter the gaming area that a record of the person's facial image will be made by the system. The Commissioner has determined that facial recognition system notices may be reproduced in printed form or displayed on an electronic screen in accordance with the following specifications—

- the notice must be reproduced in printed form or be able to be displayed on an electronic screen in the original form as downloaded from the CBS website. No modifications or additions to the form or content of the sign is permitted;
- if the notice it is to be reproduced in print, the notice must be printed in colour at a size equivalent to A4 (210 x 297mm) or more;
- if the notice is to be reproduced by display on an electronic display, the notice must be displayed in portrait form on a screen with a diagonal measurement of not less than 21.5";
- the notice must be reproduced in printed form or displayed on an electronic display at sufficient quality to ensure it is clearly legible;
- the notice must be displayed so that the information contained is clearly visible to the general public; and
- in the event that any facial recognition technology notices are displayed on a rolling electronic display in conjunction with any other in-venue messaging, a static version of the mandatory notice must also be displayed at each entrance to the gaming area.

The Commissioner has determined that any of the following approved language specific notices may also be displayed, provided that it is representative of the general community where the licensed premises is located.

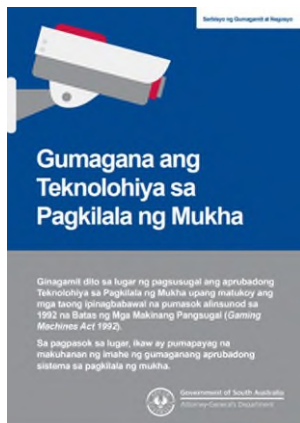


Multi-Lingual Sign

Arabic

Simplified Chinese

Greek



Tagalog (Filipino)



Vietnamese



Italian



Khmer (Cambodian)

These approved notices are available for download from the Resource Centre on cbs.sa.gov.au or at cbs.sa.gov.au/facial-recognition-technology.

Appendix Three: Acronyms and Abbreviations

Acronym/abbreviation	Refers to
Att A	Attachment A Licence Conditions (gaming machine licence)
Att B	Attachment B Licence Conditions (gaming machine licence)
ATM	Automated Teller Machine
BOEN	Barring and Online Employee Notification system
CBS	Consumer and Business Services
CRT	Cashable Ticket Redemption Terminal
GAA	<i>Gambling Administration Act 2019</i>
GCOP	Gambling Codes of Practice Notice 2013
GMGCP	Gaming Machines Codes of Practice Notice 2020
GMA	<i>Gaming Machines Act 1992</i>
Reg	Gaming Machine Regulations 2020
Leg	Legislation from which the audit item arises
TITO	Ticket-in Ticket-out
Welfare Agency	Office for Problem Gambling

Appendix Four: Audit items for follow-up

[illegible]

Appendix Five: Summary of offences

Item No.	Instrument	Offence	Expiation Fee	Maximum Penalty
G1	Att A cond (ka)	Failure to display Facial Recognition System notice	-	\$20 000
G1	Att B cond (j)	Failure to display Facial Recognition System notice	\$1 200	\$20 000
G1a	Att A cond (ka)	Unapproved Facial Recognition System notice displayed	-	\$20 000
G1a	Att B cond (j)	Unapproved Facial Recognition System notice displayed	\$1 200	\$20 000
G2	Att B cond (i)	Failure to display Gaming Machine Licence	\$160	\$20 000
G3	GMGCP cl 15(1)	Failure to display Gaming Area Entrance Sign	\$1 200	\$20 000
G4	GMGCP cl 15(3)	Failure to display multilingual sign	\$160	\$2 500
G5	GMGCP cl 17(5)	Failure to display time of day	\$160	\$2 500
G6	GMGCP cl 17(6)	Failure to make a copy of the Code of Practice available	\$160	\$2 500
G7	GMGCP cl 16(1)(a)	Failure to display responsible gambling material	\$315	\$10 000
G8	GMGCP cl 16(1)(b)(1)	Failure to display one A1 size (or equivalent) sign	\$315	\$10 000
G9	GMGCP cl 16(1)(b)(2)	Failure to display one A1 size (or equivalent) sign	\$315	\$10 000
G10	GMGCP cl 16(3)	Failure to display responsible gambling material (when using an electronic display)	\$315	\$10 000
G11	Att A cond (ka)	Failure to operate mandatory Facial Recognition Technology	-	\$20 000
G11a	Att B cond (k)	Failure to undertake and record annual FRT system check	\$1 200	\$20 000
G11b	Att B cond (l)	Licensee allows a device to receive and display alerts from the FRT system to be visible to unauthorised persons (i.e. not visible to members of the general public)	\$1 200	\$20 000
G12	Att B cond (c)	Failure to maintain copies of IGC monthly statements	\$160	\$20 000
G12a	GMA s76 Att B cond (d)	Failure to make a record of the relevant details in relation to withholding winnings from a person if a gaming machine or game, is not operating correctly	\$315	\$10 000
G13	GMA s46A	Failure to notify of changes in prescribed particulars	\$210	\$2 500
G14	GMA s49(1)	Performance of prescribed duties by person not notified	-	\$10 000
G15	Att A cond (ca)	Licensee is in possession of more gaming machines than the number of gaming machines entitlements held for the licensed premises	-	\$20 000
G15a	Att A cond (d)	Failure to ensure that the number of gaming machines in any gaming area do not exceed the number approved by the Commissioner	-	\$20 000
G15b	Att A cond (f)	Structural or other alterations made within a gaming area without the approval of the Commissioner	-	\$20 000
G16	GMA s53A(4a)	Licensee provides a gaming machine which allows insertion of a banknote when the credit balance is \$100 or more	-	\$35 000
G16a	GMA s53A(5)	Licensee provides a gaming machine which may be operated by a banknote greater than \$50	-	\$35 000
G17	GMA s64(3)	Licensee provides a gaming machine which may be operated which is not sealed	-	\$5 000
G18	GMA s53A(3)(c)	Licensee provides a gaming machine which allows the credit meter to exceed \$149.99 when a ticket is inserted	-	\$35 000
G19	GMA s53A(3)(c)	Licensee provides a gaming machine which allows a ticket with a credit value of more than \$5 000 to be issued	-	\$35 000
G20	GMGCP cl 17(4)	Ticket issued by machine do not include the expanded warning message	\$160	\$2 500
G21	GMGCP cl 18	Licensee fails to take all reasonable and practicable steps to prevent a person playing multiple gaming machines	\$160	\$2 500
G22	Att A cond (nd)	Coin dispensing machines not disabled between 2am and 8am	-	\$20 000
G23	Att B cond (h)	Cash redemption terminal not disabled between 2am and 8 am	\$1 200	\$20 000
G23a	Reg 27(7) Att B cond (q)	Failure to forfeit to the Commissioner the cash value of unredeemed tickets for the relevant month	\$1 200	\$20 000

Item No.	Instrument	Offence	Expiation Fee	Maximum Penalty
G23b	GMA s76AA(1) Att B cond (da)	Failure to forfeit unclaimed winnings of \$50.01 or more to the Commissioner that remain on a gaming machine after 24 hours	\$315	\$10 000
G23c	GMA s76AA(1) Att B cond (da)	Failure to forfeit a residual jackpot of \$10 000.01 or more to the Commissioner when a game or gaming machine is decommissioned	\$315	\$10 000
G24	GMA s51B	Daily cash withdrawals exceed \$250 on any one debit card or credit card within a 24 hour period	-	\$35 000
G25	Reg 25(2) GAA Part 5	Daily cash withdrawal limit contrary to exemption	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G26	GMA s52(1)(a) GMA s52(2)(a)	Lend money	-	\$35 000
G27	GMA s52(1)(b) GMA s52(2)(b)	Allow a credit or charge card to be used for the purpose of playing gaming machines	-	\$35 000
G28	GMA s52(1)(c) GMA s52(2)(c)	Provide credit to a person for the purpose of playing gaming Machines	-	\$35 000
G29	GMGCP cl 17(1)(a)	Responsible gambling messaging not being displayed on ATM or CRT when idle	\$160	\$2 500
G30	GMGCP cl 17(1)(b)	Responsible gambling messaging not included on ATM or CRT transaction slips	\$160	\$2 500
G31	GMGCP cl 17(2)(a)	Gambling helpline number not displayed in accordance with the Code of Practice	\$160	\$2 500
G32	GMGCP cl 17(2)(b)	Gambling helpline cards not available in accordance with the Code of Practice	\$160	\$2 500
G33	GMGCP cl 20(1)	Cheque allowed to be cashed in a gaming area	\$315	\$10 000
G34	GMGCP cl 20(2)	Patron not offered payment of winnings in excess of \$500 or more by Electronic Funds Transfer (EFT)	\$315	\$10 000
G35	GMCP cl 21(1)	Access to cash within a gaming area contrary to the Code of Practice	\$1 200	\$20 000
G36	GMGCP cl 21(2) GAA Part 5	Cash obtained from an EFTPOS facility on the licensed premises contrary to the Code of Practice	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G37	GMA s56(1)	Minor who enters or remains in a gaming area or operates a gaming machine (Minor)	\$210	\$ 2500
G38	GMA s56(2)	Minor who enters or remains in a gaming area or operates a gaming machine (Licensee and Gaming Manager on duty)	\$1 200	\$10 000
G39	GMA s56(4a)	Person knowingly assists a minor or enable a minor to enter or remain in a gaming area	\$1 200	\$10 000
G40	GMA s56(5)	Failure to withhold winnings of a minor and forfeit to Commissioner	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G40a	Att B cond (e)	Failure to make a record of winnings withheld from minor and forfeit to the Commissioner within seven days	\$315	\$10 000
G41	GMGCP cl 19(1)	Gambling operations conducted, promoted or advertised to encourage minors to gamble	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G42	GMGCP cl 19(2)	Failure to have current written procedures addressing the issue of unattended young children	\$315	\$10 000
G43	Att A cond (h)	Failure to enter into a responsible gambling agreement with an approved industry body	-	\$20 000
G43a	Att B cond (g)	Licensee prevents a person from purchasing or consuming a beverage in a designated gaming area without being required to play a gaming machine	\$160	\$2 500
G44	GMGCP cl 14(1) GMGCP cl 14(2)	Failure to document roles of staff, staff training and intervention measures	\$315	\$10 000
G45	GMGCP cl 14(3)	Failure to develop and implement effective responsible gambling policies	\$1 200	\$20 000
G46	GMGCP cl 14(4)	Failure to undertake the duties of manager in accordance with the Code of Practice	\$160	\$2 500
G47	GMGCP cl 14(5)	Failure to ensure that the record of suspected problem gamblers is readily available to staff	\$210	\$5 000
G48	GMGCP cl 14(15)	Failure to provide adequate lighting in gaming areas	\$210	\$5 000
G49	GMGCP cl 14(16)	Second-hand dealer or pawnbroker allowed to conduct business on premises subject to a gaming machine licence	\$210	\$5 000
G50	GMGCP cl 22(1)	Failure to take reasonable steps to provide the details of a widely available gambling help service	\$315	\$10 000

Item No.	Instrument	Offence	Expiation Fee	Maximum Penalty
G51	GMGCP cl 22(2)(a)	Failure to identify a gambling help service and a key contact who can be asked for by name	\$315	\$10 000
G52	GMGCP cl 22(2)(b)	Failure to inform staff about the identity and location of the gambling help service	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G53	GMGCP cl 22(2)(c)	Failure to ensure that management level contact is established and maintained with the gambling help service	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G54	GMGCP cl 23(1)(a)	Failure to take steps to prevent a person from gambling (due to the consumption of liquor or some other substance)	\$315	\$10 000
G55	GMGCP cl 23(1)(b)	Failure to take steps to prevent a person from entering or remaining in a gambling area (due to the consumption of liquor or some other substance)	\$160	\$2 500
G56	GMGCP cl 23(1)(c)	Failure to prevent liquor being supplied to a person to reward, promote or encourage continued gambling	\$160	\$2 500
G57	GMGCP cl 23(2)	Failure to prevent the service of liquor to a patron seated at a gaming machine	\$160	\$2 500
G58	GMGCP cl 23(3)	Failure to monitor an open gaming area by CCTV after 2am when liquor is not authorised to be sold	\$1 200	\$20 000
G59	GMGCP cl 24(1)	Provision of an inducement contrary to the Code of Practice	\$315	\$10 000
G60	GMGCP cl 25	Provision of a loyalty program contrary to the Code of Practice	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G61	GMGCP cl 26(1)	Provision of entry to an Acceptable Trade Promotion contrary to the Code of Practice	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G62	GAA s44(7)	Failure to enter barring orders correctly onto the BOEN System	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G62a	Att B cond (m)	Failure to keep the details of barring orders confidential	\$1 200	\$20 000
G62b	Att B cond (n)	Failure to keep a barred person's identity confidential	\$1 200	\$20 000
G62c	Att B cond (o)	Failure to notify the Commissioner of a breach of barring order using BOEN	\$1 200	\$20 000
G63	GAA s53(1)	Failure to withhold the winnings of a barred person and/or to inform the person of their review rights	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G63a	Att B cond (p)	Failure to make a record of a decision to withhold the winnings of a barred person	\$315	\$10 000
G64	GAA s53(4)	Failure to pay the withheld winnings of a barred person to CBS	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G65	GMGCP cl 14(5)	Failure to ensure that the record of suspected problem gamblers is readily available to staff	\$210	\$5 000
G66	GMGCP cl 14(6)	Failure to bar a person forthwith on the request of the person by recording the details of the barring in BOEN	\$1 200	\$20 000
G67	GMGCP cl 14(7)	Failure to promptly make a decision to bar a person on the request of a third party	\$160	\$2 500
G67a	GAA s47(7)	Failure to make a record in BOEN of a decision to either bar a patron or refuse to bar a patron following a request by a third party	-	\$2 500
G68	GMGCP cl 14(8)	Failure to have documented procedures for staff to deal with enquiries about barring (regardless of who initiates the enquiry)	\$160	\$2 500
G69	GMGCP cl 14(10)	Failure to document the details of any informal barring arrangement with a patron	\$210	\$5 000
G70	GMGCP cl 14(11)	Failure of staff to log into BOEN each time on duty	\$160	\$2 500
G71	GMGCP cl 14(12)	Failure to have at least two gaming staff with "Administrator" access to BOEN	\$160	\$2 500
G72	GMGCP cl 14(13)	Failure to ensure that a barred person is excluded from any loyalty program database or list	Default Notice—\$1 000 Disciplinary Action—\$20 000	
G73	Att A cond (ma)	Failure to notify the Commissioner using BOEN of all staff who have been appointed to carry out prescribed duties	-	\$20 000
G74	Att A cond (ma)	Failure to complete an Employment Declaration and Consent Form before a staff member being notified as a gaming manager or gaming employee	-	\$20 000
G75	Att A cond (ma)	Failure to upload the Employee Declaration and Consent Form and all identification requirements to BOEN	-	\$20 000
G76	Att A cond (ma)	Failure to notify the Commissioner within 14 days using the BOEN system of notified persons ceasing employment	-	\$20 000

Item No.	Instrument	Offence	Expiation Fee	Maximum Penalty
G77	GMA s48(1)	Failure to have at least one gaming (machine) manager on the premises while the premises is open to the public	-	\$35 000
G78	GMA s50A	Failure of notified gaming staff to have and wear (in a prominent position) an identification badge whilst carrying out his or her duties	Licensee— \$210 Employee— \$160	Licensee— \$2 500 Employee— \$1 250
G79	GMA s51(1)	Operation of a gaming machine by a gaming staff member or licensee, except as is necessary for the purpose of carrying out their duties	Employee— \$315	Licensee— \$10 000 Employee— \$5 000
G80	Reg 17(1)	Failure of licensee to wear an approved identification card while within a gaming area on the licensed premises that is open for business	-	\$2 500
G81	Reg 17(2)	Failure of an approved gaming machine technician to wear an approved identification card while carrying out their duties on the licensed premises	-	\$2 500
G82	GMGCP cl 14(14)	Failure to take steps to respond to staff with a potential or actual gambling problem	\$1 200	\$20 000
G83	GMGCP cl 27(1)(a)	Failure of a Gaming Employee to complete a course of training in accordance with the Code of Practice	\$160	\$2 500
G84	GMGCP cl 27(1)(b)	Failure of a Gaming Manager to complete a course of training in accordance with the Code of Practice	\$160	\$2 500
G85	GMGCP cl 27(2)	Failure to record the training certificates of gaming employees and managers in BOEN within 28 days of receipt	Default Notice—\$1 000 Disciplinary Action—\$20 000 ¹	
G86	GMGCP cl 8(1)(a)	Gambling advertising – Law	\$1 200	\$20 000
G87	GMGCP cl 8(1)(b)	Gambling advertising – Children	\$315	\$10 000
G88	GMGCP cl 8(1)(c)	Gambling advertising – Accuracy	\$1 200	\$20 000
G89	GMGCP cl 8(1)(d)	Gambling advertising – Outcomes	\$315	\$10 000
G90	GMGCP cl 8(1)(e)	Gambling advertising - Financial Prospects	\$160	\$2 500
G91	GMGCP cl 8(1)(f)	Gambling advertising – Alcohol	\$160	\$2 500
G92	GMGCP cl 8(1)(g)	Gambling advertising – Inducements	\$160	\$2 500
G93	GMGCP cl 8(1)(h)	Gambling advertising – Prizes	\$210	\$5 000
G94	GMGCP cl 8(1)(i)	Gambling advertising - Player's Skill	\$210	\$5 000
G95	GMGCP cl 8(1)(j)	Gambling advertising - Sounds or Images	\$210	\$5 000
G96	GMGCP cl 8(1)(k)	Gambling advertising - Prohibited Expressions	\$210	\$5 000
G97	GMGCP cl 8(3)	Gambling advertising - To Be Retained	\$210	\$5 000
G98	GMGCP cl 9(1)(a)	Gambling advertising - Odds of Winning	\$210	\$5 000
G99	GMGCP cl 9(1)(b)	Gambling advertising - Time Periods	\$210	\$5 000
G100	GMGCP cl 11(1)	Gambling advertising - Use of Expanded Warning Message	\$160	\$2 500
G101	GMGCP cl 11(2)	Gambling advertising - Use of Condensed Warning Message	\$315	\$10 000
G102	GMGCP cl 11(3)	Gambling advertising - Message Consistency	\$315	\$10 000
G103	GMGCP cl 11(4)	Gambling advertising - Text Message Under 160 characters	\$315	\$10 000
G104	GMGCP cl 11(4)	Gambling advertising - Text Message More Than 160 Characters	\$315	\$10 000
G105	GMGCP cl 12(1)	Gambling advertising - Radio and Television Blackout Period	\$315	\$10 000
G106	GMGCP cl 12(2)	Gambling advertising - Use of Condensed Warning Message on Radio	\$315	\$10 000
G107	GMGCP cl 12(3)	Gambling advertising - Use of Expanded Warning Message on Television	\$315	\$10 000
G108	GMGCP cl 12(4)	Gambling advertising - Presentation of Warning Message	\$315	\$10 000
G109	GMGCP cl 12(5)	Gambling advertising - Respect for Warning Message	\$210	\$5 000
G110	GMGCP cl 12(6)	Gambling advertising - Logo On-Screen	\$315	\$10 000
G111	GMGCP cl 12(7)	Gambling advertising - Instructions (Screen Size)	\$210	\$5 000
G112	GMGCP cl 12(8)	Gambling advertising - Instructions (Spoken Message)	\$210	\$5 000
G113	GMGCP cl 13(1)	Gambling advertising - Use of National Gambling Helpline Number (Print)	\$315	\$10 000

Item No.	Instrument	Offence	Expiation Fee	Maximum Penalty
G114	GMGCP cl 13(2)	Gambling advertising - Presentation of Warning Message (Print)	\$210	\$5 000
G115	GMGCP cl 13(3)	Gambling advertising - Presentation of Warning Message (Outdoor)	\$210	\$5 000
G116	GMGCP cl 13(5)	Gambling advertising - Use of Mandatory Warning Message (Sign)	\$210	\$5 000
G117	GMGCP cl 13(6)	Gambling advertising - Use of Mandatory Warning Message (Electronic Display)	\$210	\$5 000
G118	GMGCP cl 13(7)	Gambling advertising - Placement of Logo (On-Apparel)	\$315	\$10 000
G119	GMGCP cl 13(9)	Gambling advertising - Placement of Logo (Children)	\$210	\$5 000
G120	Att B cond (q)	Failure to document and implement procedures for compliance with Attachment B	\$315	\$10 000

¹ It is expected that during 2022, item number G85 will be declared an expiable offence.

Victims of Crime Levy

In South Australia, a *Victims of Crime Levy* is imposed by legislation on any court fine or nominated expiation notice.

The levy (**currently fixed at \$92**) is imposed in addition to any of the above penalties and applies to all expiations issued under legislation administered by CBS, including the *Gambling Administration Act 2019*, *Casino Act 1997*, *Gaming Machines Act 1992* and the *Lotteries Act 2019* and associated regulations.