Dudley and Walsall NHS
Mental Health Partnership NHS Trust

Board meeting date: 25th January 2012	Agenda Item number: 9.2	Enclosure: 5

Title	Provider Management Regime monthly reporting template

Accountable Director:	Ian Baines, Director of Finance, IT & Estates
Author (name & title):	Mandy Edwards, FT Project Manager

Action required from the Board:	Decision / Approval	Gain assurance	Discussion	Information
	√	√	√	√

What other Trust Committee or Group has considered this report?	Committee	Date reviewed	Key points or recommendations

Purpose of the report	To brief the Board on the new Provider Management Regime for aspirant Foundation Trusts within NHS Midlands and East. To present a copy of the completed template for December (M9) as approved by the Board prior to submission to the SHA on the 10th January 2012.

Summary of Key Issues and Risks for Board Attention	**The key issues that the board needs to consider in this report are:** The implications of the new Provider Management Regime for aspirant Foundation Trusts within the NHS Midlands and East. The establishment of an internal process for completion and sign off of future monthly submissions by the Board and relevant committees. **The key risks are:** Failure to submit a monthly report on time will result in an automatic 'red' rating.

	Failure to meet key performance targets or make key board declarations contained within the template may lead to delays in the Trust's FT application timeline.
Recommendation(s) to Board	The Board are recommended to note the new Provider Management Regime and approve the December submission.

Strategic Objective(s) to which this paper relates: **✓ / ✗**	High quality services ✓	Inclusive partnerships ✗	Leadership culture ✗	Responsible workforce ✗	Supporting strategies ✓	Effective & efficient resources ✓

Does this paper raise any Issues which are especially relevant to any of the following? Board Assurance Framework CQC Equality & Diversity Financial issues (revenue & capital) NHSLA OD/Workforce Patient safety & experience Patient & public involvement
If so please explain in more detail

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Executive Summary

For 2012/13 the new NHS Midlands and East SHA cluster will be introducing a new Provider Management Regime which will include the submission of a monthly reporting template signed off by the Trust Board. The regime and monthly reporting template are still subject to sign off by the NHS Midlands and East Provider Development Committee, following which formal confirmation and guidance will be issued. This report describes the proposed regime and reporting tool.

As part of the Trust's post B2B self-certification to the SHA, the Trust had to submit a completed template relating to performance for the month of December 2011. All Non Foundation Trusts in the NHS Midlands and East SHA will be expected to complete the reporting template on a monthly basis from Month 10 (January 2012). It is important therefore that the Board considers the statements made and sign off of the Provider Management Template every month.

Context/Background

The new Provider Management Regime (PMR) for aspirant FTs will formally commence on April 1st 2012/13, however the SHA will run a 'shadow' process for the remainder of 2011/12 with effect from the 1st January 2012.

All aspirant FT providers will be required to complete a PMR reporting template which includes:

- A Trust Governance Declaration signed on behalf of the Board by the CEO and Chairman.
- Monthly performance against Mental Health Governance Risk Rating indicators
- Monthly performance against Financial Risk Rating indicators
- Monthly assessment against Financial Risk Triggers
- Monthly assessment of Contractual Risk Rating
- Monthly assessment against Quality indicators
- A series of Board statements relating to Clinical Quality; Service Performance; Risk Management processes; Compliance with the NHS Constitution; and Board Roles, Structures & Capacity, signed on behalf of the Board by the CEO and Chairman.

Trusts will be required to submit their returns on the last working day of the month and any late submissions will receive an automatic and non-negotiable 'red' rating. Monthly submissions will be one month in arrears i.e. submissions made on the 31st January will be for the month of December's performance. This process mirrors the 'self-certification' process that the Trust will be required to undertake as part of its compliance framework reporting to Monitor, once authorised as an NHS Foundation Trust.

Underpinning this monthly submission process will be a monitoring and escalation framework within the SHA.

Trusts with no issues i.e. green rated are likely to have monthly teleconference calls between the FT Project Lead and the SHA Provider Development Relationship Manager (David Williams). For amber/green rated organisations there will be a face to face meeting between the FT Project Lead and the SHA Provider Development Relationship Manager (David Williams) on a bi-monthly basis. On the intervening months the face to face meeting will be replaced by a teleconference call.

Trusts who are amber rated will probably be required to have monthly face to face meetings between the SHA Provider Development Relationship Manager and Trust performance, finance, HR, governance and FT leads. Dale Bywater, SHA Director of Provider Development will attend on alternate months.

Red rated Trusts are likely to undergo monthly face to face meetings with Dale Bywater, SHA Director of Provider Development.

From the 1st April 2012, all completed PMR monthly returns will be reported via the SHA Provider Development Committee to the NHS Midlands and East Board. This also means that as all SHA Board papers are publicly available, the returns will also be made public.

Key issues/Options available

Currently the Trust is considered as low risk i.e. green rated and will be subject to monthly teleconference calls between Mandy Edwards, FT Project Manager and David Williams SHA Provider Development Relationship Manager. The first of these teleconference calls has been scheduled for 10th February 2012.

Enclosed at Appendix A is a copy of the PMR monitoring template which has been completed for the month of December and shows the Trust as:

- Green for Governance
- Green for Finance
- Green for Contractual position

Board action required

The Board are asked to:
Note the new NHS Midlands and East Provider Management Regime.

Note the approved PMR monitoring submission for December 2011 as reviewed at the confidential meeting of the Board on the 9th January. This accompanied the Board to Board self-certification submission to the SHA on the 10th January.