

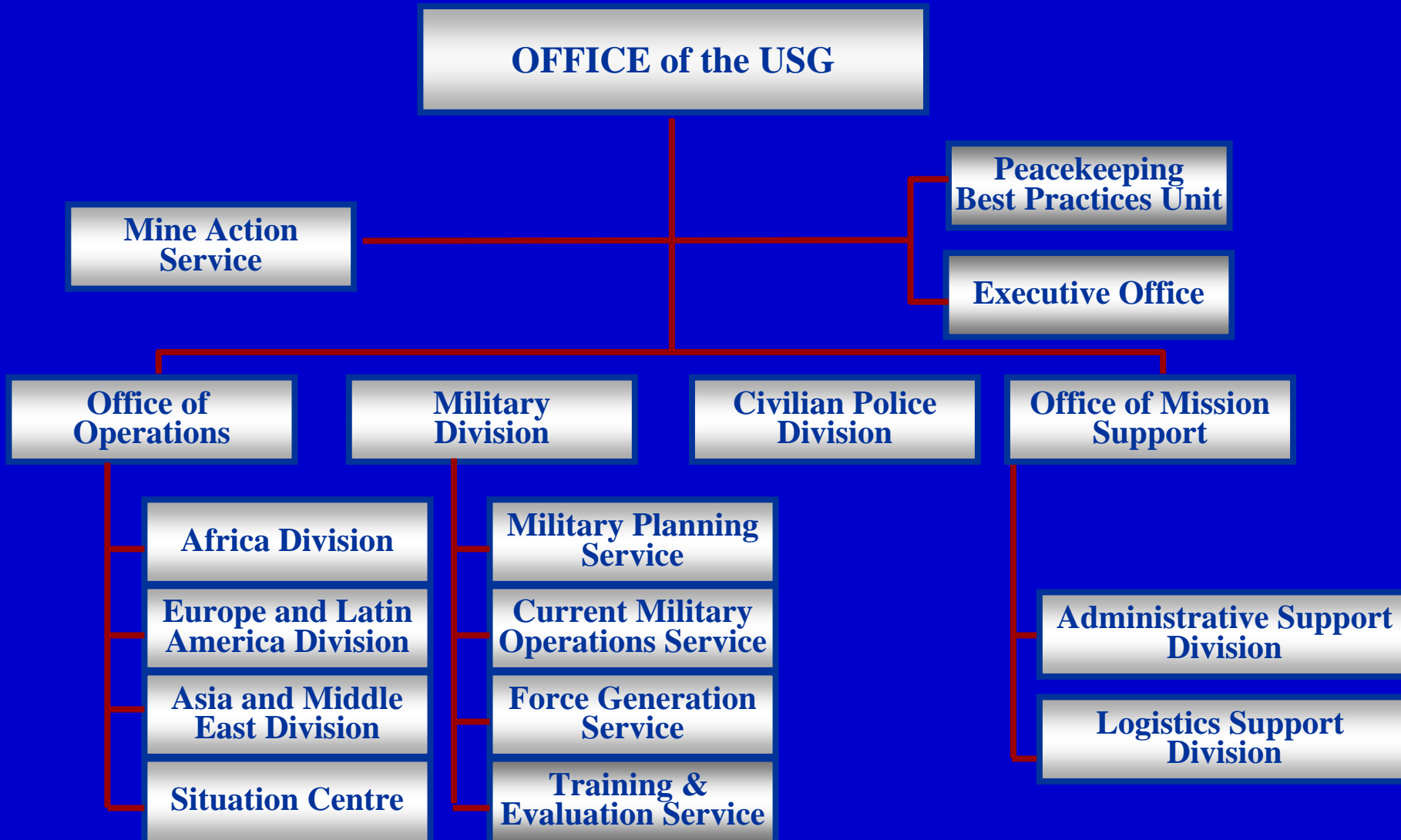


UN HQ Planning Process Peace Operations

Presentation based on material from UN DPKO Manual
PLANNING PROCESS FOR MILITARY OPERATIONS



DEPARTMENT OF PEACEKEEPING OPERATIONS





PRINCIPLE PLANNING PRODUCTS

- **Strategic Estimate**
- **Operational Estimate**
- **Concept of Operations**



8 PHASES

LAUNCHING UN MISSION

- **Pre-Initiation**
- **Initiation**
- **Strategic Planning**
- **Initial Operational Planning**
- **Concept Development**
- **Plan Development**
- **Deployment**
- **Execution**



PHASE 1

PRE-INITIATION

- Department of Political Affairs Monitors
- Information gathering
- **Hotspot** – OO develops *Awareness of Situation*
- **Hotter** – OO develops *Knowledge of Situation*
- **Hotter Still**
 - *Formal direction DPKO over-watch*
 - *Develops Understanding of Situation*
 - *DPKO ready to give military/police advice during peace process*



PHASE 2

INITIATION

- **MILAD initiates when high likelihood military involvement**
- **Necessary conditions for peace present or soon to be present**
- **Integrated Mission Task Force (IMTF) formed**
- **Chief MPS seeks strategic guidance / staff generate appropriate questions**
(intent/objectives/tasks/restraints/constraints/end-states)



INITIATION PHASE (continued)

- **Structured approach gathering required information** (Annex B)
 - Geography
 - Infrastructure
 - Economic Situation
 - Demographics
 - Humanitarian Situation
 - Conflict
 - Political Situation
 - Armed Forces/Groups



INITIATION PHASE (continued)

- **Define UN Interests/Objectives**
(political/military/humanitarian/institutional/administrative)
- **Identify Criteria, Factors, Limitations**
- **Assumptions – clarification sought**
- **Military advice/support peace process (DPA lead)**



PHASE 3

STRATEGIC PLANNING PHASE

“Role of UN”

- **Strategic Estimate**
 - **Range of Possible Scenarios**
 - ✓ **Range of Options for each scenario**
 - **Recommended Option to Pursue**
- **Regional Dynamics?** – Regional Approach
- **Further planning required?**



PHASE 4

INITIAL OPERATIONAL PLANNING

“Operational Estimate”

- **More than One Scenario - Prioritize**
(Full or Contingency Planning)
- **Operational Estimate**
Most Likely Scenario/Option
 - **Done in Stages –**
Regular Report & Review



INITIAL OPERATIONAL PLANNING PHASE

“Operational Estimate”

(continued)

- **Mission Analysis**
- **Development Mission Statement** (for MILAD approval)
- **Threat Analysis** (Capability X Intent)
- **Risk Analysis** (Threat – UN Capability) (Annex D Part 3)
- **Develop Courses of Action (COAs)**
 - Confirm Political Acceptability
 - Check Force Availability (UNSAS & TCNs provide/pay)
 - Logistic/Budget Constraints
- **COA Decision Brief to MILAD**



PHASE 5

CONCEPT DEVELOPMENT

- **Develop approved COA to full CONOPS**
 - Parallel Logistics Planning
 - Initial informal contact TCNs
- **Initial Survey** (Political Lead)
- **Forces to Task Analysis** – support requirements
- **Technical Survey** (not separate event - process)
- **Refine CONOPS**
- **Mission Factors** - COE Reimbursement Rate
- **Informal Meeting TCNs**



CONCEPT DEVELOPMENT PHASE (continued)

- **CONOPS Approval** MILAD → ASG OO → USG DPKO → SG
- **Input to Administrative Support Division for Budget Planning**
- **SC Meeting TCNs**
- **OO Prepare SG Report** ASG OO → USG DPKO → SG
- **Brief SC on SG Report & CONOPs**
- ***Draft* Security Council Resolution Prepared (One Security Council Nation leads)**
- **Following Consultation “In Blue” Security Council Resolution prepared**
- **Security Council Resolution Approval**



PHASE 6

PLAN DEVELOPMENT PHASE

- **CONOPS Refinement** - New Security Council direction/tasks
- **“Political” Clearance of TCNs** - FGS with OO
- **Review Tasks/Operational Requirements** - Finalize Force Structure & Equipment



Plan Development Phase

- **6 Parallel Development Streams**
 - **Plans**
 - **Senior Appointments**
Political Process – Office SG approval - “UN Positions”
 - **Mission Documentation**
 - *MPS - Force Comd’s Directive/ROE/TCN Guidelines*
 - **Force Generation – Notes Verbale**
 - **SOFA/SOMA by OLA**
 - **Budget**
 - **Support – Integrated Mission Support Structure**



Plan Development Phase

- **COE/MOU Negotiation**
- **Develop Deployment Schedule** - 6-8 weeks to contract ship + 6 weeks before sailing
- **Pre-Deployment Facilitation visit UN to TCN**
- **Budget Refinement**
- **Finalize Logistic Support Plan**
- **Review Force Availability – Adjust Plan?**
- **Force Generation Service leads**
MPS - Branches & Sequels



Phase 7- Deployment Phase

- **Force Commander & Senior Staff briefed prior to deployment**
- **With deployment Current Operations Service takes lead role**



PHASE 8

EXECUTION PHASE

- Major review/revision CONOPs
 - End Phase
- Termination mission
- Long Term Branch/Sequel
- Rotation Management
- Strategic Operational Plans
- Budget ACABQ & 5th Committee
- Mission Liquidation



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UN PLANNING PROCESS

QUESTIONS ?