

**APPLICATION FORM FOR ADMISSION TO MEMBERSHIP
OF LJUBLJANA STOCK EXCHANGE**

(Form 01/MT)

Please note that only duly completed, legible and duly signed forms with original signatures can be accepted. Even if you fax or email the form, the original signed form nevertheless has to be sent by regular mail as well. This form is a component part of the Membership Agreement

To

Member

(full name of company and location/branch)

Ljubljana Stock Exchange
Slovenska cesta 56
1000 Ljubljana
SLOVENIA

(date filed)

(date received – completed by LJSE)

In accordance with Article 198 (2) (3) of the Ljubljana Stock Exchange Rules (“Rules”), the undersigned applicant hereby applies for the following company to be admitted to membership on the Ljubljana Stock Exchange, Inc. (hereinafter: membership on the LJSE):

Applicant firm

**Registered office and
address**

Management

**Telephone, fax and
e-mail address**

The applicant files the Application for the following type of membership on the LJSE.

membership on the LJSE in accordance with Paragraph 1 of Article 84 of the Rules,

membership on the LJSE with limited trading rights in accordance with Paragraph 2 of Article 84 of the Rules.

1. The following documentation is enclosed to the Application Form:
 - 1.1. extract from the register of companies or other official register where the applicant is incorporated, containing any latest changes;
 - 1.2. certificate of duly paid fee for adopting decisions, in accordance with the Fee Schedule.
2. In case of an applicant from Article 84 (1) of the Rules, the following documentation must also be enclosed to that from point 1 above:
 - 2.1. copy of the decision or licence to render investment services, issued by the authoritative supervisory body, along with a certificate issued by this same body or by a notary, confirming the copy’s identity to the original.
3. In case of an applicant from point 3 of Article 84 (2) of the Rules:

- 3.1. the following documentation must also be enclosed to that from point 1 above:
- financial statements for the last 3 business years, drawn up under Chapter 6 of the Slovene Markets in Financial Instruments Act and the provisions of the Slovene Banking Act on books of account, annual reports, auditing and disclosure,
 - statutes or partnership agreement, in the form of a notary record,
 - annual report, including an organizational chart of the company and documents evidencing the applicant meets the conditions from point 2 of Article 85 of the Rules.
- 3.2. the applicant declares by his signature on this Application that:
- the conditions under Item 2 of Article 85 of the Rules are fulfilled
 - the investment services and activities shall be performed exclusively on the basis of the exemptions laid down in Article 34 (1) of the ZTFI; the following exemptions shall be exercised:

_____ (specification of the items from Article 34 (1) of the ZTFI).

4. Planned first trading date: _____

Applicant's representative:

(Name and surname of representative)

(Representative's signature and applicant's seal)

Note: In accordance with Articles 198 and 200 of the Rules, the Application Form for Admission to Membership of the Ljubljana Stock Exchange Inc. constitutes only a part of the full application on the basis of which the Exchange may adopt the decision to admit a member firm to membership of the Exchange. The full application includes the completed and duly signed Application Form for Admission to Membership and the Membership Agreement signed by the applicant. The Membership Agreement is available at the Exchange.