

Edelweiss Broking Limited

Simplified Investments

**ACCOUNT OPENING FORM (RESIDENT INDIVIDUALS ONLY)
(TRADING AND DEMAT ACCOUNT)**

Form No.	
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Client Name	
--------------------	--

Type of Account	TRADING CODE	DEMAT ID
Equity, Commodity and Demat		

Section to be updated by BRANCH / FRONTEND team

TRADING Branch Name		DEMAT Branch Name	
TRADING Branch Code		DEMAT Branch Code	

TEAM (please tick correct option below)				
	PWA	HNI	GWM	Others

For DIRECT CLIENT Accounts	ARM	SRM	Dealer	CSO
Employee Code				
Employee Name				

For AP / INDIRECT CLIENT Accounts	AP NAME	AP CODE
AP details to be updated		

Branch operations	Employee Code	Employee Name	Date of Maker entry
BRANCH			

Section to be updated by OPERATIONS / ACCOUNT OPENING

Branch operations	Employee Code	Employee Name	Date of Maker entry
Acop Team MAKER entry			
Acop Team CHECKER entry			

Post Account Opening Processes	Date	Name	Emp Code
Concurrent Audit			
Scanning			
KRA			

Edelweiss Broking Limited

Broking and Depository services offered by Edelweiss Broking Limited

SEBI Registration No.: **INZ000005231 (Trading Member of NSE, BSE, MSEI, MCX and NCDEX)**

Depository participant with CDSL and NSDL having **SEBI Registration No. : IN-DP-NSDL-314-2009**

Registered Office : Unit No. 801-804, 8th floor, Abhishree Avenue, Nehru Nagar, Ambawadi, Ahmedabad - 380015. Contact : 079-4001 9900 / 079-6662 9900 and Fax : 079-40019999

Correspondence Office Address : Edelweiss House, Off C.S.T Road, Kalina, Mumbai - 400 098. Contact at 91-22-40094400

Website : **www.edelweiss.in** and **Customer Care** : 1800-102-3335

Name of the Compliance Officer : Mr. Brijmohan Bohra. Tel. (022) 42722200 / 40094279

Email ID : **complianceofficer.ebl@edelweissfin.com / ebl.dpcompliance@edelweissfin.com**

For any grievance please contact us at Investor Grievance No: 040-40316936/ 41151621.

or you may write into us at **helpdesk@edelweiss.in** for tradingrelated queries and **DPservicesEBL@edelweiss.in** for Demat related queries.

In case not satisfied with the response, please contact Depository or Exchanges on below numbers.

CDSL - email to complaints@cdslindia.com or call on (022) 22723333.

NSDL - email to relations@nsdl.co.in and call on (022) 2499 4200

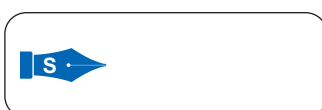
	NSE	BSE	MSE	MCX	NCDEX
Email ID	ignse@nse.co.in	is@bseindia.com	investorcomplaints@mse.in	grievance@mcxindia.com	askus@ncdex.com
Phone No	+91 22 26598190	+91 22 22728138	+91 22 61129000 , Ext. 9028	022-6731 8888	022-6640 6084

If not satisfied with response or your grievance is still unresolved you may register complaint to SEBI on the **SCORES** website (link: <https://scores.gov.in/scores/Welcome.html>)

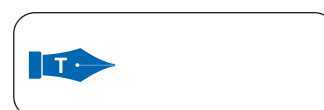
ICONS FOR ILLUSTRATION



Signature of Client



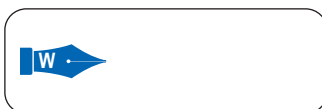
Second Holder



Third Holder



Guardian



Witness



Authorised Signatory

Message from Team Edelweiss

Dear Customer,

We are happy that you have chosen us as your investment partner.

We at Edelweiss aim at enhancing experience and simplifying processes for our customers. This Account Opening Form is another step towards the very aim - making the process of entering a new financial relationship easier.

We take pleasure and pride in introducing this Account Opening form as one of the **shortest and simplest** in the industry.

Now, opening a trading account with us takes a few minutes only, leaving you more time to interact with our representative and clarify any doubts or queries that you may have.

We also request you to go through the Terms and Conditions booklet which will be appended with your KYC. This booklet covers the details of your relationship with us and helps you become an informed investor.

With this, we also urge you to write to us on - helpdesk@edelweiss.in for trading and on dpservicebl@edelweiss.in for demat account issues, queries or feedback. We will be glad to hear from you.

Your trusted advisor always.

Warm Regards,

Team Edelweiss

INDEX**FOR EBL TRADING AND CDSL DEMAT ACCOUNT**

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Provided Through Seperate Handbook

CENTRAL KYC REGISTRY Know Your Customer (KYC) Application Form | Individual
Important Instructions:

- Fields marked with '*' are mandatory fields.
- Tick (3) wherever applicable.
- Self-Certification of documents is mandatory.
- Please fill the form in English and in BLOCK Letters.
- Please fill all dates in DD-MM-YYYY format.
- Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
- KYC number of applicant is mandatory for updation of KYC details.
- For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.


For office use only (To be filled by financial institution)

 Application Type* ☐ New ☐ Update

 KYC Number (Mandatory for KYC update request)



 Account Type* ☐ Normal ☐ Simplified (for low risk customers) ☐ Small

 UCC Code allotted to the Client:

 DP Internal Reference No.

DP Name	NSDL/CDSL	Beneficiary Name	DP ID	BO ID
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

☐ **1. PERSONAL DETAILS (Please refer instruction A in booklet)**

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	PAN No. <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Aadhar No. (UID)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/> <input type="text"/>)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector) <input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Students) <input type="checkbox"/> B-Business <input type="checkbox"/> X- Not Categorised			<div style="border: 1px solid black; padding: 5px; text-align: center;"> PHOTO  Signature / Thumb Impression </div> <div style="border: 1px solid black; padding: 5px; text-align: center;">  Signature of Client </div>

☐ **2. TICK IF APPLICABLE** ☐ **RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B in booklet)**

ADDITIONAL DETAILS REQUIRED* Mandatory only if section 2 is ticked)

 ISO 3166 Country Code of Jurisdiction of Residence*

 Tax Identification Number or equivalent (if issued by jurisdiction)*

 Place / City of Birth*
 ISO 3166 Country Code of Birth*

☐ **3. PROOF OF IDENTITY (PoI)* (Please refer instruction C in booklet)**

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A - Passport Number	<input type="text"/>	Passport Expiry Date	<input type="text"/>
<input type="checkbox"/> B - Voter ID Card	<input type="text"/>		
<input type="checkbox"/> C - PAN Card	<input type="text"/>		
<input type="checkbox"/> D - Driving Licence	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> E - Aadhar (UID)	<input type="text"/>		
<input type="checkbox"/> F - NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Z - Others (any document notified by the central government)	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> S - Simplified Measures Account - Document Type code	<input type="text"/>	Identification Number	<input type="text"/>

Specify proof of address submitted for Correspondence/Local address _____

☐ **4. PROOF OF ADDRESS (PoA)***
☐ **4.1 CURRENT I PERMANENT / OVERSEAS ADDRESS DETAILS (Please refer instruction D in booklet)**

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Proof of Address* ☐ Passport ☐ Driving Licence ☐ *UID (Aadhaar) ☐ Voter Identity Card ☐ NREGA Job Card

☐ Simplified Measures Account - Document Type code ☐ Others please specify

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

Specify proof of address submitted for Permanent/Overseas address _____

☐ **5. CORRESPONDENCE / LOCAL ADDRESS DETAILS* (Please refer instruction E in booklet)**

Same as Current / Permanent / Overseas Address details

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T. Code* ISO 3166 Country Code*

Specify proof of address submitted for Correspondence/Local address _____

☐ **6. *ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES** (Applicable if section 2 is ticked)

☐ Same as Current / Permanent / Overseas Address details ☐ Same as Correspondence I Local Address details

Line 1*

Line 2

Line 3 City / Town / Village*

State ZIP / Post Code* ISO 3166 Country Code*

☐ **7. CONTACT DETAILS**

(All communications will be sent on provided Mobile no. / (Email Id) (Please refer instruction F in booklet)

Tel. (Off)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Tel. (Res.)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
FAX	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Mobile	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Email ID	<input type="text"/>		

☐ **8. DETAILS OF RELATED PERSON**

(In case of additional related persons, please fill 'Annexure B1') (Please refer instruction G in booklet)

<input type="checkbox"/> Addition of Related Person	<input type="checkbox"/> Deletion of Related Person		
KYC Number of Related Person (if available*) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			
Related Person Type* <input type="checkbox"/> Guardian of Minor <input type="checkbox"/> Assignee <input type="checkbox"/> Authorized Representative			
Prefix	First Name	Middle Name	Last Name
Name* <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
(If KYC number and name are provided, below details of section 6 are optional) Tel. (Off)			
PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)			
<input type="checkbox"/> A - Passport Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Passport Expiry Date	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="checkbox"/> B - Voter ID Card	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
<input type="checkbox"/> C - PAN Card	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
<input type="checkbox"/> D - Driving Licence	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Driving Licence Expiry Date	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="checkbox"/> E - *UID (Aadhaar)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
<input type="checkbox"/> F - NREGA Job Card	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
<input type="checkbox"/> Z - Others (any document notified by the central government)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Identification Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="checkbox"/> S - Simplified Measures Account - Document Type code	<input type="text"/> <input type="text"/>	Identification Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

☐ **9. REMARKS (if any)**☐ **10. APPLICANT DECLARATION**

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number / email address.

Date : - - Place : 

Signature of Client / Thumb Impression of Applicant

☐ **11. ATTESTATION / FOR OFFICE USE ONLY**
☐ Originals verified and Self attested /certified Document copies received

Details	KYC Verification& Documents verified with Original carried out by	Client Interviewed By	In person Verification done by
Name of the Employee			
Employee Code			
Designation of the Employee			
Date			
Signature			

☐ **12. INSTITUTION DETAILS**
Name Code

[Institution Stamp]


Signature of Authorised Signatory

Type of Account - Sub Status

☐ Individual Resident
 ☐ Individual-Director
 ☐ Individual Director's Relative
 ☐ Individual HUF / AOP
 ☐ Individual Promoter
 ☐ Minor
☐ Individual Margin Trading A/C (MANTRA)
 ☐ Others (specify)

Education Qualification : ☐ Under Graduate ☐ Graduate ☐ Post Graduate ☐ Professional

Other Details

Gross annual income range p.a. OR Net-worth in Rs (Net worth should not be older than 1 year)	<input type="checkbox"/> Below Rs. 1 Lac <input type="checkbox"/> Rs. 1-5 Lac <input type="checkbox"/> Rs. 5-10 Lac <input type="checkbox"/> Rs. 10-25 Lac <input type="checkbox"/> Rs. 25 Lac -1 Crore <input type="checkbox"/> 1 Crore-5 Crore <input type="checkbox"/> < 5 Crore
	as on <input type="text" value="DD"/> <input type="text" value="MM"/> <input type="text" value="YY"/> <input type="text" value="YY"/> <input type="text" value="YY"/> <input type="text" value="YY"/>
Occupation	<input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Professional <input type="checkbox"/> Farmer <input type="checkbox"/> Others (Please specify)
Please tick if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP) <input type="checkbox"/> Not Politically Exposed Person (PEP) <input type="checkbox"/> Not Related to a Politically Exposed Person (RPEP) <input type="checkbox"/> None
Client Category (Only for Commodities Derivative Market)	<input type="checkbox"/> Farmers/FPOs, <input type="checkbox"/> Value Chain Participants (VCPs) <input type="checkbox"/> Proprietary traders, <input type="checkbox"/> Domestic Financial Institutional Investors <input type="checkbox"/> Foreign Participants <input type="checkbox"/> Others
Any other other information	

- I wish to receive the standard account opening documents i.e :- Rights & Obligations (Stock Broker and Depository Participant) , Uniform Risk Disclosure Documents and guidance note detailing Do's and Dont's in the below mentioned mode :-

☐ Electronic
 ☐ Physical

Additional Details

Whether you wish to receive physical contract note or Electronic Contract Note (ECN)/Statement of A/c please specify : ☐ Physical ☐ Electronic

Specify your Email Id, if applicable : _____ Number of years of Investment / Trading experience : _____ (strike off, if not applicable)

Whether you wish to avail of the facility of Internet Trading/Wireless Technology (please specify) : ☐ Yes ☐ No (strike off, if not applicable)

Any other information :

DP Account(s) Details

In case, client does not have a DP Account, this column may not be filled in.

Depository Name: <input type="checkbox"/> NSDL <input type="checkbox"/> CDSL	DP ID <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>	Depository Participant Name _____
Beneficiary Name _____	Beneficiary ID (BO ID) <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>	

Past Actions

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock Exchange/any other authority against the applicant/constituent or its partners/ promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

☐ Yes
 ☐ No
 If yes, provide details: _____

Dealings Through Authorized Persons And Other Members

If the client is dealing through any other Member / Authorized Person (AP), provide the following details (incase dealing with multiple Members/APs, provide details of all in a separate sheet containing all the information as mentioned below) :

Member's / AP's Name:

Exchange:

Exchange's Registration number:

Concerned Member's Name with whom the AP is registered:

Registered office address:

Pin Code:

Phone : Fax :

Email ID: Website:

Client Code :

Details of disputes/dues pending from/to such Member/AP:

Trading Preferences

Please sign in the relevant boxes where you wish to trade. Please strike-off the segment not chosen by you

NSE			BSE				MSE		
Cash	F&O	CDS	Cash	F&O	CDS	MUTUAL FUND	Cash	F&O	CDS
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

For Trading in Commodity Derivatives	
MCX	NCDEX
<input type="checkbox"/>	<input type="checkbox"/>

(Strike off, if not applicable)

"In future, if you wish to trade on any new segment / exchange, please submit a duly signed authorisation / letter to us."

Please sign here if you are choosing all the segments :-

Signature of Client



Purpose of Trading

☐ Hedging ☐ Investment ☐ Others (Specify)

GST Details

GST Registration No. : GST Location :

Introducer Details

Name of the Introducer :

(Surname) (Name) (Middle Name)

Status of the Introducer: ☐ Authorized Person ☐ Existing Client ☐ Others, please specify :

Address of the Introducer :

PAN No. Client Code

Tel. No.

Signature of the Introducer : 

Client Categorisation

Commercial <input type="checkbox"/>	Non Commercial <input type="checkbox"/>
Product Type	
Bullion <input type="checkbox"/>	Bullion <input type="checkbox"/>
Base Metals <input type="checkbox"/>	Base Metals <input type="checkbox"/>
Energy <input type="checkbox"/>	Energy <input type="checkbox"/>
Agri-Commodities <input type="checkbox"/>	Agri-Commodities <input type="checkbox"/>
Not Applicable <input type="checkbox"/>	Not Applicable <input type="checkbox"/>

1. COM: Commercial Client includes Value Chain/ Physical market participant/ exporter/ importer/ having direct/ indirect Exposure to the underlying Commodity
2. NON-COM: Non Commercial Client includes Trader/ Arbitrageur
3. N.A.: Not Applicable (Client not dealing in the product)

- ☐ Trading / Speculation
- ☐ Arbitrage
- ☐ Hedging
- ☐ All of above

Pan No. :

 UID No. :

Guardians Name	
Relationship with Applicant	
PAN	

Account Type	<input type="checkbox"/> Saving	<input type="checkbox"/> Current
Bank Name (through which transactions will be routed)		
Bank Account No.	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Branch Address		
	Pin <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
IFSC Code:	MICR No.:	NEFT Code:

Account Type	<input type="checkbox"/> Saving	<input type="checkbox"/> Current
Bank Name (through which transactions will be routed)	<input type="text"/>	
Bank Account No.	<input type="text"/>	
Branch Address	<input type="text"/>	
	Pin <input type="text"/>	
IFSC Code:	MICR No.:	NEFT Code:

Standing Instructions **EBL**

I/We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')		(Automatic Credit) <input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____		<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to share the email ID with the RTA		<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in 'Physical')		<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical & Electronic
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')		<input type="checkbox"/> Yes <input type="checkbox"/> No
Account to be opened through Power of Attorney (POA)		<input type="checkbox"/> Yes <input type="checkbox"/> No
I/We wish to receive dividend / interest directly in to my bank account as given in SARAL AOF through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time.]		<input type="checkbox"/> Yes <input type="checkbox"/> No
Clearing Member Details (To be filled by CMs only)		
Name of Stock Exchange _____		Name of CC / CH _____
Clearing Member Id _____		Trading member ID _____
Whether DP a/c is to be opened with the same intermediary <input type="checkbox"/> Yes <input type="checkbox"/> No		

SMS Alert & Trust Facility

SMS Alert Facility Refer to Terms & Conditions given as annexure - 2.4	MOBILE NO. +91 _____ (Mandatory, if you are giving Power of Attorney (POA) (if POA is not granted & you do not wish to avail of this facility, cancel this option.	<input type="checkbox"/> Yes <input type="checkbox"/> No
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions as Annexure - 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST Stock Exchange Name/ID _____ Clearing Member Name _____ Clearing Member ID (Optional) _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
Easi	To register for Easi, please visit our website www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online	<input type="checkbox"/> Yes <input type="checkbox"/> No

Option for Issue of Delivery Instruction Booklet (DIS Booklet)
Mandatory to select any one option

Kindly confirm the manner of receiving DIS booklet

(To be filled by person seeking to open a Depository account where Power of Attorney has been granted to operate the depository account)

- | | |
|--|---|
| <input type="checkbox"/> I require you to issue Delivery Instruction Slip (DIS) booklet to me immediately on opening my CDSL account though I have issued a Power of Attorney (POA) executed in favour of Edelweiss Broking Limited with for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through EBL. | <input type="checkbox"/> I do not require the Delivery Instruction Slip (DIS) for the time being, since I have issued a POA in favour of Edelweiss Broking Limited (EBL) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through EBL. However, the Delivery Instruction Slip (DIS) booklet should be issued to me immediately on my request at any later date. |
|--|---|

Signature of Client



Nomination Registration No. _____

Dated

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Nomination Details

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

- ☐ I/We do not wish to nominate any one for this Trading and Demat account
- ☐ I/We nominate the following person/s who is are entitled to receive funds/security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name :			
*First Name :			
Middle Name :			
*Last Name :			
Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Address :			
*City			
*State			
*Pin			
*Country			
Telephone No.			
Fax No.			
PAN No.			
*UID			
Email ID			
*Relationship with the BO:			
Date of birth (mandatory if Nominee is a minor) dd-mm-yy			

Name of the Guardian of Nominee (if nominee is a minor)			
*First Name:			
Middle Name:			
*Last Name			
Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Address of the guardian of nominee:			
*City			
*State			
*Country			
*Pin			
Age			
Telephone No.			
Fax No.			
Email ID			
*Relationship of the Guardian with the Nominee			
*Percentage of allocation of securities			
*Residual Securities (please tick any one nominee.) if tick not marked the default will be first nominee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note : Residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.




***Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Note : Witness shall attest signature(s) / thumb impression(s)

Details of the Witness		
	First Witness	Second Witness
Name of witness		
Address of witness		
Signature of witness		

I/We have received and read the Rights and Obligations document and terms and conditions and agree to abide by and be bound by the same and by the same and by the Bye Laws as are in force from time to time. I/we declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP and change(s) in the details / Particulars mentioned by me / us in this form. I/We further account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signature			

(Signature should be preferably in blue ink).

NAME, ADDRESS AND SIGNATURE OF WITNESS

(Only applicable in case the account holder has made nomination - Two witness mandatory)

1) _____

SIGNATURE WITH DATE (1)  _____

2) _____

SIGNATURE WITH DATE (2)  _____

Client Declaration

1. I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I/we may be held liable for it.
2. I confirm having read/been explained and understood the contents of the tariff sheet and all voluntary/non mandatory documents.
3. I further confirm having read and understood the contents of the Rights and Obligations document(s), Risk Disclosure Document and Do's and Dont's. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for Information on Member's designated website, if any.
4. I am hereby submitting self-certified Aadhar copy with my due consent for opening the above said account associated to my investment with Edelweiss .

Place _____

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature of Client

**Office Use Only**

I/We undertake that we have made the client aware of tariff sheet and all the voluntary/non-mandatory documents. I/We have also made the client aware of Rights and Obligations document (s), RDD, Do's and Dont's and Guidance Note. I/We have given/sent him a copy of all the KYC documents.

I/We undertake that any change in the tariff sheet and all the voluntary/non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the Rights and Obligations and RDD would be made available on my/our website, if any, for the information of the clients.

For Edelweiss Broking Limited

Authorised Signatory

This space is intentionally kept blank

Declaration for Opening Trading and Depository Account Alongwith Acceptance of KYC Document Booklet.

By signing this document you confirm that you have read and understood the T&C, Rights and Obligations, Risk Disclosure, Policy and General Information mentioned in the additional booklet. You also confirm that all information furnished by you in this form is true.

Declaration for Opening Trading (for Equity and / or Commodity) and Depository Account Alongwith Acceptance of KYC Document Booklet.

1. I am desirous of opening the trading (for Equity and / or Commodity) and / or depository account with **Edelweiss Broking Limited** and I am in the process of executing client registration documents relating to the opening of trading and demat account.
2. I have furnished all the details required in the KYC form as per SEBI/Exchange / Depository requirements. I confirm having read/been explained and understood the contents of the KYC documents which are provided to me in separate booklet. The KYC document booklet includes the following :-
 - a) Instructions and Checklist for filling the KYC, Ckyc form and FATCA Declaration
 - b) Information on Anti money Laundering
 - c) Rights and Obligations of the parties relating to Trading account (Including internet and wireless technology based trading) prescribed by SEBI and Stock Exchanges and Rights and Obligations between Beneficiary Owner and Depository Participant
 - d) Uniform Risk Disclosure Document (RDD) prescribed by SEBI and Stock Exchanges, including guidance note and Do's and Don'ts for clients
 - e) Policies and Procedures (under paragraph 8 of SEBI Circular No : MIRSD/SECIR-19/2009 dated December 3, 2009)
 - f) Additional terms and conditions (for Equity and / or Commodity) - (Voluntary Document)
 - g) Terms And Conditions- for receiving SMS Alerts from CDSL (Annexure 2.4) and Transaction Using Secured Texting (TRUST) (Annexure 2.6)
 - h) General Information for both Trading and Demat account
3. I understand that the KYC document booklet is in accordance of the exchanges and/or SEBI/DP requirements applicable for opening trading/DP account. I understand and agree that any amendment/modifications as required by the exchanges/DP and/or regulators will be applicable to me at all point of time and changes if any in future will be intimated to me.
4. I understand that as additional control have registered the KYC documents related to opening of trading and depository account, respectively are registered with the Sub-Registrar of Assurances, Mumbai bearing registration number BBI1/4615/2014 and BBI2/4617/2014 respectively dated May 30, 2014 and same is available with the registrar for records and reference purpose. This is not mandated by SEBI.
5. I have received the booklet with above mentioned contents. I also confirm having read/been explained and understood the contents of the documents on policy and procedures of the stock broker and their Terms & Conditions in the booklet.

Client Name : _____

 Date :

D	D
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 —

M	M
---	---

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Y	Y	Y	Y
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Signature of Client

EDELWEISS BROKING LIMITED (EBL) DP ID – 12032300
With effect from 1st January 2018

DEPOSITORY CHARGES - SCHEDULE 'A'

Particulars	<input type="checkbox"/> Star Scheme (POA)	<input type="checkbox"/> Regular Scheme (POA)	<input type="checkbox"/> Regular Scheme (Non POA)
1. Maintenance Charges	Rs.1500/- one time No annual maintenance charges. Additional Rs. 500 will be charged annually for Corporate accounts (Refer note below).	Free for 1st year. From 2nd year onwards Rs.500/p.a. Additional Rs. 500 will be charged annually for Corporate accounts (Refer note below).	Free for 1st year. From 2nd year onwards Rs.500/p.a. Additional Rs. 500 will be charged annually for Corporate accounts (Refer note below).
2. Market/Off Market Transfers/Inter Depository			
a) Purchase (Credit in)	Nil	Nil	Nil
b) Market Sell Within Edelweiss	0.02% of the transaction value or Rs.20/- per transaction, whichever is higher	0.02% of the transaction value or Rs.20/- per transaction, whichever is higher	0.02% of the transaction value or Rs.25/- per transaction, whichever is higher
c) Off Market Transaction	0.03% of the transaction value or Rs.20/- per transaction, whichever is higher	0.03% of the transaction value or Rs.20/- per transaction, whichever is higher	0.03% of the transaction value or Rs.20/- per transaction, whichever is higher
d) Sell (Debit from) target other than 2 b) and c above	0.03% of the transaction value or Rs.40/- per transaction, whichever is higher	0.03% of the transaction value or Rs.40/- per transaction, whichever is higher	0.03% of the transaction value or Rs.40/- per transaction, whichever is higher
3. Pledge / Hypothecation			
a) Creation / Acceptance / Closure	0.02% of the transaction value or Rs.50/- per transaction, whichever is higher	0.02% of the transaction value or Rs.50/- per transaction, whichever is higher	0.02% of the transaction value or Rs.50/- per transaction, whichever is higher
b) Invocation	0.02% of the transaction value or Rs.25/- per transaction, whichever is higher	0.02% of the transaction value or Rs.25/- per transaction, whichever is higher	0.02% of the transaction value or Rs.25/- per transaction, whichever is higher
4. Demat Charges	Rs. 2.00 Per Certificate + Rs.30/- for Postage	Rs. 2.00 Per Certificate + Rs.30/- for Postage	Rs. 2.00 Per Certificate + Rs.30/- for Postage
5. Remat Charges	Rs.10/- per 100 securities or part thereof (Subject to minimum Rs 10/-per request)+ Rs. 30/- for Postage	Rs.10/- per 100 securities or part thereof (Subject to minimum Rs 10/-per request)+ Rs. 30/- for Postage	Rs.10/- per 100 securities or part thereof (Subject to minimum Rs 10/-per request)+ Rs. 30/- for Postage
6. Account Closing	Nil	Nil	Nil



(Signature of First Holder)



(Signature of Second Holder)



(Signature of Third Holder)

Standard Brokerage Plan:

Plan	Delivery Rate	Futures* and Intraday Cash	Options Premium Rate	Currency Future Rate	Currency Options Premium
Silver	0.50%	0.05%**	2.50% or Rs. 300/- per lot	0.03%	Rs. 15/- per lot

* Futures include: Stock Futures, Index Futures as well as Currency Futures, ** On each Leg.

- Brokerage rate of 0.5% for all clients on acceptance of shares that are tendered in any buyback offer
- Minimum brokerage per order of Rs 25 (subject to maximum of 2.5%) or maximum slab as per the regulatory guidelines will be levied.
- In case Physical delivery is taken in derivatives segment above Delivery rate will be applicable.

Terms & Conditions for EBL DP Charges :

- Edelweiss Broking Ltd reserves its right to revise its Charges/Billing structure at its absolute discretion, by giving one month's notice to clients.
- Cheques /Pay orders/ D.D., should be drawn in favor of Edelweiss Broking Ltd. All the other charges will be collected over-the-counter and receipts of the same should be collected immediately.
- Statement of Transaction will be sent to you by courier/post/electronically as per guidelines issued by CDSL / SEBI from time to time.
- Goods & Service Tax will be applicable on the above charges and is subject to change.
- Please note that, in terms of SEBI Circular No. CIR/MRD/DP/20/2010 dated July 1, 2010, in the event of closing of your demat account or shifting of the demat account from us to another DP we shall refund you the Account Maintenance Charges for the unrealized quarter/balance of quarters.
- Additional Annual Maintenance charge of Rs. 500 will be charged for all types of corporate accounts as per CDSL guidelines. This will be charged on pro-rata basis. Type of accounts under this category will be – Body Corporate / FI / FII / Mutual Fund / Trust / Bank / QFI. Inclusion or exclusion in these client types will be subject to change as per guidelines received from CDSL.
- Incidental charges - tele / fax / courier / etc., if any, will be charged at actual for Demat Rejection.
- Periodic Transaction / Holding Statements will be sent on Correspondence address. While any Non-periodic Statements asked for, will be charged Rs. 5/- per page.
- The Client authorizes Edelweiss Broking Ltd. (EBL), to recover the DP charges on various transactions from time to time from the regular shares dealing / deposit account / any other account with Edelweiss Broking Ltd.



(Signature of First Holder)



(Signature of Second Holder)



(Signature of Third Holder)

Tariff / Brokerage Sheet (Commodity)

EDELWEISS BROKING LIMITED

TRADING	1ST LEG		2ND LEG	
TYPE	PERCENTAGE	MINIMUM	PERCENTAGE	MINIMUM
INTRADAY	%	(Ps.)	%	(Ps.)
SETTLEMENT			%	(Ps.)
DELIVERY	%	(Ps.)		

Terms & Conditions:

- a) In addition to the above mentioned brokerage all statutory/regulatory levies and other charges including but not limited to Exchange Transaction charges, Commodity Transaction Tax, Warehouse charges, VAT, Stamp Duty (as applicable state wise), Service Tax, GST and any other charges which may be levied by Exchange or any regulatory/ government authorities shall be charged/recovered from you separately over and above the brokerage rate as per current applicable rate and if there is any revision in the rates, the same will be applicable. Any change in the statutory levies, if any, shall be reflected in the respective contract note.
- b) Delayed payment charges not exceeding 2% p.m. on the debit balances if any, in client's ledger account and not settled by the client within the stipulated time frame.
- c) Auction charges, penalties, fines levied by Exchange/s or any other regulatory authority for client limit violation/ short margin/client code modification/delivery default or for any other violation/default as the case may be, will be charged on actual basis.
- d) In the event of cheque bounce Rs 250/- per instance, will be debited.

I/We have understood and agreed the above terms & conditions related to the charges listed above being charged to me/us, as applicable and agree to abide by the same.

Client Signature : 

Client Name : _____

Place : _____

Date : _____

(The authorization shall be signed by the client only and not by any person authorized on his behalf)

Edelweiss does not accept any fees / payments / charges in cash.

Please do not make any payment in cash

Edelweiss Broking Limited - Edelweiss House, Off C.S.T Road, Kalina, Mumbai - 400 098

Financial Status and Other Details under PMLA

The information is sought under the Prevention of Money Laundering Act, 2002, the rules and SEBI and Exchange Guidelines issued on Anti Money Laundering

Gross Annual Income Details (for previous 3 yrs)	Year 1	<input type="checkbox"/> Below Rs. 1 <input type="checkbox"/> Lac Rs. 1-5 Lac <input type="checkbox"/> Rs. 5-10 Lac <input type="checkbox"/> Rs. 10-25 Lac <input type="checkbox"/> Rs. 25 Lac -1 Crore <input type="checkbox"/> 1 Crore-5 Crore <input type="checkbox"/> < 5 Crore
	Year 2	<input type="checkbox"/> Below Rs. 1 <input type="checkbox"/> Lac Rs. 1-5 Lac <input type="checkbox"/> Rs. 5-10 Lac <input type="checkbox"/> Rs. 10-25 Lac <input type="checkbox"/> Rs. 25 Lac -1 Crore <input type="checkbox"/> 1 Crore-5 Crore <input type="checkbox"/> < 5 Crore
	Year 3	<input type="checkbox"/> Below Rs. 1 <input type="checkbox"/> Lac Rs. 1-5 Lac <input type="checkbox"/> Rs. 5-10 Lac <input type="checkbox"/> Rs. 10-25 Lac <input type="checkbox"/> Rs. 25 Lac -1 Crore <input type="checkbox"/> 1 Crore-5 Crore <input type="checkbox"/> < 5 Crore
Networth Details (as on account opening date)		<input type="checkbox"/> Below Rs. 1 <input type="checkbox"/> Lac Rs. 1-5 Lac <input type="checkbox"/> Rs. 5-10 Lac <input type="checkbox"/> Rs. 10-25 Lac <input type="checkbox"/> Rs. 25 Lac -1 Crore <input type="checkbox"/> 1 Crore-5 Crore <input type="checkbox"/> < 5 Crore

Please tick mark the additional applicable category to you

- ☐ Non resident client
☐ High net-worth client (having annual income + networth of more than INR 5 crore)
☐ Civil Servant or family member or close relative of civil servant
☐ Bureaucrat or family member or close relative of bureaucrat
☐ Current or Former MP or MLA or MLC or their family member or close relative
☐ Politician or their family member or close relative
☐ Current or Former Head of State or of Governments or their family member or close relative
☐ Senior government/judicial/military officers or their family member or close relative
☐ Senior executives of state-owned corporations or their family member or close relative
☐ Companies offering foreign exchange offerings
☐ None of the above

Self Declaration of Income and Networth

I, _____ having PAN no. _____ resident of _____
 _____ (full address) do hereby solemnly affirm and declare as under :-

- a) My annual income is Rs. _____ (Source of Income _____)
 b) My DP holding as on date is attached herewith. The total valuation as on _____ is Rs. _____
 c) My Networth as on _____ is Rs. _____

We hereby further confirm/undertake that the investments/trading done in securities market are from our own/borrowed sources of funds and we confirm that the funds utilized for trading activity by us is in compliance with the rules, regulations and guidelines stipulated under PMLA.

I certify that the above information given by me is true.

Client Name _____



(Signature of Client)

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POWER OF ATTORNEY (VOLUNTARY)

THIS POWER OF ATTORNEY ("PoA") is made on the date and place as mentioned in the Schedule/s hereunder by the person/s mentioned in the Schedule I (hereinafter referred to as "Client/s/Principal/s") in favour of Edelweiss Broking Limited, Company incorporated under the provisions of the Companies Act, 1956 and having its Registered Office at Unit No. 801-804, 8th Floor, Abhishree Avenue, Nehru Nagar, Ambawadi, Ahmedabad - 380 015 and Corporate Office at Edelweiss House, Off CST Road, Kalina, Mumbai - 400098 (hereinafter referred to as "EBL" which expression shall unless repugnant to the context thereof mean and include its successors and assigns) acting through each of its Directors or such other persons as may be authorized by EBL in this regard.

WHEREAS EBL is the member of National Stock Exchange of India Limited, Bombay Stock Exchange Limited, Metropolitan Stock Exchange of India Limited, Multi Commodity Exchange of India Ltd and National Commodity and Derivatives Exchange (collectively referred to as "Stock Exchanges") having SEBI Registration No: INZ000005231

AND WHEREAS EBL is a Depository Participant with Central Depository Services (India) Limited (CDSL) bearing Depository Participant ID – 12032300 (hereinafter referred to as "EBL-DP"). The details of Pool and Beneficiary Account/s currently maintained by EBL and the Pool and Beneficiary Account/s which EBL may hereinafter open and operate, from time to time are set out in Schedule II hereto.

AND WHEREAS the Client (first named in the Schedule I) wishes to avail and/or has availed the services offered by EBL, both present and in future as may be permitted by the Exchange/s, in its capacity as a member of the Exchange/s and has entered into or may enter into relationship and executed one or more member and/or account opening document/client registration kit and other related documents (hereinafter collectively referred to as "Client Agreement/s") with EBL for transacting in securities on the Exchange/s in accordance with the provisions of the Client Agreement/s read with the terms and conditions ("Terms") voluntarily agreed with EBL and with a view to facilitating the proper execution of the transactions contemplated in the said Client Agreement/s and the Terms the Client along with other principal/s intends to confer on the EBL the authorities and power specified in this PoA.

AND WHEREAS EBL, in its capacity other than as a Stock Broker/s, also provides certain other permissible services through its website www.edelweiss.in and may provide through other sites as may be specified by EBL from time to time or through any other channels, which, inter alia, include facilitating application(s) for any offer or public issues of shares, securities, stock, bonds, debentures, mutual fund units, units of any collective investment scheme or any other securities or purchase/sale/redemption, investment rights, corporate actions and/or offer for sale made by issuers, tendering shares in open offer tendering shares on record date for buy back tendering of shares on delisting of shares etc. and Client intends to avail the said services and for the purpose of facilitating the transactions in the Investment Products on Client's behalf, the Client along with other principal/s confer on EBL, the authorities and powers specified in this PoA.

AND WHEREAS the Client/s being the beneficiary/ies of the transactions carried out pursuant to this PoA, the Client/s are desirous of constituting and appointing EBL as his/her/their lawfully constituted attorney and confer upon it the Powers hereinafter stated.

- (1) To operate a depository account with EBL-DP (as per the details given in the Schedule I) and communicated by the Principal/s to EBL to debit the said account to meet all margin/settlement obligations arising out of transactions executed on the Stock Exchange/s for and on behalf of the Client/s and/or against the transaction/s effected under the Margin Trading Facility ("MTF") and/or transfer securities from the Beneficiary Owner account of the Principal/s to such demat accounts as mentioned in Schedule II for the purpose of delivering the same in respect of securities sold by the Client and/or for margin purposes to the Stock Exchanges in relation to any segment or facility as may be availed by the Client/s and offered by EBL including but not limited to MTF, arising out of such transaction executed on the Stock Exchange/s or any other stock exchange duly recognized and regulated under the applicable laws, as the case may be.
- (2) To operate a securities/beneficiary account, Designated DP account with a Depository Participant/s for and on my/our behalf, any transactions executed by me/us including but not limited to mutual funds transactions at the Stock Exchange/s and for the purpose to sign required papers/instructions as may be required from time to time and generally without any limitation to deal with the Mutual funds/Beneficiary Account and Designated DP account for and on my/our behalf and also to issue instructions relating to purchase/sell/transfer/redemption or creation/revocation of pledge on Mutual funds and/or any securities freezing of or lien on Mutual funds and/or any securities and such other instructions including but not limited to sale of mutual fund units or any other securities against any of my/our obligations currently then in my/our debit, as may be deemed fit and appropriate from time to time by my/our Attorney relating to my/our transactions in mutual fund units generally with a view to meet with the settlement/redemption/margin obligations arisen out of any trade/transactions undertaken by me/us.
- (3) To submit copies of the PoA to the Depository Participant/s with whom Designated DP Account or other beneficiary accounts are maintained in my/our name(s) and obtain acknowledgement thereof and to do all such acts and deeds as may be deemed fit and appropriate from time to time by my/our attorney in pursuance of these presents
- (4) To acquire by purchase or otherwise Mutual Funds units, bonds, debentures, shares, derivatives or other Mutual Funds and hold such Mutual funds on my/our behalf and to generally sell/redeem or otherwise deal with any/all such Mutual Funds on my/our behalf as my/our attorney deem fit and appropriate, from time to time.
- (5) To sign and execute the necessary documents. Forms, agreements, undertaking confirmations, declarations etc. or any other documents relating to any of the investment product including but not limited to for subscription, purchase, sale, redemption or disposal thereof pursuant to the instructions of the client/s.
- (6) To communicate with Mutual Funds companies, their asset management companies or trustees, registrar or agents, custodians of Securities, Brokers and Depository Participants on my/our behalf.



(Signature of First Holder)



(Signature of Second Holder)



(Signature of Third Holder)

- (7) To appoint legal counsel and/or other representatives and agents to carry out all or any of the functions set out in these presents and to revoke any such appointment;
- (8) To incur and pay interest, all charges due to EBL by me/us and expenses in connection with all or any of the aforesaid matters;
- (9) I/We hereby undertake to ratify whatever my/our attorney may lawfully do in and by virtue of these presents. I/We hereby declare that the powers and authorities conferred herein these presents are unconditional and that with the execution of this PoA, all the powers and authorities conferred herein above shall be exercisable by my/our attorney through any of its officials/employees.
- (10) To forward all such applications placed through the website or any other channels of communication, to the online-IPO module of the concerned Stock Exchange or owner/issuer of units of mutual funds, rights issue, offer for sale, collective investment scheme or tender securities in open offer or buy back of shares, or any other Investment Product .
- (11) To confirm, on behalf of me/us as having read and understood the contents of the offer documents of various schemes of the mutual funds or any other Investment Products in which EBL may invest on my/our behalf .
- (12) To operate a depository account with EBL-DP (as per the details given in the Schedule I) and communicated by the Principal/s to EBL-DP to validate on behalf of the Principal/s any instructions given in written or physical form with respect to the depository account as may be required including, but not limited to dematerialization and/or rematerialization of securities allotted on application by EBL-DP on behalf of the Client/s and for delivery of securities for the purpose of redemption or repurchase by the issuers.
- (13) To return to the Client/s the securities or funds that have been received erroneously or those securities or funds that EBL-DP was not entitled to receive from the Client.
- (14) To retain all originals of the documents executed by and on behalf of the Principal/s.
- (15) The client/s authorizes EBL to send consolidated summary of Client's scrip-wise buy and sell positions taken with average rates to the Client by way of SMS/email on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.
- (16) This PoA may be revoked at any time by the Principal/s by lodging with EBL, a communication in writing duly signed by the Principal/s. Provided, however, that the revocation shall not so operate as to preclude or deny execution of transactions as are required for the purpose of fulfilling all obligations (towards settlement, delivery, margin or otherwise), arising out of transactions executed for and on behalf of Client/s by EBL on the Stock Exchanges prior to the time of receipt of such revocation by EBL and is exclusive to EBL except to the extent not permissible under the Bye-laws, Rules and Regulations of the Exchanges and Depository and the directions issued by the Stock Exchanges there under. This document shall be subject to the jurisdiction of the courts in Mumbai.
- (17) The details provided in the Schedule I may be altered by the Principal/s and the details provided in Schedule II may be altered by EBL, by giving due written notice of 7 (seven) working days to the other party.

By signing on this document you authorize EBL to execute the following:

1. Delivery instructions on your trades
2. Settlement of trades
3. Meeting margin requirements



(Signature of First Holder)



(Signature of Second Holder)



(Signature of Third Holder)

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Schedule I (Details of Client/Principals)

Name of Client/First Holder	
Address of Client	
Trading Account No.	
Depository Participant Name	Edelweiss Broking Limited
DP ID	12032300
Demat Account No.	

Schedule II (Details of Stock Broker's Demat Account)

Name of DP / CC	DP ID	Account Type	Account No	CM BP ID
Edelweiss Broking Ltd.	IN303719	NSE POOL A/C NSDL	10246227	IN565785
Edelweiss Broking Ltd.	IN303719	BSE POOL A/C NSDL	10246235	IN655816
Edelweiss Broking Ltd.	IN303719	MSEI POOL A/C NSDL	11006906	IN473445
Edelweiss Broking Ltd.	12032300	NSE POOL A/C CDSL	00158395	
Edelweiss Broking Ltd.	12032300	BSE POOL A/C CDSL	00158420	
Edelweiss Broking Ltd.	12032300	BSE PRINCIPAL	00158416	
Edelweiss Broking Ltd.	12032300	MSEI POOL A/C CDSL	00358456	
NSCCL		NSE MUTUAL FUND		IN565576
ICCL		BSE STAR MF		IN620031
Edelweiss Broking Ltd.	IN303719	CLIENT COLLATERAL ACCOUNT	11039042	
Edelweiss Broking Ltd.	12032300	CLIENT UNPAID SECURITIES ACCOUNT	03833121	
Edelweiss Broking Ltd.	12032300	CLIENT COLLATERAL ACCOUNT	03833892	
Edelweiss Broking Ltd.	IN303719	CLIENT MARGIN TRADING SECURITIES ACCOUNT	11063314	
Edelweiss Broking Ltd.	IN303719	CLIENT COLLATERAL ACCOUNT	11063322	
Edelweiss Broking Ltd.	IN303719	CLIENT COLLATERAL ACCOUNT	11063339	
Edelweiss Broking Ltd.	IN303719	CLIENT UNPAID SECURITIES ACCOUNT	11063419	

In WITNESS WHEREOF, I/We hereunto set and subscribed our respective hands on the day, date and year herein above mentioned

"Client/s/Principal/s"	Name	Signature
First Holder		
Witness		

Date

Place _____

Acceptance of Power of Attorney

I, _____
 _____ (Name, Address, Designation) on behalf of Edelweiss Broking Limited accept appointment as an agent under this power of attorney and undertake the powers conferred hereunder shall be exercised by me and/or by any other person authorized by Edelweiss Broking Limited honestly, in accordance with the conditions and directions set out above.

(Date & Signature of Agent) _____

Voluntary Authorisation Letter

From :

Date :

Client Code: _____

DP Client Code: _____

Edelweiss Broking Limited (EBL)
 Edelweiss House, Opp. CST Road, Kalina,
 Mumbai 400 098.

By ticking on YES you authorize EBL to maintain the Trading Account as Running Account thereby adjusting any obligations and receivables.

Dear Sir,

Subject : Voluntary Authorisation letter
1. Authorisation for Periodic Settlement of Funds and Securities ☐ Yes ☐ No

- a. To maintain my/our account on a running account basis and adjust any amounts receivable from me against any credits standing into my account or from my forthcoming payouts payable by me/ us to Edelweiss Broking Ltd, where I am / we are registered with you as a Client.
- b. I/We request you to keep my/our funds with you to meet my/our pay in obligations in the succeeding settlements in the same segment as well as other segments of BSE and/or NSE and/or MSEI and/or MCX and /or NCDEX where I/We am/are registered with you as a Client.
- c. Further it is observed that many times the date on which payment is due to me/us from you and the date which I am/ we are required to make payment to you are very close and therefore exchange of cheques become unnecessary paper work. Hence, I/We request you to maintain the running account facility with you. I/We agree that you shall not be required to pay any interest/ charges/ cost in respect of funds on a running account basis.
- d. I/We hereby give my/our consent for periodic settlement of my /our funds.
- e. I am /We are aware that in terms of SEBI circular CIR/HO/MIRSD/DOP/CIR/P/2019/2019 dated June 20, 2019 any excess securities available in your Client Collateral / Collateral account will be released to me/us along with funds settlement after making necessary retention as may be permitted by regulators.

However my/our preference for periodic settlement of funds and securities is as follows:-

- a. Monthly ☐ b. Quarterly ☐
- f. I/We declare that this authorisation is revocable by me/us at any time without giving any prior notice of such revocation to Edelweiss Broking Ltd.
- g. I/We agree that for any pay outs from my trading account, I/We specifically shall intimate about the same and Edelweiss Broking Ltd. shall upon due scrutiny of my account and upon adherence to its policy/procedure, shall release eligible amount to me/us. I/We agree that this authorisation shall have an equal binding effect to the successors, executors and assigns of Edelweiss Broking Limited.
- h. I/We hereby authorise you to transfer/adjust all the additional funds lying in my/our ledger account after meeting my obligations/dues, to the collateral account to avail exposure or keep all funds in your margin account with you to meet my margin obligations or keep the same with any exchange and/or with clearing member in the form of fixed deposit or any other form to avail exposure/meet margin requirements. This arrangement would be without any consideration or the funds so moved will not bear any interest/commission payable to me/is in the event of above arrangement.

2. Authorization for receiving ECN's and any documents/communications in electronic form by E-mail from the Stock Broker (for Equity and Commodity Derivatives) and Depository Participant ☐ Yes ☐ No

I am registered as a Client and having the captioned trading account and Depository account hereby authorize EBL for the following: or SMS.

By ticking on YES you authorize EBL to send all important communication like notices, contract notes etc. from EBL by mail or SMS.

- a) I/we authorize EBL to issue me/us electronic contract notes (ECN's), bills, trade confirmations, ledgers, daily margin statements, statement of accounts for periodical settlement of funds and securities, any notices, circulars, amendments and such other correspondence or communication related to my/our trading account (hereinafter referred to as "Documents") and wherever required duly authenticated by means of a digital signature as specified in the information technology Act, 2000 and the rules made there under to the E-mail ID as mentioned hereunder:
- b) I/We understand that the documents received on e-mail/displayed on website are for my/our convenience. I/we will take all the necessary steps to ensure confidentiality and secrecy of the login name & password of the internet/email account. I / we are aware that the documents as may be accessed by other entities in case the confidentiality/ secrecy of the login name and password is compromised.
- c) I/We shall verify the authenticity of the e-mails which I/we shall receive. EBL shall not be responsible, if I/we do not receive the documents due to incorrect email ID and/or technical reasons.

To be continued....

continued from previous page.

- d) I authorize EBL to issue me bills, ledgers, monthly/quarterly/yearly demat transaction cum holding statements, any notices, circulars, amendments and such other correspondence or communication related to my demat account (hereinafter referred to as "Documents") and wherever required duly authenticated by means of a digital signature as specified in the information technology Act, 2000 .
- e) I understand that wherever the e-mails have not been delivered to me or has been rejected (bouncing of mails) from the e-mail ID of mine, EBL would send physical document to me. I further hereby agree that EBL have fulfilled the legal obligation, if the above documents are sent electronically to the above-mentioned e-mail ID. I agree that EBL will not be responsible for non receipt of documents sent via electronic delivery due to change in email address or for any other reason which inter alia include my email/inbox running out of capacity, malfunction of my computer system/server/internet connection, mails received by frauds/imposters etc. I also agree that EBL shall not take cognizance of out-of office/ out-of-station auto replies and I shall be deemed to have received such electronic mails.

I shall inform EBL in writing if there is any change in my registered e-mail ID. _____

3. Authorisation for debiting various depository charges:- ☐ Yes ☐ No

By ticking on YES you authorize EBL to deduct all DP charges from your account.

- a) I hereby give my consent/authority to debit/recover all types of depository charges viz annual maintenance charges, inter settlement charges, any type of transaction charges as is levied on me for the transactions carried out in my demat account including any statutory levies, services tax or any other tax/charges/fees in/from my trading ledger having the captioned client code as maintained with EBL. I understand and agree that such depository charges will be debited in my trading ledger maintained with EBL irrespective of the ledger balance on periodically and/or as per the details provided by EBL.
- b) I instruct EBL to provide the requisite information periodically and/or on occasion basis of such charges levied on me to EBL with whom I have opened the trading account. I understand and consent that EBL shall have the right to recover the depository charges like any other trade dues payable by me from my trading ledger. I hereby further authorize EBL to set off a part or whole of the collateral/ledger balances/securities in my demat account i.e. by way of appropriation of the relevant amount of cash or by way of sell or transfer or liquidation/close out positions of all or some of the securities placed as collateral or lying in my demat account as stated above for the purpose of clearing any outstanding amount related to the aforesaid demat account maintained with EBL. Any and all losses and financial charges on account of such liquidation/close-out shall be borne by me.

4. Sharing of Data & Information:- ☐ Yes ☐ No

By ticking on YES you express your approval and interest in receiving information on various other financial products/services that EBL has to offer.
You also allow EBL to share your preferences with other allied businesses.

- a) I have opened a trading account with EBL having the captioned client code and demat account with EBL-DP having the captioned client code and am interested in knowing about various financial products /facilities offered by your associate/group companies. I am aware that associate/group companies are required to obtain information about me and my transactions for providing various financial products/facilities.
- b) I authorize you, your group companies and associates to keep me informed with any financial product which Edelweiss, its group companies and associates presently issue, deal in, or distribute or may, from time to time, launch, issue, deal in or distribute through e-mail, SMS, telephone, print media or otherwise as may be allowed .
- c) I hereby voluntarily accept and expressly authorize EBL to get the information from EBL-DP or from any other Depository Participant of its group/associate companies with whom I have the Demat account and share/disclose or use in any manner, the information/documents/data about me and our transactions, with group of associates companies which is offering the products / facilities. Information provided by me in the Trading and Demat Account Opening Kit. Transaction cum holding statement with EBL-DP and Any other related information. My holdings in stocks/securities. Ledger balances in my Trading/Demat Account across all Exchanges/Depositories.
- d) I authorize you To the extent appropriate for our relationship with you, personal information may be shared for the following purposes :-
- I. to comply with applicable laws, rules and regulations, including anti-terrorism, KYC, anti-money laundering and tax reporting rules and regulations
 - II. to comply with legal process, to respond to requests from public, regulatory or government authorities (including authorities outside your country of residency), and to allow us to pursue remedies and limit damage
 - III. to any of our associate / affiliate / group entities including our service providers performing delegated outsourced function to enable them to perform internal business processes (which facilitate transactions) such as risk management purposes, data analysis, audits, developing and improving new products and services, etc
 - IV. to any of our associate / affiliate / group entities to enable them to provide you with appropriate products and services
- e) I have no objection to EBL sharing the above information or any such other information, about me/us with its group/associate companies or affiliates. This is without legal obligation on you, your group companies and associates to so inform and you or they may, in their discretion, discontinue sending such information.

Name : _____ Place : _____

To be continued....

5. Request for trading in commodity forward contracts/commodity derivatives on MCX and NCDEX - ☐ Yes ☐ No

I/We, the undersigned, have taken cognizance of circular no. MCX/338/2006 date August 21, 2006, MCX/541/2006 dated December 7, 2006 and MCX/T&S/014/2012 dated January 12, 2012 issued by Multi Commodity Exchange of India Ltd (MCX) and I/We, the undersigned, have taken cognizance of circular no. NCDEX/TRADING-114/2006/247 date September 28, 2006, NCDEX/TRADING-070/2007/188 dated August 01, 2007 and NCDEX/TRADING-003/2012/010 dated January 10, 2012 on the guidelines for calculation of net open positions permitted in any commodity.

I/We hereby undertake to comply with the same. I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by MCX/NCDEX or SEBI and such position limits will be calculated in accordance with the contents of above stated circular of NCDEX and MCX as notified from time to time.

I/We undertake to inform you and keep you informed if I/any of our Partners/directors/ karta /trustee or any of the partnership firms/companies/HUFs/Trusts in which I or any of above such person is a partner/director/ karta/trustee, takes or holds any position in any commodity forward contract/ commodity derivative on MCX/NCDEX through you or through any other member, to enable you to restrict our position limit as prescribed by the above referred circulars as modified from time to time.

I/We confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on MCX / NCDEX only on the basis of our above assurances and undertaking.

6. Acceptance of securities as collateral for any or all segment(s) ☐ Yes ☐ No

I/ We, _____ (PAN - _____) having my/our Trading Account with do hereby provide my / our consent/ authorisation to further pledge /deposit my/our securities lying with you or in my/our Beneficial account (Demat Account No) with the clearing member / clearing corporation for additional exposure availed by me / us during the period of my relationship with you.

I/We hereby declares and assure that

- (i) the said Securities are owned by me/us,
- (ii) the Said Securities are in existence and are free from any charge, lien or encumbrance, whether prior or otherwise,
- (iii) the Said Securities will be subject to the creation of pledge in favour of or for the benefit of Clearing corporation and further that the Securities over which pledge may be created in future would be in existence and owned by me/us at the time of creation of such pledge and that the Said Securities to be given in future as security to clearing corporation would likewise be unencumbered, absolute and disposable property of the clients
- (iv) Edelweiss Broking Ltd. or its Clearing Member is authorized to do all such acts and things, sign such documents and pay and incur any such costs, debts and expenses as may be necessary and the same shall be subject to terms and conditions as contained herein
- (v) the Securities are subject to the first priority and lien in favour of Clearing corporation to secure, my/our obligations and that my/our rights or interests with respect to the Said Securities shall be subject and subordinate to the rights, claims and interests of Clearing corporation in respect of the Said Securities
- (vi) Clearing corporation may invoke the pledge without my reference or permission and upon receipt of the Said Securities, Clearing corporation may utilize the proceeds in meeting my/our obligations in such manner as it may deem fit and that such invocation of pledge will be final and irrevocable against the Clearing Member and me / us
- (vii) I/We shall not make any claims or demands for refund or any reimbursement in relation to the Said Securities.
- (viii) I/we hereby confirm that I/we read the terms and conditions to this effect and fully aware of the consequences of the same.

7. Further Representations and Declarations cum Undertaking ☐ Yes ☐ No

I / We represent, declare and undertake as under:

- I. I / We maintain a trading account and also maintain Comtrack account with Edelweiss Broking Limited(Comtrack Participant) collectively herein referred to as 'Edelweiss'.
- II. I/ We intend to execute delivery based buy and/or sell transactions in such of the commodities allowed by MCX / NCDEX / other Commodity Exchanges and / or by Edelweiss through my/our trading and/or Comtrack account maintained with Edelweiss and for the purpose have read and understood the Rules/Regulations / Terms and Conditions for delivery based trading stipulated by concerned Regulating Authority and/or Authorities and / or Edelweiss and declare and undertake to always comply / abide such rules/regulations/terms and conditions as may be applicable from time to time.
- III. I/ We hereby declare that, I/we would undertake such delivery based commodity trading only upon knowing full consequences in respect of the losses which may arise on any count including but not limited to the Fire, Flood, Earthquake, Burglary, and Spontaneous Combustion of Agro Goods kept in

To be continued....

the Commodity Exchange/s accredited or non-accredited warehouses in physical/electronic Form, liquidation of such commodity trades on expiry dates or otherwise.

- IV. I/ We also undertake to bear all the losses arising on account of the seizure which may arise due to the stock holding limits which may be imposed by the different states from time to time under the Essential Commodities Act, 1955 and/or any other applicable regulations;
- V. I/ We also undertake to accept the perils attached with Local Sales Tax Laws, and under applicable rules/laws including but not limited to the Agricultural Produce Marketing Committee (APMC) or any other statutory bodies rules and the amendments thereto which may have the effect to change the entire value of the underlying commodities traded and/or held by me/us, at relevant times.
- VI. With regard to Voluntary document titled "Terms and Conditions" executed and submitted by me/us with Edelweiss for the purpose of opening trading account and/or comtrack account with Edelweiss herein referred to and more particularly clauses relating to "Trade obligations" and "Conditions" of the use of the services referred to therein, I/We herein further declare, undertake and irrevocably authorize Edelweiss that:-
- In case there were to be any default committed by me/us towards margin and/or other payments during the course of trading and more particularly commitment or continuation of any default by me/us on the Expiry date (Date) or the Final Expiry Date (FED) (Date) or under any other situation warranting such liquidation (Date) in respect of the commodity traded by me/us where such Date may be stipulated by the Concerned Regulator/Commodity Exchange or Edelweiss or accredited or non-accredited warehouse of the Exchange or its assayer where the commodity is kept by me/us, then in such a situation, I/we hereby specifically and severally authorize Edelweiss to liquidate such commodity either in part or in full for and on my/our behalf and upon my/our cost upon such terms and conditions and in such manner as EBL may deem it fit and proper.
 - For the purpose of enabling Edelweiss initiating actions contained herein above at clause I/We hereby further severally authorize Edelweiss from time to time to take all requisite actions as may be deemed fit and proper including but not limited to appoint / engage C&F Agents and/or third parties for my / our behalf and at my/our cost, provide requisite instructions/writings to C&F Agents and / or third parties for and on my/our behalf for such liquidation trades / transactions, not allowing any further trade until settlement of dues is completed for such trades/transactions by me/us and to transfer such commodity to its pool account for and on my/our behalf for liquidation purposes so as to effectively carry out my/our instructions/authorizations herein contained and herein provided in favour of Edelweiss
 - I/We hereby further agree undertake and indemnify to fully reimburse and pay to Edelweiss against all costs, charges, fees and/or expenses which Edelweiss may have to incur while carrying-out the actions referred to herein this
 - I/We hereby further declare and agree that this declaration cum undertaking forms part and parcel of KYC documents submitted by me/us to Edelweiss and be always read and interpreted in conjunction therewith. Further, I/We hereby agree that in pursuance of this declaration cum undertaking, I/We hereby ratify all actions which may have been initiated by Edelweiss relating to any of my /our trades and transactions; and

I / We also further declare and undertake that I/We shall always indemnify and/or keep Comtrack Participant (Edelweiss Broking Limited) and / or to any of the associate / group companies of Edelweiss with which I/We shall have dealings of whatever nature and any / all of its / their respective Directors/officials/employees and each of them always indemnified against all costs/charges and/or losses as may arise on account of each of them severally acting in pursuance of this declaration-cum-undertaking-cum-authorization and indemnification and further declare and undertake that under no circumstances it/they shall be severally held liable and/ or responsible for any cost/charges/loss incurred by me/us on account on any trades/transactions for any of the above mentioned events herein contained.

Trading Code: _____

Comtrack Account ID: _____

Name _____

Signature  _____

Place: _____ Date: _____

NERL / CCRL ACCOUNT Details

To be filled and submitted by clients holding NERL / CCRL account

1. Name of Client: _____
2. Client Address: _____

3. PAN No.: _____
4. Bank Details (other than as mentioned in Annexure 1 Part II):

Bank Name	Branch Address	Bank Account No.	Account Type: Saving/Current/Others	MICR Number	IFSC code

5. Name of Trading Member: **EDELWEISS BROKING LIMITED**
6. Trading Member ID: **MCX – 56520 and NCDEX – 1279**
7. NERL/CCRL Details of client :

Sr. No.	Repository Participant Name	NERL/CCRL Participant ID	NERL/CCRL Account No. of Beneficiary

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I/We undertake to inform you of any change therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.

Signature of Client :  _____

Place : _____

Date : _____

Note:

1. Please provide a certified copy of NERL/CCRL master or a recent NERL/CCRL holding statement issued by a Repository Participant bearing name of Client.
2. In case the client has multiple NERL/CCRL account, Please provide proof and details of the same.
3. In case of PAN provide certified copy of PAN.
4. In case of Bank account provide copy of cancelled cheque as proof for all the bank account details provided.

Request for SMS and E-mail Alerts from Stock Exchange/s & Stock Brokers

(Reference to SEBI circular Ref. No. CIR/MIRSD/15/2011 dated August 02, 2011)

To,

Edelweiss Broking Ltd.

Edelweiss House, Off C.S.T. Road, Kalina, Mumbai 400098.

This document and the details that you furnish will allow us and the exchanges to send you all relevant information of your account and transactions by SMS and e-mail.

Dear Sir,

Sub: SMS and E-mail alerts from Stock Exchange/s & Stock Brokers, Depository Participant for my Trading Account No. _____ and Demat account _____ with you

I/We request you to activate the facility of SMS and Email alerts from Stock Exchanges/Stock Brokers for transactions in the above mentioned trading account.

☐ YES I/We wish to receive alerts by SMS/EMAIL

☐ By SMS ☐ By EMAIL ☐ By SMS & EMAIL*

* If opted for both SMS and Email facility, it is mandatory to give both the Mobile number and Email ID.

If you wish to receive alerts by SMS/E-mail, the following options are available (Tick any one and give the details accordingly)

- ☐
- A) I/We wish to receive alerts from the Stock Exchanges/Stock Brokers. Kindly note that if the mobile no/email ID mentioned here is different from the one provided in my KYC, then this mobile no/Email ID shall prevail and I/We give my consent to details being send to this mobile number/email ID. Kindly update your records accordingly.

- My Mobile no. _____ is registered in the name of _____

- My Email Id is _____

(Please write only ONE valid email ID on which communication, if any, is to be sent)

- ☐
- B) I/We desire to give mobile number/email id of family member. I/We give my consent to make available my/our trade information to my Family Member and the mobile number/email Id of my family member is as under. ('Family' would mean self, spouse, dependent children and dependent parents. Provided such Family Member is also a client of the said broker)

Name of Family Member	Relationship with client	Type of service (SMS/Email/Both SMS & Email)*	Mobile No. of Family Member	Email ID of Family Member	Client Code

Client Name _____



Signature of Client

Trading Authorization Form – Voluntary

Date:

Edelweiss Broking Ltd.
 Edelweiss House, Off CST Road, Kalina,
 Santacruz, Mumbai - 400098

Sub: Trading Authorization Form

Ref: Trading Account: _____

1. This is reference to my aforementioned Trading Account with Edelweiss Broking Limited (hereinafter called EBL) opened by executing various KYC documents to trade on various exchanges
2. Due to nature of my work and my other pre-occupations, I am unable to personally trade / invest / give orders/ convey investment decisions effected through the aforementioned trading account.
3. Mr./Ms. _____ (Family Representative – FR) S/o, D/o, W/o. Mr./Ms. _____
 Email Id of FR _____ aged about _____ years, residing at _____

 is my _____ (relationship) who has good knowledge of securities market and has got prior experience with respect to investment in securities market and I have full faith and confidence in him. As such I hereby appoint and authorize Mr. _____ as my FR in relation to taking investments decisions, investing / trading in securities through the trading account being opened by me with EBL.
4. Any instructions given to or received from Mr. / Ms. _____ (Name of the person to be Authorized) in connection with the said trading account will be treated as instructions given to or received from me.
5. I, further, hereby undertake to honour all obligations arising out of the orders placed by Mr. / Ms. _____ (Name of the person to be Authorized) by virtue of this authority letter and I indemnify and shall keep Edelweiss Broking Ltd. indemnified from any and all losses arising out of such orders.

PLEASE READ CAREFULLY

NOW THROUGH THIS AUTHORIZATION LETTER I do hereby nominate, appoint and constitute Mr. /Mrs. _____ as my true and lawful FR to do the following acts, deeds and things on my behalf:


1. To buy, sell or in any manner trade in or deal with (including but not limited to setting off, alteration or closing out of transactions and freezing of the account) securities (as defined in Section 2h of the Securities Contracts Regulation Act, 1956 which includes equity, currency and commodity derivatives) on the NSE, BSE, MSEI, MCX, NCDEX and / or any other stock exchange (s) (including facilities/mechanism offered by Exchange/s like Margin Trading, Securities Lending and Borrowing, etc.) my FR thinks fit through my aforementioned trading account.
2. To the extent permitted by EBL, place orders with EBL for the aforesaid purposes and to the extent permitted by EBL to cancel revoke or alter such orders, to acknowledge contract notes and any other documents as may be required by EBL.
3. To receive and give / effect trade confirmations, SMS confirmations, email confirmations, letters / notices payment/receipt instruction and any other communications including margin shortfall and squaring up of open position due to margin shortfall from and to EBL (in addition to the documents / confirmations received and executed / effected by me) and to sign all documents in relation to investing / trading in securities.
4. I assume full and complete responsibility for all the investment decision (s) / transaction (s) effected by the FR and that I am not entitled to hold EBL, its officers, directors, employees, agents and affiliates for any loss / liability arising out of the investment decision (s) and / or transaction (s) effected by the FR on my behalf. I confirm that I will receive all trade details / obligation details etc. pertaining to my trading account, from my FR as and when trades / transactions were affected in my account and undertake to confirm trades / statement of accounts as and when called upon to do so.
5. I hereby agree that I shall not alter or revoke this Trading Authorization without 30 days prior written notice to my FR as well as to EBL and that the revocation or alteration shall not affect acts deeds or things done by my FR in relation to EBL or in relation to the securities transactions prior to such revocation or alteration being communicated to EBL.


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AND I hereby agree to ratify and confirm all and whatsoever my FR shall lawfully do purport to do or cause to be done by virtue of this Letter of Authorization.

Important Information

'Family Representative' would mean self, spouse, dependent children and dependent parents.

I agree and confirm	I accept, agree & confirm
Signature of Client 	Signature of FR
Mobile number of Client	Mobile number of FR
Landline number of Client	Landline number of FR
E-mail ID of Client	E-mail ID of FR

'Family Representative' would mean self, spouse, dependent children and dependent parents.

Name of Branch Manager/Authorised Person _____

Signature of Branch Manager/Authorised Person _____

Passport photograph of
FR to be affixed with
sign across the
photograph

Note : Family Representative PAN Proof required with signature & Branch/AP's IPV

FATCA-CRS Annexure for Individual Accounts (including Sole Proprietor)
Details under FATCA and CRS (Please refer to instructions in small Booklet)
(Please consult your professional tax advisor for further guidance on your tax residency, if required)

Name of the accountholder: _____ PAN No: _____

Fathers Name: _____ Spouse's name _____

Aadhaar number (Optional) _____ Nationality _____

City of birth _____ Country of birth _____

Residence address for tax purposes (include City, State, Country & Pin code) _____

Address Type: _____

(a) Residential or Business (b) Residential (c) Business (d) Registered Office

(Note : Permissible documents are: Passport ,Election ID Card, PAN Card , ID Card , Driving License , UIDAI Card , NREGA Job Card and Others)
1. Tax residence declaration – tick any one, as applicable to you:
☐ I am a tax resident of India and not resident of any other country

Or

☐ I am a tax resident of the country/ies mentioned in the table below

Please indicate ALL the countries in which you are a resident for tax purposes and the associated Tax ID Number below:

Country [#]	Tax Identification Number [%]	Identification Type (TIN or Other%, please specify)

[#] To also include USA, where the individual is a citizen/ green card holder of USA

[%] In case Tax Identification Number is not available, kindly provide functional equivalent^s
Certification

I/We have understood the information requirements of this Form (read along with the FATCA/CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA CRS Terms and Conditions below and hereby accept the same.

Name: _____

Signature of Client



Date: ____/____/____

Place: _____

SEBI MARGIN TRADING FACILITY (MTF Facility)

TERMS AND CONDITIONS

The terms and conditions governing MTF Facility provided by Edelweiss Broking Limited (**EBL**) set out below (**T&C**) and forming part of the account opening form must be read in conjunction with the rights and obligations prescribed by the Securities and Exchange Board of India (**SEBI**) under SEBI circular no. CIR/ MRD/ DP/54/ 2017 dated June 13, 2017 and the Rules, Regulations, Bye laws, Rights and Obligation, Guidelines and circulars issued by SEBI and the stock exchanges from time to time (hereinafter collectively referred to as **Regulatory Requirements**).

A. For the Client (means and includes an individual, company, partnership firm, trust, Hindu undivided family, association of persons, body of individuals etc.) The Client agrees to and confirms, undertakes and authorizes that:

I. General:

1. Avail the MTF Facility offered by EBL in accordance with the T&C.
2. Consent to the T&C through email /SMS from his/its email id / mobile number registered with EBL or by online mode by logging-in on the website of EBL or by calling the relationship manager or the call centre through the registered mobile number or by physical mode.
3. The dues of the Client, wherever mentioned in the T&C, include but are not limited to the outstanding balances, interest, statutory dues, taxes, duties, charges, penalties etc. in respect of the MTF Facility availed by the Client.
4. The T&C applicable to the grant of the MTF Facility and the obligations of the Client as amended from time to time is irrevocable and shall not be revoked by the death/dissolution/ winding up of the Client.
5. EBL in its sole and exclusive discretion may or may not grant the MTF Facility to the Client. EBL shall not be required to provide any reasons for either granting or refusal thereof nor shall EBL be liable for any damages (whether direct or consequential or whether financial or non-financial) to the Client by reason of it refusing to grant the MTF Facility to the Client.
6. To communicate / indicate that the transaction is under the MTF Facility before placing the order with EBL. If the transaction is entered under the MTF Facility, there will not be any further confirmation that it is a margin trading transaction other than the contract note issued on conclusion of the transaction.
7. Transaction/s to be considered for exposure to the MTF Facility shall be informed to EBL in writing or in any other irrefutable mode of communication, including call to the relationship manager or call centre on a recorded land line on T day before close of the trading hours. In the absence of any such express communication, the transaction shall be considered under the normal trading facility. EBL in its discretion may identify the eligible/excess securities available with the Client and mark the same as collateral towards the MTF Facility. All credit arising to the Client's account out of a sale transaction under the MTF Facility shall be first adjusted towards the debit under the MTF Facility, if any and subject to adequate margin being maintained for the outstanding debit under the MTF Facility.
8. Pay an interest equal to the Delayed Payment Interest charged into the Client's normal account or upto 24% p.a. interest or at the rate agreed from time to time.
9. EBL at all times shall have the liberty to exercise its rights in its sole discretion to determine the extent to which the MTF Facility will be available to the Client.

II. Requirement to Maintain Margin:

10. Ensure required margin is maintained for the MTF Facility at all points of time as per the Regulatory Requirements and as specified by EBL from time to time.
11. EBL shall retain and/or pledge the securities of the Client, which are utilised for availing the MTF Facility, and /or retain its corporate benefits, if any, till the amounts due in respect of the transactions including the dues to EBL are paid in full by the Client.
12. EBL shall hold and / or appropriate the credit lying in the Client's account and/or any unutilized/ unpledged shares/ securities lying in the Client's demat account along with all other demat accounts / Mutual Funds / IPO account of the Client towards the repayment of the outstanding dues thereof under the MTF Facility.
13. All funds received by EBL shall be credited firstly to the Client's Futures and Options margin account, secondly to the MTF Facility and thereafter to other accounts, if any. The movement of funds, for the purpose of maintenance of margin for various accounts, shall take place on the basis of requirement and availability of funds.

14. EBL shall treat the securities available in demat account/s linked to the trading account of the Client as margin towards the MTF Facility availed by the Client.
15. Subject to Regulatory Requirements, EBL at its sole and absolute discretion may increase / revise the limit of initial margin and maintenance margin, minimum transaction amount from time to time. The Client shall abide by such revision, and where there is an upward revision of such margin amount, the Client agrees to make up the revised margin immediately. The Client shall make up the revised margin not later than 5 working days from the day of margin call, failing which EBL in its discretion may exercise its right to liquidate the security / collateral and / or close out the position immediately.
16. Make good the deficient margin / margin call by placing further margin immediately, failing which, depending upon the market conditions and / or the volatility, EBL in its discretion may exercise its right to liquidate the security / collateral and / or close out the position immediately. The MTF Facility shall be provided only in respect of shares as permitted by Regulatory Requirements and/or EBL from time to time.

III. Closing out of position:

17. Notwithstanding anything contained in clauses 15 and 16 above, EBL may, in its sole discretion, determine the time to sell the securities to be liquidated, and / or which contract(s) is / are to be closed.
18. All losses and financial charges on account of such liquidation/closing out shall be charged to and borne by the Client.
19. On the happening of any of the following events, EBL may, immediately and without any notice, liquidate the security / collateral and or close out the position of the Client:
 - i. if any instrument for payment of Margin Money / Monies is / are dishonoured;
 - ii. if the Client provides any incorrect or misleading information or violates or is in breach of any provision of the T&C; if there is a change in the constitution of the Client whether on account of admission of a new partner or retirement/death/insolvency of any partner or otherwise or if the Client has voluntarily or compulsorily become the subject of any proceedings under any bankruptcy/ insolvency law or winding up / liquidation proceedings or a receiver or liquidator has been appointed in respect of the Client's assets or makes an application or refers itself to any authority for being declared as a "sick company", relief undertaking, bankrupt or insolvent or seeking financial reconstruction or any other like scheme (by whatever name called) or is dissolved; the death, lunacy or any other disability of the Client;
 - iii. if the Client has admitted its inability to pay his/its dues when they become payable or there is reasonable apprehension that the Client is unable to pay its outstanding dues;
 - iv. if any order is passed by any regulatory authority, courts, etc. requiring EBL to liquidate the security / collateral and/or close out the position of the Client or if the Client is convicted under any law in force;
 - v. if any asset or any security is seized or made subject to any distress, execution, attachment, injunction or other process order or proceeding or is detained or taken into custody for any reason;
 - vi. if Client defaults under any facility or arrangement with any stock broker. vii. there exists any other circumstance, which in the sole opinion of EBL, is prejudicial to the interests of EBL.

IV. Communication:

20. a Based on Client's preference, the Client accepts all types of communications to Client's email/message to registered mobile number of the Client/logging in on EBL website or by physical mode relating to and including order / trade confirmation, revision in margin/ margin calls, decision to liquidate the position / security / collateral, margin statements, margin policies on haircuts / VAR margin, risk management policies, rights & obligations, etc.

V. Miscellaneous:

21. The MTF Facility may be withdrawn by EBL, in the event of the Client committing any breach of any of the T&C or at any time after due intimation to the Client allowing such time to liquidate the MTF position as agreed herein, without assigning any reason.
22. In the event of termination of this arrangement, the Client shall forthwith settle the dues of EBL. EBL shall be entitled to immediately adjust the margin amount against the dues of the Client, and the Client hereby authorizes EBL to make such adjustment. If any further amount is due from the Client to EBL, after such adjustment, then the Client shall forthwith settle the same. EBL shall release the balance amount to the Client upon full settlement of all the dues of the Client to EBL.

23. EBL may release/ unpledge / transfer the securities utilized for the MTF Facility within 5 working days of the Client clearing the dues to EBL.
24. A protest /disagreement with any transaction, document, statements, contract notes or any communication under the MTF Facility shall be lodged by the Client within 24 hours from the date of receipt of the same.
25. Subject to clearing EBL's dues, the Client can close/terminate the MTF Facility at any time.
26. Any dispute in connection with the MTF Facility arising between the Client and EBL shall be referred to the investor grievance redressal mechanism, arbitration mechanism of the relevant stock exchange.
27. The Client must before deposit and/or purchase of any securities belonging to the promoters/promoter group; intimate the nature of the same to EBL before deposit and/or purchase of the securities for availing MTF Facility, failing which the same will be treated as non-promoter holding.
28. The terms and conditions and amendments made by EBL from time to time, to the T&C, shall be communicated to the clients which shall inter alia include making it available on EBL's website: www.edelweiss.in

B. For EBL

EBL agrees to and undertakes and confirms that:

I. Maintenance of Margin:

29. The Client can, at anytime, replace the securities provided towards collateral for the MTF Facility, as long as the said securities are approved for margin trading.
30. The Client's positions with regard to the MTF Facility will be monitored and reviewed on a continuous basis.
31. To the extent the Client is eligible and subject to the required margin being available, additional exposure over the debit balance may be granted EBL shall, in its sole discretion will identify the eligible/excess securities available with the Client and mark the same as collateral towards the MTF Facility. All credit arising to the Client's account out of a sale transaction under the MTF Facility shall be first adjusted towards the debit under the MTF Facility, if any and subject to adequate margin being maintained for the outstanding debit under the MTF Facility.
32. EBL shall clearly indicate the additional / deficient margin to be made good by the Client when it makes a 'margin call' to the Client.
33. If EBL liquidates the Client's securities, the contract note issued for such margin call related transaction shall carry an asterisk or identifier that the transaction has arisen as a result of a margin call.
34. The daily margin statements sent by EBL to the Client shall identify the margin/collateral for MTF Facility separately.

II. Closing/Termination of Account:

35. Upon receipt of a request from the Client to close/terminate the account of the Client, EBL shall forthwith close/terminate the same subject to payment by the Client of all its dues under the MTF Facility.
36. If for any reason whatsoever EBL surrenders the MTF Facility or ceases to be a member of the stock exchange or the stock exchange withdraws the MTF Facility provided to EBL then the margin trading arrangement between EBL and the Client shall be terminated.
37. Subject to clearing all dues payable to EBL, the Client may opt to terminate the MTF Facility, in the event of EBL committing any breach of any terms or conditions herein or for any other reason.
38. Upon the Client opting to terminate the MTF Facility and on payment of all the dues payable by the Client to EBL, EBL shall return to the Client all the collaterals provided and funded securities retained forthwith, but not later than 5 working days from the date of such payment.

III. Miscellaneous:

39. The Client shall, after paying all dues, be free to take the delivery of the securities at any time by repaying the amounts paid by EBL to the exchanges towards the securities.
40. EBL shall issue appropriate records to communicate to the Client the change in status of a transaction from normal to MTF Facility, if the Client determines to convert a normal trade into MTF after the issuance of a contract note, and shall include information like the original contract number and the margin statement and the changed data.

41. If there is no transaction for 90 days in a Margin Trading Account and if there are any outstanding dues from client then the account shall be settled immediately.
42. The stocks deposited as collateral with EBL (Collaterals) for availing the MTF Facility and the stocks purchased under the MTF Facility (Funded stocks) shall be separately identifiable and there shall not be any co-mingling for the purpose of computing funding amount.
43. The funds of one Client shall not be used to provide MTF Facility to another Client even if so authorised by the first Client.
44. Investor Protection Fund (IPF) will not be available for transactions done on the exchanges, through the MTF Facility, in case of any losses suffered in connection with the MTF Facility availed by a Client. These T & C are supplemental to and do not supersede the terms and conditions contained in the mandatory documents executed by the Client such as KYC forms, Rights & Obligations etc., as also the Rules, Regulations, Bye-laws, circulars of the exchanges, directives and guidelines of regulatory authorities.

RIGHTS AND OBLIGATIONS RELATING TO MARGIN TRADING FACILITY PROVIDED BY STOCK BROKER/ TRADING MEMBER TO CLIENTS – BSE

1. Stock Broker/ Trading Member is eligible to provide Margin Trading Facility (MTF) in accordance with SEBI & Exchange Guidelines as specified from time to time.
2. Stock Broker/ Trading Member desirous of extending MTF to their clients is required to obtain prior permission of BSE. Stock Broker/ Trading Member may note that BSE has the right to withdraw the permission at anytime.
3. Stock Broker/ Trading Member shall extend the MTF to the client, on such terms and conditions as specified by the Stock Exchange / SEBI from time to time. Stock Broker/ Trading Member and the client shall abide by the requirements of the margin trading framework, including rights and obligations, as prescribed by Stock Exchange/ SEBI/ Stock Broker/ Trading Member.
4. Stock Broker/ Trading Member shall intimate all the terms and conditions, including maximum allowable exposure, specific stock exposures etc., as well as the rights and obligations to the client desirous of availing MTF.
5. Stock Broker/ Trading Member may, at its sole and absolute discretion, increase the limit of initial and/or maintenance margin, from time to time. The Client shall abide by such revision, and where there is an upward revision of such margin amount, he agrees to make up the shortfall within such time as the Stock Broker/ Trading Member may permit. It may however, be noted that the initial/ maintenance margins shall never be lower than that prescribed by Stock Exchange/ SEBI.
6. Stock Broker/ Trading Member shall provide MTF only in respect of such shares, as may be permitted by Stock Exchange/ SEBI.
7. Stock Broker/ Trading Member shall liquidate the securities and other collateral, if the client fails to meet the margin call to comply with the margin requirement as specified by Stock Exchange/ SEBI/ Stock Broker/ Trading Member. In this regard, Stock Broker/ Trading Member shall also list down situations/ conditions in the which the securities may be liquidated (Stock Broker/ Trading Member to list down situations/ conditions):
8. Stock Broker/ Trading Member shall not use the funds of one client to provide MTF to another client, even if the same is authorized by the first client.
9. The stocks deposited as collateral with the Stock Broker/ Trading Member for availing margin trading facility (Collaterals) and the stocks purchased under the margin trading facility (Funded stocks) shall be identifiable separately and no comingling shall be permitted for the purpose of computing funding amount
10. IPF shall not be available for transactions done on the Stock Exchange, through MTF, in case of any losses suffered in connection with the MTF availed by the client.

The rights and obligations prescribed hereinabove shall be read in conjunction with the rights and obligations as prescribed under SEBI circular no. CIR/ MIRSD/ 16/ 2011 dated August 22, 2011.

RIGHTS & OBLIGATIONS OF STOCK BROKERS & CLIENTS – NSE FOR MARGIN TRADING FACILITY (MTF)

CLIENT RIGHTS

1. Client shall receive all communications in a mode mutually agreed between the broker and the client regarding confirmation of orders/trades, margin calls, decision to liquidate the position / security.

2. Client shall be free to take the delivery of the securities at any time by repaying the amounts that was paid by the Stock Broker to the Exchange towards securities after paying all dues.
3. Client has a right to change the securities collateral offered for Margin Trading Facility at any time so long as the securities so offered are approved for margin trading facility.
4. Client may close / terminate the Margin Trading Account at any time after paying the dues.

CLIENT OBLIGATIONS

1. Client shall, in writing in his own hand or in any irrefutable electronic method, agree to avail of Margin Trading Facility in accordance with the terms and conditions of Margin Trading Facility offered by the broker, method of communication for confirmation of orders/trades, margin calls and calls for liquidation of collateral/security/position.
2. Client shall inform the broker of its intent to shift the identified transaction under Margin Trading Facility within the time lines specified by the broker failing which the transaction will be treated under the normal trading facility
3. Client shall place the margin amounts as the Stock Broker may specify to the client from time to time.
4. On receipt of 'margin call', the client shall make good such deficiency in the amount of margin placed with the Stock Broker within such time as the Stock Broker may specify.
5. By agreeing to avail Margin Trading Facility with the broker, client is deemed to have authorized the broker to retain and/or pledge the securities provided as collateral or purchased under the Margin Trading Facility till the amount due in respect of the said transaction including the dues to the broker is paid in full by the client.
6. Client shall lodge protest or disagreement with any transaction done under the margin trading facility within the timelines as may be agreed between the client and broker.

STOCK BROKER RIGHTS

1. Stock Broker and client may agree between themselves the terms and condition including commercial terms if any before commencement of MTF.
2. Stock broker may set up its own risk management policy that will be applicable to the transactions done under the Margin Trading Facility. Stock broker may make amendments there to at any time but give effect to such policy after the amendments are duly communicated to the clients registered under the Margin Trading Facility.
3. The broker has a right to retain and/or pledge the securities provided as collateral or the securities bought by the client under the Margin Trading Facility.
4. The broker may liquidate the securities if the client fails to meet the margin call made by the broker as mutually agreed of liquidation terms but not exceeding 5 working days from the day of margin call.

STOCK BROKER OBLIGATIONS

1. Stock broker shall agree with the client the terms and condition before extending Margin Trading Facility to such client. However, for clients who already have existing trading relationship and want to avail of Margin Trading Facility, stock broker may take consent in writing in his own hand or in any irrefutable electronic method after stock broker has communicated the terms and conditions of Margin Trading Facility to such existing clients.
2. The terms and conditions of Margin Trading Facility shall be identified separately, in a distinct section if given as a part of account opening agreement.
3. The mode of communication of order confirmation, margin calls or liquidation of position/security shall be as agreed between the broker and the client and shall be in writing in his own hand or in any irrefutable electronic method. Stock broker shall prescribe and communicate its margin policies on haircuts/ VAR margins subject to minimum requirements specified by SEBI and exchanges from time to time.
4. The Stock Broker shall monitor and review on a continuous basis the client's positions with regard to MTF. It is desirable that appropriate alert mechanism is set up through which clients are alerted on possible breach of margin requirements.
5. Any transaction to be considered for exposure to MTF shall be determined as per the policy of the broker provided that such determination shall happen not later than T + 1 day.

6. If the transaction is entered under margin trading account, there will not be any further confirmation that it is margin trading transaction other than contract note.
7. In case the determination happens after the issuance of contract, the broker shall issue appropriate records to communicate to Client the change in status of transaction from Normal to Margin trading and should include information like the original contract number and the margin statement and the changed data.
8. The Stock Broker shall make a 'margin call' requiring the client to place such margin; any such call shall clearly indicate the additional/deficient margin to be made good.
9. Time period for liquidation of position/security shall be in accordance declared policy of the broker as applicable to all MTF clients consistently. However, the same should not be later than 5 working (trading) days from the day of 'margin call'. If securities are liquidated, the contract note issued for such margin call related transactions shall carry an asterisk or identifier that the transaction has arisen out of margin call.
10. The daily margin statements sent by broker to the client shall identify the margin/collateral for Margin Trading separately.
11. Margin Trading Accounts where there was no transactions for 90 days shall be settled immediately.
12. The stocks deposited as collateral with the stock broker for availing margin trading facility (Collaterals) and the stocks purchased under the margin trading facility (Funded stocks) shall be identifiable separately and there shall not be any comingling for the purpose of computing funding amount;
13. Stock Broker shall close/terminate the account of the client forthwith upon receipt of such request from the client subject to the condition that the client has paid dues under Margin Trading Facility.

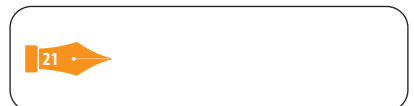
TERMINATION OF RELATIONSHIP

1. The margin trading arrangement between the stock broker and the client shall be terminated; if the Stock Exchange, for any reason, withdraws the margin trading facility provided to the Stock Broker or the Stock Broker surrenders the facility or the Stock Broker ceases to be a member of the stock exchange.
2. The MTF facility may be withdrawn by the broker, in the event of client committing any breach of any terms or conditions therein or at anytime after due intimation to client allowing such time to liquidate the MTF position as per the agreed liquidation terms without assigning any reason. Similarly, client may opt to terminate the margin trading facility in the event of broker committing any breach of any terms or conditions therein or for any other reason.
3. In the event of termination of this arrangement, the client shall forthwith settle the dues of the Stock Broker. The Stock Broker shall be entitled to immediately adjust the Margin Amount against the dues of the client, and the client hereby authorizes the Stock Broker to make such adjustment.
4. After such adjustment, if any further amount is due from the client to the Stock Broker, the client shall settle the same forthwith. Upon full settlement of all the dues of the client to the Stock Broker, the Stock Broker shall release the balance amount to the client.
5. If the client opts to terminate the margin trading facility, broker shall forthwith return to the client all the collaterals provided and funded securities retained on payment of all the dues by clients.

I hereby agree to the above-mentioned terms & conditions and provide my consent for availing Margin Trading facility with Edelweiss Broking Limited

Name: _____

Signature of Client



Date: ____/____/____

Place: _____

Mutual Fund confirmations

To
Edelweiss Broking Ltd. (EBL)
 Edelweiss House, Off CST Road,
 Kalina, Mumbai - 400 098.

Date: _____

Dear Sir,

Subject: BSE Star MF / MFSS

I/We _____ am/are registered as your client with Client Code No. _____ and have entered into relationship with the Trading Member for the purpose of trading in the Capital Market Segment of Bombay Stock Exchange Ltd. (Exchange) and National Stock Exchange Ltd. (Exchange).

I/We am/are interested in availing the trading facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE STAR MF and MFSS on the Exchange.

I/we am/are provides my consent for mutual fund trading against collateral lying my account. Also, aware that in event of non clearance of debit in my/our trading accounts; there is risk of stock collateral or MF collateral getting liquidated to extent of my/our ledger debit for recovery of trading debit.

For the purpose of availing this BSE STAR MF & MFSS facility, I/We state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of BSE STAR MF & MFSS and I/We further confirm that the details contained in same remain unchanged as on date.

I/We am/are willing to abide by the terms and conditions as mention in the circular dated December 2,2009 and such other Notices/Circulars as may be specified by the Exchange/ICCL from time to time in this regards, for BSE STAR MF and Terms & Conditions mentioned in circular No. NSE/MFSS/003/2001 download Ref. No. NSE (MIC/13533) dated November 24, 2009.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/We choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in BSE STAR MF and MFSS.

Thanking you,

Yours faithfully,

Client Name _____

Demat A/c No. _____

1st Holder _____

2nd Holder _____

3rd Holder _____



Signature of Client / Authorised Signatories
 (Please sign in blue ink only)

Date

D	D	M	M	Y	Y	Y	Y
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Place _____

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EBL Registered Address: Unit No. 801-804, 8th Floor, Abhishree Avenue, Nehru Nagar, Ambawadi, Ahmedabad - 380 015.

Customer Care : 1800-102-3335

Correspondence Address: Edelweiss House, Off C.S.T Road, Kalina, Mumbai - 400 098