

## Dissertation Proposal for the Doctoral Degree

Name: \_\_\_\_\_  
Last First Middle Initial

Student ID:  and/or NetID:  Phone: \_\_\_\_\_

Email address: \_\_\_\_\_

Field of Study: \_\_\_\_\_

Area of Concentration (if any): \_\_\_\_\_

Title of Proposed Dissertation: \_\_\_\_\_  
\_\_\_\_\_

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### USE OF HUMAN OR ANIMAL SUBJECTS

- If human or animal subjects are involved in the proposed research, approval must be granted in advance by the appropriate (either Storrs or Health Center) Institutional Review Board (IRB) or Institutional Animal Care and Use Committee (IACUC).
- HUMAN SUBJECT means a living individual about whom an investigator either obtains data through intervention or interaction with the individual or obtains personally private information.
- ANIMAL SUBJECT means any live, vertebrate animal.
- For Storrs information, please see [compliance.uconn.edu](http://compliance.uconn.edu).
- For Health Center information, please see [research.uchc.edu](http://research.uchc.edu)

THIS RESEARCH INVOLVES  
CHECK AS APPROPRIATE

☐

HUMAN SUBJECTS

☐

ANIMAL SUBJECTS

☐

NEITHER HUMAN NOR  
ANIMAL SUBJECTS

If human or animal subjects are involved in this research,  
a copy of any required IRB or IACUC approval(s) must be attached to this form

Student's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**ADVISOR'S NAME (PRINTED)**  
Minimum of three required

**ORIGINAL SIGNATURE REQUIRED**

MAJOR ADVISOR \_\_\_\_\_

ASSOCIATE ADVISOR \_\_\_\_\_

ASSOCIATE ADVISOR \_\_\_\_\_

ASSOCIATE ADVISOR \_\_\_\_\_

ASSOCIATE ADVISOR \_\_\_\_\_

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**REVIEWED AND APPROVED BY THE DEPARTMENT OR PROGRAM**

☐ Read by two reviewers

Dissertation proposal review option  
(check one)

☐ Student presentation to advisory committee and two reviewers

☐ Review committee

Department or program head or  
review committee chairperson

\_\_\_\_\_  
(signature)

Date \_\_\_\_\_

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Approved by the Office of the Registrar

\_\_\_\_\_  
(signature)

Date \_\_\_\_\_

## **INSTRUCTIONS FOR THE DISSERTATION PROPOSAL FOR THE DOCTORAL DEGREE**

The Dissertation Proposal should be written, reviewed, and fully approved before preparation of the dissertation is well underway. Any delay in timely review and approval of the Proposal may result in wasted effort on a dissertation. At the latest, your Proposal should be ready for review at least six months before your expected date of degree completion. Once the Proposal is approved by the members of your advisory committee, submit your Proposal to the Head of your Department or Program [or to the Chairperson of the Review Committee if appropriate].

Your Proposal must be fully approved at least three months prior to the date of your Oral Defense.

Your Plan of Study must be fully approved at the time your Dissertation Proposal is submitted for final review.

### **REQUIRED ARE:**

1. The completed and signed Dissertation Proposal Approval form (with a copy attached of current IRB approval for human subjects and/or IACUC approval for animal subjects to be used in the research).
  2. An accurate title.
  3. A concise statement which includes (a) the purpose, importance, and novelty of the study, (b) methods and techniques to be used, (c) availability and location of research facilities, and (d) a statement concerning the use of any human or animal subjects that are involved in the research.
  4. A selected bibliography.
- The Proposal is to be as brief as possible without sacrificing completeness, and should follow the guidelines set forth by the program or by your academic advisor. Please limit appendices and other attachments to those that are essential. Proposals of unnecessary length are discouraged since reviewers lack the time to read them.
  - When your Dissertation Proposal is ready for approval by your advisory committee, complete your portion of the Dissertation Proposal Approval form, attach the required IRB/IACUC approval letter, if applicable, and attach one copy of your proposal text. Each member of your advisory committee signs approval (original signatures required) where indicated.
  - Submit the Dissertation Proposal to the Head of your Department or Program (or to the Chairperson of the Review Committee if appropriate) for review and original signature of approval
  - Submit the completed Dissertation Proposal documentation to The Graduate School for approval. Once The Graduate School grants approval, the Dissertation Proposal Approval form will be scanned and e-mailed to the student, major advisor and department administrator.