

# DEBIT ORDER APPLICATION FORM

Coronation Management Company (RF) (Pty) Ltd | Registration Number: 1995/010002/07 | SARS Registration Number: 9589/107/71/4

(This form may only be used by existing investors)

- All sections must be completed in full using BLOCK LETTERS.
- Indicate all options selected by means of a cross [X].
- Initial any amendments made to the application form.
- Ensure that all information provided is accurate.
- No instruction will be processed unless all requirements have been met.

- The daily cut-off for receipt of instructions is 14h00.
- Completed forms are to be faxed to us on (021) 680 2500 or e-mailed to [transact@coronation.co.za](mailto:transact@coronation.co.za).
- Should you have any queries regarding this application, please contact the Coronation Client Service Centre on 0800 22 11 77 or e-mail [clientservice@coronation.co.za](mailto:clientservice@coronation.co.za).

## A INVESTOR DETAILS

Existing investor number: \_\_\_\_\_

Title: \_\_\_\_\_ Surname: \_\_\_\_\_

First name(s): \_\_\_\_\_

ID or Passport number (if foreign national): \_\_\_\_\_

Postal address: \_\_\_\_\_

\_\_\_\_\_ Code: \_\_\_\_\_

Residential address: \_\_\_\_\_

\_\_\_\_\_ Code: \_\_\_\_\_

Contact telephone number: ( \_\_\_\_\_ ) \_\_\_\_\_ Fax number: ( \_\_\_\_\_ ) \_\_\_\_\_

Cellphone number: \_\_\_\_\_ E-mail address: \_\_\_\_\_

Source of funds (compulsory): \_\_\_\_\_

## B NEW DEBIT ORDER DETAILS

I/We the undersigned, authorise Coronation Management Company (RF) (Pty) Ltd to draw against my/our bank account the debit order investment amounts in terms of this application on the ☐ 1st ☐ 7th ☐ 15th ☐ 28th day of each month for the investment at the ruling price on that day commencing on \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_. All such withdrawals from my/our account will be treated as though they have been signed by me/us personally, and I/we request the bank to debit my/our account with these drawings. I/We acknowledge that debit order investments are subject to a 14-day clearance period.

Please increase my monthly debit order by the percentage indicated in Section D – Investment Options on the anniversary date each year for the duration of the debit order.

Authorised Signature/s: \_\_\_\_\_ Date: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

## C EXISTING DEBIT ORDER DETAILS

### Debit Order Increase

Please increase my debit order as follows:

From: RAN\$ \_\_\_\_\_, CENTS \_\_\_\_\_ to: RAN\$ \_\_\_\_\_, CENTS \_\_\_\_\_

Effective: \_\_\_\_\_

### Debit Order Decrease

Please decrease my debit order as follows:

From: RAN\$ \_\_\_\_\_, CENTS \_\_\_\_\_ to: RAN\$ \_\_\_\_\_, CENTS \_\_\_\_\_

Effective: \_\_\_\_\_

**Debit Order Termination**

Please terminate my debit order of: RAN\$ \_\_\_\_\_, CENTS \_\_\_\_\_

Effective: \_\_\_\_\_

**Debit Order Reinstatement**

Please reinstate my debit order of: RAN\$ \_\_\_\_\_, CENTS \_\_\_\_\_

Effective: \_\_\_\_\_

**D INVESTMENT OPTIONS**

I/We hereby apply to invest in the Coronation collective investment schemes in accordance with the provisions of the relevant Deed of each fund at the respective fund/s price/s ruling on the date of receipt of the monies by Coronation, subject to receipt of a duly completed Application Form, proof of deposit and all relevant supporting documentation.

	Debit Order (Enter Amount in Rands Min. R500 p.m.)	Annual Debit Order Increase (Enter Percentage)	Income Distribution Reinvested	OR	Income Distribution Deposited to Bank Account
<b>DOMESTIC - INCOME FUND</b>					
Strategic Income Fund		%			
<b>DOMESTIC - INCOME AND GROWTH FUNDS</b>					
Balanced Defensive Fund		%			
Capital Plus Fund		%			
<b>DOMESTIC - LONG TERM GROWTH FUNDS</b>					
Balanced Plus Fund		%			
Top 20 Fund		%			
<b>INTERNATIONAL - INCOME FUND</b>					
Global Strategic USD Income [ZAR] Feeder Fund (2)		%			
<b>INTERNATIONAL - CAPITAL PRESERVATION FUND</b>					
Global Capital Plus [ZAR] Feeder Fund (2)		%			
<b>INTERNATIONAL - LONG TERM GROWTH FUNDS</b>					
Global Managed [ZAR] Feeder Fund (2)		%			
Global Opportunities Equity [ZAR] Feeder Fund (2)		%			
Global Emerging Markets Flexible [ZAR] Fund (1)		%			
<b>SPECIALIST FUNDS - LONG TERM GROWTH</b>					
Equity Fund		%			
Market Plus Fund		%			
Optimum Growth Fund		%			
<b>SPECIALIST FUND - INCOME AND GROWTH</b>					
Property Equity Fund		%			
<b>SPECIALIST FUNDS - INCOME</b>					
Jibar Plus Fund		%			

SPECIALIST FUNDS - BUILDING BLOCKS	Debit Order (Enter Amount in Rands Min. R500 p.m.)	Annual Debit Order Increase (Enter Percentage)	Income Distribution Reinvested	OR	Income Distribution Deposited to Bank Account
Bond Fund		%			
Financial Fund		%			
Industrial Fund		%			
Resources Fund		%			
Smaller Companies Fund		%			
OTHER		%			

All funds listed above refer to the A-Class of the respective funds, except for the Bond Fund and Smaller Companies Fund which refers to the R-Class.

**(1) Exit Fee – Global Emerging Markets Flexible [ZAR] Fund**

Coronation reserves the right to charge an exit penalty of 2% should withdrawals be made from the Fund within a period of less than 6 months from the time of investment in the Fund. This will apply to both initial and subsequent investments. Any exit penalty levied under this provision will be applied for the benefit of the remaining investors in the Fund.

**(2) Additional Trading Provisions – Global Feeder Funds**

Coronation reserves the right to apply additional trading provisions on instructions for the global feeder funds. Refer to General Information and Conditions for more detail.

## E BANKING DETAILS

The details specified below must be in the investor's name.

Bank: \_\_\_\_\_ Account number: \_\_\_\_\_

Branch: \_\_\_\_\_ Branch code: \_\_\_\_\_

Type of account: Current ☐ Transmission ☐ Savings ☐

Name of account holder: \_\_\_\_\_

A cancelled cheque or recent bank statement must accompany this application form as confirmation of proof of bank details. No payments will be made to third parties (i.e. payments will only be made to the bank account in the name of the registered investor). We are unable to facilitate payments to credit cards or market-linked accounts. Maitland Fund Services (the "Administrator") executes all payment instructions electronically. No payment will be made by cheque.

I hereby acknowledge that the same terms and conditions that are applicable to my original investment apply to this investment.

Signature of investor/member or authorised representative\*: \_\_\_\_\_ Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

\*e.g. Guardians/Persons with Power of Attorney or mandate acting on behalf of disabled or insolvent persons

## F FINANCIAL ADVISOR DETAIL AND DECLARATION

Contact name: \_\_\_\_\_ Company: \_\_\_\_\_

Agent account number: \_\_\_\_\_ Registration number: \_\_\_\_\_

FSP License Number: \_\_\_\_\_ Authorised agent signature: \_\_\_\_\_

An "Accountable Institution" includes, but is not limited, to the following list of institutions: a Bank, Long-Term Insurer, Management Company registered in terms of the Collective Investment Schemes Control Act, a person who carries on the business of rendering investment advice or investment brokering services including a Public Accountant who carries on such business, member of a stock exchange licensed under the Securities Services Act No.36 of 2004 and a person who has been approved or who falls within a category of persons approved in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002. If this section is completed by an "Accountable Institution" acting on behalf of the client, the supporting documentation need not be submitted to Coronation. Coronation may however request it where it is deemed necessary.

I/We

- declare that I/we am/are a licensed Financial Service Provider(s) and have made the disclosures required in terms of the Collective Investment Schemes Control Act, 2002, and the Financial Advisory and Intermediary Services Act, No.37 of 2002, and subordinate legislation thereto, to the investor.
- acknowledge and confirm that, in my/our capacity as the primary accountable institution with Coronation being the secondary accountable institution, I/we have established and verified the identity of the client in accordance with Section 21 of the Financial Intelligence Centre Act, 2001("the Act"), and will keep records of such identification and verification according to the provisions of Section 22 of the Act.
- warrant that I/we have explained all fees that relate to this investment to the investor and I/we understand and accept that the investor may withdraw his/her authority for payment to me/us in writing to the fund.

Signed at: \_\_\_\_\_ on this \_\_\_\_\_ day of \_\_\_\_\_, year \_\_\_\_\_

Signature of financial advisor: \_\_\_\_\_

## **G** FINANCIAL ADVICE FEES

(Please select an option)

- ☐ I acknowledge that I did not receive financial advice from either the Fund or a financial advisor; **OR**
- ☐ I hereby confirm that the Financial Advisor whose details are completed in the "Financial Advisor Details and Declaration" section above, is my appointed Financial Advisor and agree to payment of fees as follows:

Initial advice fee: \_\_\_\_ . \_\_\_\_ % (Negotiable to maximum 3% exclusive of VAT. Applied to each contribution and deducted before investment is made).

Ongoing advice fee: \_\_\_\_ . \_\_\_\_ % per annum of the market value of the investment portfolio, charged by way of unit reduction and paid to the Financial Advisor monthly in arrears (Negotiable to maximum 1% exclusive of VAT. If initial advice fee of greater than 1.5% is selected, then the maximum annual advice fee is 0.5%). This annual advice fee is not part of the normal annual management fee charged by the relevant Fund/s. This authority may be withdrawn by written notice to the Fund.

Signature of investor or authorised representative: \_\_\_\_\_

## **H** INVESTOR SIGNATURE

I hereby acknowledge that the same terms and conditions that are applicable to my original investment apply to this investment.

Signature of investor/member or authorised representative: \_\_\_\_\_ Date: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_