

University of Massachusetts, Amherst Campus
Sponsored Activities Fact Sheet (rev 08/9/2019)

University's Legal Name: University of Massachusetts Amherst

The University of Massachusetts Amherst is a state university within the Commonwealth of Massachusetts established under Chapter 75, as amended, and Chapter 142 of the Acts of 1991.

University's Address:
University's Authority to Enter into Contractual Agreements: Mass. Gen. Laws Chapter 75 (as amended), Sections 1 and 11.

Proposals: c/o Office of Pre-Award Services 101 University Drive, Suite B6 Amherst MA 01002-2385 pre-award@umass.edu Phone 413-545-0699 Fax 413-545-1202	Awards: c/o Office of Post-Award Management Venture Way Center, 100 Venture Way, Suite 201 Hadley, MA 01035-9450 opam@umass.edu Phone 413-545-0442 Fax 413-545-1595
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Authorized Representative: (Authority to accept grants, execute contracts for Sponsored Programs, and sign/submit proposals)

Carol P. Sprague, Director, Post-Award Management **OR**
 James B. Ayres, Director, Pre-Award Services
 Theresa W. Girardi, Assistant Director, Post-Award Management
 Nancy E. Stewart, Assistant Director, UMass Innovation Institute

Political Subdivision: 2nd Congressional District of Massachusetts, Hampshire County (MA-002)

Cognizant Audit Agency: DHHS, Michael Stanco, HHS Representative, 26 Federal Plaza, Rm. 41-122, New York, NY 10278. Phone (212) 264-0920

Contract Administration Office (DOD and NASA projects): Office of Naval Research/ONR Draper, 495 Summer Street, Room 103, Boston, MA 02210-2109. Phone: 617-753-4640

Indirect Cost:

Federal Indirect Cost Rate Agreement with the Department of Health and Human Services (DHHS) effective immediately. <https://www.umass.edu/research/policy/college-and-university-rate-agreement>. These Predetermined Rates should be used respectively to calculate the indirect cost rate for all research proposals submitted within each applicable period. On/off campus policy is located at under the Research Policies under the title: On and Off Campus Indirect Cost Rates Determination Policy: <https://www.umass.edu/research/policy/and-campus-indirect-cost-rates-determination-policy>.

Research	On-campus	59.5% MTDC	7/1/2018 - 6/30/2021	
	Off-campus	26% MTDC	7/1/2018 - 6/30/2021	
Instruction	On-campus	49% MTDC	Off-campus	26% MTDC
	Other sponsored activities	31.5% MTDC	Off-campus	26% MTDC
SBIR/STTR Phase 1		26% MTDC		
SBIR/STTR Phase 2		Federal On-Campus Research Rate as identified above		

Definitions of Project Types for F&A purposes, <https://www.umass.edu/research/guidance/project-types-fa-purposes>

Non-federal Indirect Cost Rates:

Industry and Non-Mass. gov't. agencies	59.5% MTDC	7/1/2018 – 6/30/2021
Foundations and non-profits	20% TDC or Published Sponsor Policy Rate	
Comm. of Mass. & local gov't. agencies	26% MTDC	
Unrestricted grants (\$1000 & over)	10% TDC	

Definition of an Unrestricted Grant: <https://www.umass.edu/research/awards/set/what-are-awards>

Benefited Positions / Fringe Rates:

Fringe 7/1/19 - 6/30/20	35.48% + workers comp 0.43%, + UI, UHI, MTX 2.06% = 37.97%
Health & Welfare	\$16.50 weekly = \$858 annually*
Sick Leave Bank	0.43% not assessed on Faculty Salaries
Paid Family Medical Leave	0.37% This new rate will be blended with UI, UHI, MTX effective 10/1/2019, pending approval

Fringe benefits applicable to direct salaries and wages are treated as direct costs. They are the rates identified in the Massachusetts Statewide Cost Allocation Plan approved by DHHS. This rate is comprised of Group Insurance and Retirement. The combined rate must be applied to all benefited personnel on any awards.

Health and Welfare (H & W) for all benefited positions is \$16.50 per week (\$858) annual FTE (pro-rate on part-time positions). However, as with the Fringe Benefit Rate, for split-funded personnel, the H & W must be charged to each account on a pro-rated basis.

Non Benefited Positions:

Workers Compensation, UI, UHI, MTX

2.49%

Post Doctoral Fellows:

Minimum Salary Requirement from the contract expiring on 3/31/19. The contract renewal Agreement is currently under negotiation. The terms of the Agreement that expires on 3/31/19 shall remain in full force and effect until a successor Agreement is executed.

Effective December 1, 2018, postdocs who are in the bargaining unit and on payroll on this date and were in the bargaining unit and on payroll the preceding September 1, shall have their salary increased to the appropriate salary minimum shown in the 1-DEC-18 column below or in the amount of two percent (2%), whichever is greater. Years of experience shall be based on total time as a postdoc, including appointments at the University of Massachusetts Amherst and all prior postdoctoral appointments.

<u>SALARY MINIMA TABLE</u>			
Years of experience	I-DEC-16	I-DEC-17	1-DEC-18
0-2	\$47,476.00	\$47,476	\$47,476
3-4	\$47,476.00	\$49,394	\$50,382
5+	\$47,476.00	\$50,382	\$51,390

Post-Doc Fringe:

Fringe 7/1/19 - 6/30/20	10.69% Health + Workers comp 0.43%, + UI, UHI, MTX 2.06% + Terminal Leave 3.20% = 16.38%
Health & Welfare	\$16.50 weekly = \$858 annually*
Paid Family Medical Leave	0.37% This new rate will be blended with UI, UHI, MTX effective 10/1/2019, pending approval

Faculty Summer Salary - The summer period may begin the first Sunday after graduation and ends August 31 every year. Summer effort on all federal and Commonwealth of Massachusetts projects is limited to 2.5 summer months unless the sponsor has more stringent restrictions such as NSF. Please refer to this site for more information on faculty summer appointments: <http://www.umass.edu/research/summer-add-comp-faqs>

Fringe Applicable to Faculty Summer Salary:

Workers Compensation, UI, UHI, MTX 2.49%
 This blended rate will increase by .37% effective 10/1/2019 to include Paid Family Medical Leave, pending approval

Fringe Applicable To Faculty Academic Year Release Time: (see Benefited Positions)

Faculty Academic Year Ad Comp: Not Allowable in Most Cases – Refer To:

https://www.umass.edu/research/sites/default/files/additional_compensation_guidelines.pdf

Students:

Summer Student Payroll: ALL STUDENTS (excluding Post Docs and Fellows) employed for the summer and not enrolled in classes are to be assessed 2.06% UI, UHI, MTX on the summer salary. This blended rate will increase by .37% effective 10/1/2019 to include Paid Family Medical Leave, pending approval.

Graduate Student Stipend – current hourly minimum RA salary rates, per collective bargaining agreements: AY 2019-2020: \$30.33/hour

For more information, see <http://www.umass.edu/gradschool/funding-support/graduate-assistantship-office>

Kuali Salary Guide: Use of the graduate student, faculty and staff salary calculator is encouraged. See <https://www.umass.edu/research/kuali-era-system> “Kuali Salary Guide (Excel)”.

Graduate Student Health & Welfare \$17.00/wk must be assessed to all Graduate Student appointments.

Graduate Student Tuition Charge:

Effective 7/1/17, a change to the Tuition Charge came into effect on Graduate Student appointments. The rate charged effective 7/1/18 is \$11,975.00 per FTE on a single account. As outlined in Article 2 of the GEO/University contract, a full-time FTE is equal to 760 hours or more of employment for the year, or \$315.13 per week for 20 hours of work. Appointments less than the 760 FTE shall use the rate of \$15.757 per hour to assess the rate. If the student is employed on more than one project, the charge is pro-rated to each project based on the number of hours worked on each project. *Each additional year should be inflated by \$1000.* (FY19 \$11,975, FY20 \$12,975, FY21 \$13,975, FY22 \$14,975 unless notified of other rate increase) Summer appointments are not subject to tuition charges. *Tuition Charges are exempt from indirect costs calculations.* Proposals that were submitted prior to 7/1/17, and active awards currently in non-competing status will be grandfathered at their current rate (with 5% annual COLA) until a competitive application or, a supplement that was not in the original scope of a project, is submitted.

GEO Health Deferment Rate - The rate is 17.52% of wages of academic and summer.

Tuition Credit: Cost Sharing of tuition credit, if allowable under federal Cost Accounting Standards, (see <https://www.umass.edu/research/policy/cost-sharing-policy>) use the blended rate of \$2,500 per RA semester. (Under review. Please use this rate until amended.)

Miscellaneous Pertinent Information:

Federal Employer Identification Number	043-167-352 1043167352B5 (HHS Proposals ONLY)
State Vendor Code	VC6000178133 [AD001]
Tax Exempt Status	The University is tax-exempt under section 115 of the Internal Revenue Code and/or under the doctrine of intergovernmental tax immunity
Federal Supply Code / CAGE Code	1KVP4
NSF/UM/Amherst Institution Code	0022210000
DUNS Number / CCR #	153926712
NAICS # (N. American Industry classification sys. #)	611310
ASAP Recipient ID	1125882
Institution Human Subject Assurance ID#	FWA00003909
Institution Animal Welfare Assurance ID#	D16-00337
Approx. # of employees (non-students)	7,842
Approx. student enrollment	22,445
Research website for additional information_	https://www.umass.edu/research/research-administration