



OppenheimerFunds®
The Right Way
to Invest

Bulk (Block) Transfer Form

Authorization to Transfer Customer Accounts Between Broker-Dealers

Instructions

Questions? Please call **800 CALL OPP (225 5677)**.

Use this form to change broker-dealer firms. This form is to be signed by a principal of the resigning brokerage firm and a principal of the accepting firm. This form can also be used to conduct a bulk transfer between representatives at the same firm.

This form can be submitted via fax to **303 768 1500** or mailed to:

OppenheimerFunds Services

Regular Mail:
P.O. Box 5270
Denver, CO 80217-5270

Overnight Mail:
6803 S. Tucson Way
Centennial, CO 80112-3924

**Please print clearly in all CAPITAL LETTERS using black ink.
All fields must be completed.**

1 | Outgoing financial advisor/broker-dealer

Rep ID number	First name	Middle initial	Last name
Firm number	Firm name		
Dealer branch address		Branch number	
City	State	Zip	
()	Email address		
Phone number			

2 | New financial advisor/broker-dealer

Rep ID number	First name	Middle initial	Last name
Firm number	Firm name		
Dealer branch address		Branch number	
City	State	Zip	
()	Email address		
Phone number			

3 | Bulk transfer

Note: This form must be signed by both a principal of the resigning firm and a principal of the accepting firm.

By signing below, both parties acknowledge that this transfer is in compliance with all applicable laws, rules and regulations regarding such transfers, including but not limited to FINRA Notice to Members 04-72 and Regulation S-P.

From: _____
Existing Broker-Dealer firm

To: _____
New Broker-Dealer firm

X _____
Signature of Principal, resigning firm Date

Print name Title

X _____
Signature of Principal, accepting firm Date

Print name Title